**Hash function (inf.):** a function H that maps a long input string to a short, fixed-length, output string.

• Deterministically

• The output string is called **digest** 

$$x \in \{0,1\}^* \quad \longrightarrow \quad H \quad \longrightarrow \quad y \in \{0,1\}^{\ell}$$

**Hash function (inf.):** a function H that maps a long input string to a short, fixed-length, output string.

- Deterministically
- The output string is called **digest**

$$x \in \{0,1\}^* \quad \longrightarrow \quad \boxed{H} \quad \longrightarrow \quad y \in \{0,1\}^\ell$$

#### Why?

ullet It provides some sort of *fingerprint* of x

**Hash function (inf.):** a function H that maps a long input string to a short, fixed-length, output string.

- Deterministically
- The output string is called **digest**

$$x \in \{0,1\}^* \quad \longrightarrow \quad \boxed{H} \quad \longrightarrow \quad y \in \{0,1\}^\ell$$

- ullet It provides some sort of *fingerprint* of x
- Many applications, including private-key and public-key cryptography

**Hash function (inf.):** a function H that maps a long input string to a short, fixed-length, output string.

- Deterministically
- The output string is called **digest**

$$x \in \{0,1\}^* \quad \longrightarrow \quad \boxed{H} \quad \longrightarrow \quad y \in \{0,1\}^\ell$$

- It provides some sort of *fingerprint* of *x*
- Many applications, including private-key and public-key cryptography
- You have probably encountered (non-cryptographic) hash function in hash tables
  - Map elements to a small number of bins or slots
  - As long as few elements collide, i.e., map to the same bin, we are happy (fast lookup time!)

**Hash function (inf.):** a function H that maps a long input string to a short, fixed-length, output string.

- Deterministically
- The output string is called **digest**

$$x \in \{0,1\}^* \quad \longrightarrow \quad \boxed{H} \quad \longrightarrow \quad y \in \{0,1\}^\ell$$

- It provides some sort of *fingerprint* of *x*
- Many applications, including private-key and public-key cryptography
- You have probably encountered (non-cryptographic) hash function in hash tables
  - Map elements to a small number of bins or slots
  - As long as *few* elements **collide**, i.e., map to the same bin, we are happy (fast lookup time!)
  - In cryptography, elements are chosen adversarially!

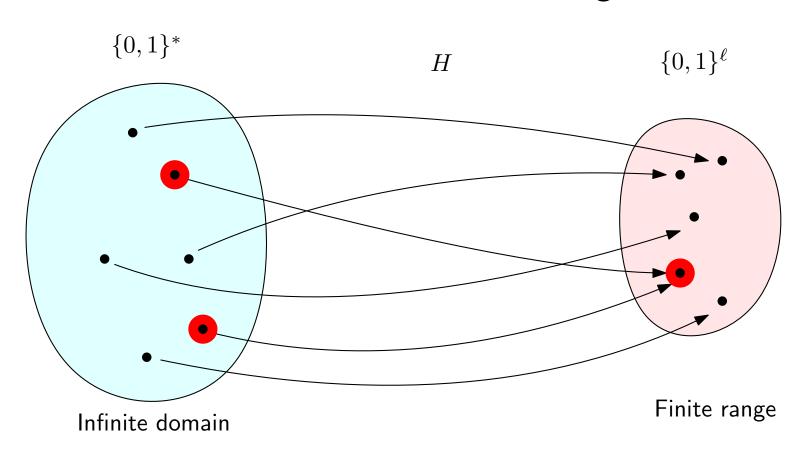
**Hash function (inf.):** a function H that maps a long input string to a short, fixed-length, output string.

- Deterministically
- The output string is called **digest**

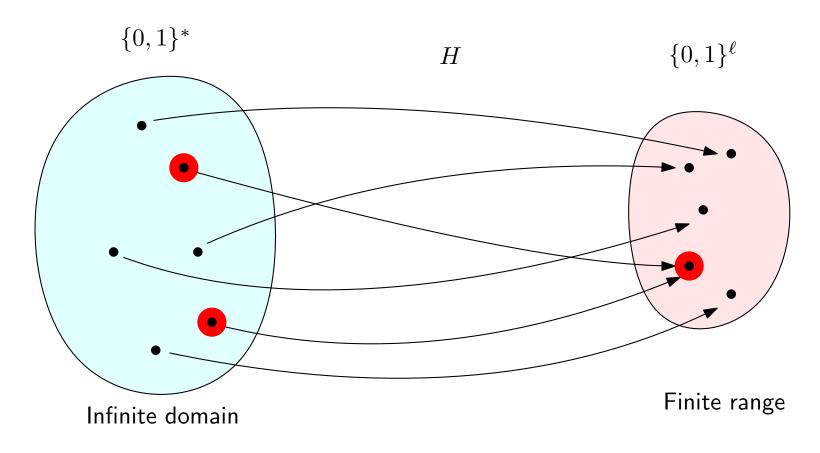
$$x \in \{0,1\}^* \quad \longrightarrow \quad \boxed{H} \quad \longrightarrow \quad y \in \{0,1\}^\ell$$

- ullet It provides some sort of *fingerprint* of x
- Many applications, including private-key and public-key cryptography
- You have probably encountered (non-cryptographic) hash function in hash tables
  - Map elements to a small number of bins or slots
  - As long as few elements collide, i.e., map to the same bin, we are happy (fast lookup time!)
  - In cryptography, elements are chosen adversarially!
  - In cryptography, even few collisions are bad!

# Can we avoid collisions altogether?

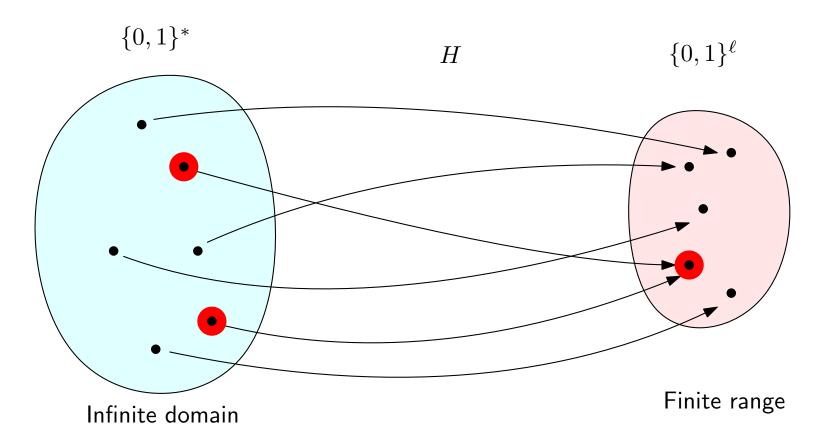


### Can we avoid collisions altogether?



**Collisions are unavoidable!** To find one, simply compute H(x) for  $2^{\ell} + 1$  distinct choices of x

#### Can we avoid collisions altogether?



**Collisions are unavoidable!** To find one, simply compute H(x) for  $2^{\ell} + 1$  distinct choices of x

Next best thing: Collisions are hard to find (by efficient adversaries)

Formally, hash functions need to be keyed functions

Formally, hash functions need to be keyed functions

- An unkeyed function is just a fixed, deterministic function
- For any unkeyed function H, there are always two **fixed** messages m, m' such that H(m) = H(m')
- Trivial to find a collision: just output m, m'

Formally, hash functions need to be keyed functions

- An unkeyed function is just a fixed, deterministic function
- For any unkeyed function H, there are always two **fixed** messages m, m' such that H(m) = H(m')
- Trivial to find a collision: just output m, m'
- ullet Just like block and stream ciphers, the key length is controlled by a security parameter n

Formally, hash functions need to be keyed functions

- An unkeyed function is just a fixed, deterministic function
- ullet For any unkeyed function H, there are always two **fixed** messages m,m' such that H(m)=H(m')
- Trivial to find a collision: just output m, m'
- ullet Just like block and stream ciphers, the key length is controlled by a security parameter n

**Definition:** A hash function is a pair of polynomial-time algorithms  $\mathcal{H} = (\mathsf{Gen}, H)$ :

- ullet Gen: is a probabilistic algorithm that takes as input 1<sup>n</sup> and outputs a key s
- **H:** is a **deterministic** algorithm that takes as input  $x \in \{0,1\}^*$  and outputs a string  $H^s(x) \in \{0,1\}^{\ell(n)}$

If  $H^s$  is defined only for inputs of length  $\ell'(n) > \ell(n)$ , then we say that  $\mathcal{H}$  is a fixed-length hash function for inputs of length  $\ell'(n)$  or a **compression function**.

Formally, hash functions need to be keyed functions

- An unkeyed function is just a fixed, deterministic function
- ullet For any unkeyed function H, there are always two **fixed** messages m,m' such that H(m)=H(m')
- Trivial to find a collision: just output m, m'
- ullet Just like block and stream ciphers, the key length is controlled by a security parameter n

**Definition:** A hash function is a pair of polynomial-time algorithms  $\mathcal{H} = (\mathsf{Gen}, H)$ :

- **Gen:** is a probabilistic algorithm that takes as input  $1^n$  and outputs a key s
- **H:** is a **deterministic** algorithm that takes as input  $x \in \{0,1\}^*$  and outputs a string  $H^s(x) \in \{0,1\}^{\ell(n)}$

If  $H^s$  is defined only for inputs of length  $\ell'(n) > \ell(n)$ , then we say that  $\mathcal{H}$  is a fixed-length hash function for inputs of length  $\ell'(n)$  or a **compression function**.

**Important:** The key s is **not kept secret** and is known by the adversary. We write  $H^s$  (instead of  $H_s$ ) to stress this

Formally, hash functions need to be keyed functions

- An unkeyed function is just a fixed, deterministic function
- For any unkeyed function H, there are always two **fixed** messages m, m' such that H(m) = H(m')
- Trivial to find a collision: just output m, m'
- ullet Just like block and stream ciphers, the key length is controlled by a security parameter n

**Definition:** A hash function is a pair of polynomial-time algorithms  $\mathcal{H} = (\mathsf{Gen}, H)$ :

- ullet Gen: is a probabilistic algorithm that takes as input  $1^n$  and outputs a key s
- **H:** is a **deterministic** algorithm that takes as input  $x \in \{0,1\}^*$  and outputs a string  $H^s(x) \in \{0,1\}^{\ell(n)}$

If  $H^s$  is defined only for inputs of length  $\ell'(n) > \ell(n)$ , then we say that  $\mathcal{H}$  is a fixed-length hash function for inputs of length  $\ell'(n)$  or a **compression function**.

Small abuse of notation: when Gen is clear, we say that H is a hash function

**Important:** The key s is **not kept secret** and is known by the adversary. We write  $H^s$  (instead of  $H_s$ ) to stress this

#### The Hash Collision experiment

Let  $\mathcal{H} = (\mathsf{Gen}, H)$  be a Hash function. We name the following experiment Hash-coll<sub> $\mathcal{A},\mathcal{H}$ </sub>(n):

- A key s is generated using  $Gen(1^n)$
- The adversary  $\mathcal A$  is given s, and outputs  $x,x'\in\{0,1\}^*$ . (If H is a fixed-length hash function then we require  $|x|=|x'|=\ell(n)$ )
- The outcome of the experiment is 1 if  $x \neq x'$  and  $H^s(x) = H^s(x')$ . Otherwise the outcome is 0.

#### The Hash Collision experiment

Let  $\mathcal{H} = (\mathsf{Gen}, H)$  be a Hash function. We name the following experiment Hash-coll<sub> $\mathcal{A},\mathcal{H}$ </sub>(n):

- A key s is generated using  $Gen(1^n)$
- The adversary  $\mathcal A$  is given s, and outputs  $x,x'\in\{0,1\}^*$ . (If H is a fixed-length hash function then we require  $|x|=|x'|=\ell(n)$ )
- The outcome of the experiment is 1 if  $x \neq x'$  and  $H^s(x) = H^s(x')$ . Otherwise the outcome is 0.

**Definition**: A hash function  $\mathcal{H} = (Gen, H)$  is **collision resistant** if, for every probabilistic polynomial-time adversary  $\mathcal{A}$ , there is a negligible function  $\varepsilon$  such that:

$$\Pr[\mathsf{Hash\text{-}coll}_{\mathcal{A},\mathcal{H}}(n)=1] \leq \varepsilon(n)$$

Some applications do not need fully-fledged collision resistance

Some applications do not need fully-fledged collision resistance

Some weaker security notions might suffice:

• **Preimage resistance (inf.):** Given a key s and a digest  $y = H^s(x)$ , it is infeasible to find x' such that  $H^s(x') = y$ .

Some applications do not need fully-fledged collision resistance

Some weaker security notions might suffice:

- **Preimage resistance (inf.):** Given a key s and a digest  $y = H^s(x)$ , it is infeasible to find x' such that  $H^s(x') = y$ .
- Second preimage resistance (inf.): Given a key s and a message x, it is infeasible to find  $x' \neq x$  such that  $H^s(x') = H^s(x)$ .

Some applications do not need fully-fledged collision resistance

Some weaker security notions might suffice:

- **Preimage resistance (inf.):** Given a key s and a digest  $y = H^s(x)$ , it is infeasible to find x' such that  $H^s(x') = y$ .
- Second preimage resistance (inf.): Given a key s and a message x, it is infeasible to find  $x' \neq x$  such that  $H^s(x') = H^s(x)$ .

Collision resistance  $\implies$  Second preimage resistance  $\implies$  Preimage resistance

Let  $H^s:\{0,1\}\to\{0,1\}^\ell$  be **some** hash function.

What is the best **generic** attack for finding collisions, that does not depend on the specific choice of a hash function H?

Let  $H^s: \{0,1\} \to \{0,1\}^{\ell}$  be **some** hash function.

What is the best **generic** attack for finding collisions, that does not depend on the specific choice of a hash function H?

- Choose q distinct inputs  $x_1, x_2, \ldots, x_q$
- Keep a dictionary *D*:
- For  $i = 1, \ldots, q$ 
  - Compute  $y_i = H^s(x_i)$
  - If D contains some element  $(y_i, x_j)$  for some  $x_j$ 
    - Success. Collision found:  $x_i, x_j$
    - Break
  - Otherwise
    - Add  $(y_i, x_i)$  to D
  - Failure

Let  $H^s: \{0,1\} \to \{0,1\}^{\ell}$  be **some** hash function.

What is the best **generic** attack for finding collisions, that does not depend on the specific choice of a hash function H?

- Choose q distinct inputs  $x_1, x_2, \ldots, x_q$
- Keep a dictionary *D*:
- For  $i = 1, \ldots, q$ 
  - Compute  $y_i = H^s(x_i)$
  - If D contains some element  $(y_i, x_j)$  for some  $x_j$ 
    - Success. Collision found:  $x_i, x_j$
    - Break
  - Otherwise
    - Add  $(y_i, x_i)$  to D
  - Failure

How is the success probability related to the number q of evaluations of  $H^s$ ?

Let  $H^s: \{0,1\} \to \{0,1\}^{\ell}$  be **some** hash function.

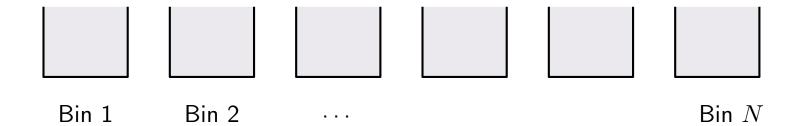
What is the best **generic** attack for finding collisions, that does not depend on the specific choice of a hash function H?

- Choose q distinct inputs  $x_1, x_2, \ldots, x_q$
- Keep a dictionary *D*:
- For  $i = 1, \ldots, q$ 
  - Compute  $y_i = H^s(x_i)$
  - If D contains some element  $(y_i, x_j)$  for some  $x_j$ 
    - Success. Collision found:  $x_i, x_j$
    - Break
  - Otherwise
    - Add  $(y_i, x_i)$  to D
  - Failure

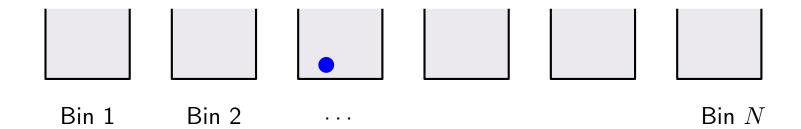
How is the success probability related to the number q of evaluations of  $H^s$ ?

- Worst-case approach
- Model H as a random function

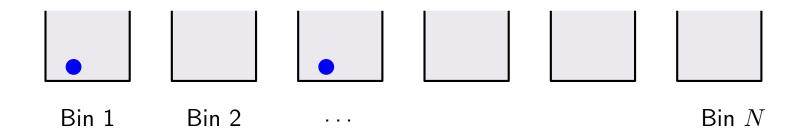
Can be thought of as a balls into bins experiment



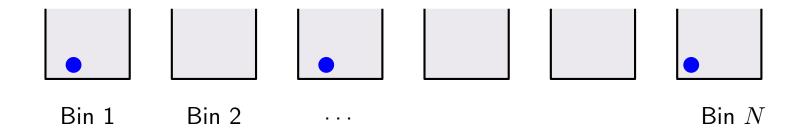
Can be thought of as a balls into bins experiment



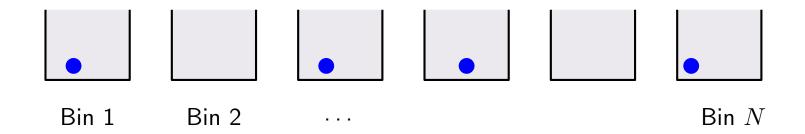
Can be thought of as a balls into bins experiment



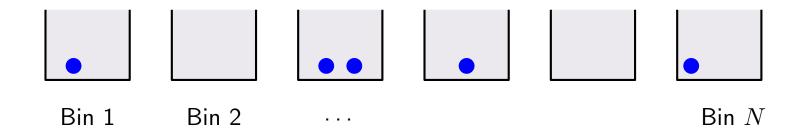
Can be thought of as a balls into bins experiment



Can be thought of as a balls into bins experiment

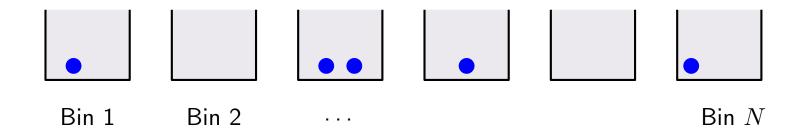


Can be thought of as a balls into bins experiment



Can be thought of as a balls into bins experiment

Repeatedly throw a ball into a one out of N possible bins, chosen u.a.r.

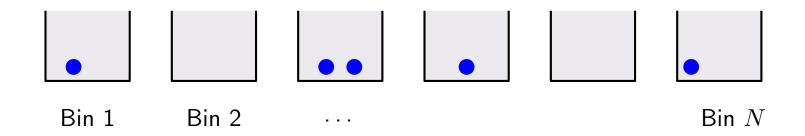


In our case:

 $\bullet$  We have a bin for each string in  $\{0,1\}^\ell$  , i.e.,  $N=2^\ell$ 

Can be thought of as a balls into bins experiment

Repeatedly throw a ball into a one out of N possible bins, chosen u.a.r.

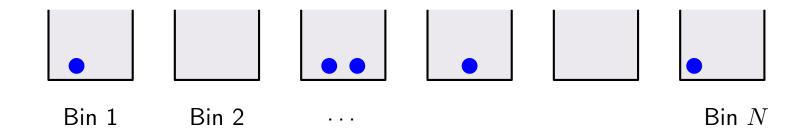


#### In our case:

- $\bullet$  We have a bin for each string in  $\{0,1\}^\ell$  , i.e.,  $N=2^\ell$
- ullet The *i*-th ball is the string  $x_i$  and it lands in bin  $H^s(x_i)$

Can be thought of as a balls into bins experiment

Repeatedly throw a ball into a one out of N possible bins, chosen u.a.r.



#### In our case:

- ullet We have a bin for each string in  $\{0,1\}^\ell$ , i.e.,  $N=2^\ell$
- ullet The *i*-th ball is the string  $x_i$  and it lands in bin  $H^s(x_i)$

We want to know: If we throw q balls, what's the chance that some bin contains at least 2 balls?

### Probability of a collision

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

#### Probability of a collision

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of** 
$$\Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$$
:

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of** 
$$\Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$$
:

$$\Pr[\mathsf{Coll}] = \Pr\left[\bigcup_{\{i,j\} \,:\, i \neq j} \mathsf{Coll}_{i,j}\right]$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of** 
$$\Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$$
:

$$\Pr[\mathsf{Coll}] = \Pr\left[\bigcup_{\{i,j\} \,:\, i \neq j} \mathsf{Coll}_{i,j}\right] \, \leq \sum_{\{i,j\} \,:\, i \neq j} \Pr\left[\mathsf{Coll}_{i,j}\right]$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of** 
$$\Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$$
:

$$\Pr[\mathsf{Coll}] = \Pr\left[ \bigcup_{\{i,j\} \,:\, i \neq j} \mathsf{Coll}_{i,j} \right] \, \leq \sum_{\{i,j\} \,:\, i \neq j} \Pr\left[\mathsf{Coll}_{i,j}\right] \, = \sum_{\{i,j\} \,:\, i \neq j} \frac{1}{N}$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of** 
$$\Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$$
:

$$\Pr[\mathsf{Coll}] = \Pr\left[ \bigcup_{\{i,j\} \,:\, i \neq j} \mathsf{Coll}_{i,j} \right] \\ \leq \sum_{\{i,j\} \,:\, i \neq j} \Pr\left[\mathsf{Coll}_{i,j}\right] \\ = \sum_{\{i,j\} \,:\, i \neq j} \frac{1}{N} \\ = \frac{q(q-1)}{2N}$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of** 
$$\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$$
:

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

Theorem: Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= 1 \qquad \cdot \qquad \left(1 - \frac{1}{N}\right) \qquad \cdot \qquad \left(1 - \frac{2}{N}\right) \qquad \cdot \dots \cdot \qquad \left(1 - \frac{q-1}{N}\right)$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$=\prod_{i=0}^{q-1} \left(1 - \frac{i}{N}\right)$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= \prod_{i=0}^{q-1} \left( 1 - \frac{i}{N} \right) \le \prod_{i=0}^{q-1} e^{-i/N}$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= \prod_{i=0}^{q-1} \left( 1 - \frac{i}{N} \right) \le \prod_{i=0}^{q-1} e^{-i/N} = e^{-\frac{1}{N} \sum_{i=0}^{q-1} i}$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= \prod_{i=0}^{q-1} \left( 1 - \frac{i}{N} \right) \le \prod_{i=0}^{q-1} e^{-i/N} = e^{-\frac{1}{N} \sum_{i=0}^{q-1} i} = e^{-\frac{q(q-1)}{2N}}$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= \prod_{i=0}^{q-1} \left( 1 - \frac{i}{N} \right) \le \prod_{i=0}^{q-1} e^{-i/N} = e^{-\frac{1}{N} \sum_{i=0}^{q-1} i} = e^{-\frac{q(q-1)}{2N}}$$

$$\frac{q(q-1)}{2N} \le \frac{q^2}{2N} \le \frac{(\sqrt{2N})^2}{2N} = 1$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= \prod_{i=0}^{q-1} \left( 1 - \frac{i}{N} \right) \le \prod_{i=0}^{q-1} e^{-i/N} = e^{-\frac{1}{N} \sum_{i=0}^{q-1} i} = e^{-\frac{q(q-1)}{2N}} \le 1 - \frac{q(q-1)}{4N}$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

We will need:

For all 
$$0 \le x \le 1$$
, it holds that  $1 - x \le e^{-x} \le 1 - \frac{x}{2}$ .

**Proof of**  $\Pr[\mathsf{Coll}] \geq \frac{q(q-1)}{4N}$ :

Let  $NoColl_i$  denote the event "the first i balls all land in different bins"  $(Pr[Coll] = 1 - Pr[NoColl_q])$ 

$$= \prod_{i=0}^{q-1} \left( 1 - \frac{i}{N} \right) \le \prod_{i=0}^{q-1} e^{-i/N} = e^{-\frac{1}{N} \sum_{i=0}^{q-1} i} = e^{-\frac{q(q-1)}{2N}} \le 1 - \frac{q(q-1)}{4N}$$

$$\Pr[\mathsf{Coll}] = 1 - \Pr[\mathsf{NoColl}_q] \ge \frac{q(q-1)}{4N}.$$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

 $\bullet$  We have shown that, for  $q \leq \sqrt{2N}$  , we have  $\Pr[\mathsf{Coll}] = \Theta(q^2/N)$ 

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls".

If 
$$q \leq \sqrt{2N}$$
, then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

- ullet We have shown that, for  $q \leq \sqrt{2N}$ , we have  $\Pr[\mathsf{Coll}] = \Theta(q^2/N)$
- To achieve a constant success probability of finding a collision it suffices to choose  $q = \sqrt{N}$ .

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls". If  $q \leq \sqrt{2N}$ , then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

- We have shown that, for  $q \leq \sqrt{2N}$ , we have  $\Pr[\mathsf{Coll}] = \Theta(q^2/N)$
- ullet To achieve a constant success probability of finding a collision it suffices to choose  $q=\sqrt{N}$ .
- ullet Recall that, in our case, we have  $N=2^\ell$
- $\bullet \ \operatorname{Pick} \ q = \sqrt{2^\ell} = 2^{\ell/2}$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls". If  $q \leq \sqrt{2N}$ , then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

- $\bullet$  We have shown that, for  $q \leq \sqrt{2N}$  , we have  $\Pr[\mathsf{Coll}] = \Theta(q^2/N)$
- To achieve a constant success probability of finding a collision it suffices to choose  $q = \sqrt{N}$ .
- ullet Recall that, in our case, we have  $N=2^\ell$
- $\bullet \ \operatorname{Pick} \, q = \sqrt{2^\ell} = 2^{\ell/2}$
- For block ciphers, if the key length was n, we wanted the best attack to take time  $\approx 2^n$

**Theorem:** Let Coll denote the event "at least one bin contains at least 2 balls". If  $q \leq \sqrt{2N}$ , then  $\frac{q(q-1)}{4N} \leq \Pr[\mathsf{Coll}] \leq \frac{q(q-1)}{2N}$ .

- ullet We have shown that, for  $q \leq \sqrt{2N}$ , we have  $\Pr[\mathsf{Coll}] = \Theta(q^2/N)$
- To achieve a constant success probability of finding a collision it suffices to choose  $q = \sqrt{N}$ .
- ullet Recall that, in our case, we have  $N=2^\ell$
- $\bullet \ \operatorname{Pick} \ q = \sqrt{2^\ell} = 2^{\ell/2}$
- For block ciphers, if the key length was n, we wanted the best attack to take time  $\approx 2^n$
- For hash functions, if we want to withstand attacks running in time  $\approx 2^n$  we need  $\ell \geq 2n$

The collisions found by the birthday attack do not seem very useful

• The colliding inputs are random binary strings

The collisions found by the birthday attack do not seem very useful

• The colliding inputs are random binary strings

- The attack can be generalized to find a collision  $H^s(x) = H^s(x')$  with  $x \in A$  and  $x' \in B$
- ullet We just need to generate two sets A and B of  $q=\Theta(2^{\ell/2})$  distinct messages

The collisions found by the birthday attack do not seem very useful

• The colliding inputs are random binary strings

- The attack can be generalized to find a collision  $H^s(x) = H^s(x')$  with  $x \in A$  and  $x' \in B$
- ullet We just need to generate two sets A and B of  $q=\Theta(2^{\ell/2})$  distinct messages
  - A contains "innocent" looking messages
  - B contains "nefarious" messages

The collisions found by the birthday attack do not seem very useful

• The colliding inputs are random binary strings

- The attack can be generalized to find a collision  $H^s(x) = H^s(x')$  with  $x \in A$  and  $x' \in B$
- We just need to generate two sets A and B of  $q = \Theta(2^{\ell/2})$  distinct messages
  - A contains "innocent" looking messages
  - B contains "nefarious" messages

```
A = \{ \text{Today, This morning} \} \  \{ \text{took, went for} \} \  \{ \text{walk, stroll} \} \  \text{in the city } \{ \text{center, park} \}.  While there, I \{ \text{had, drank} \} \  \{ \text{a coffee, an espresso} \} \   and ate a \{ \text{cream, sweet} \} \  \{ \text{doughnut, donut} \}.  B = \text{This is to } \{ \text{inform, notify} \} \  \text{you that I am } \{ \text{resigning, quitting} \} \  \text{from my } \{ \text{job, position} \}  \{ \text{effective immediately, at once} \}.  Please \{ \text{give, send} \} \  \text{me my } \{ \text{final, last} \} \  \text{paycheck as } \{ \text{soon, quickly} \}  as possible. \{ \text{Goodbye, Regards} \}.
```

Let  $H^s$  be any function that is computable in polynomial-time

Consider the following decision problem  $C^s(\alpha, \beta)$ :

Are there two distinct strings x,y s.t.  $H^s(x)=H^s(y)$ ,  $|x|=|y|=\ell+1$ , x starts with  $\alpha$ , and y starts with  $\beta$ ?

Let  $H^s$  be **any** function that is computable in polynomial-time Consider the following decision problem  $C^s(\alpha, \beta)$ :

Are there two distinct strings x,y s.t.  $H^s(x)=H^s(y)$ ,  $|x|=|y|=\ell+1$ , x starts with  $\alpha$ , and y starts with  $\beta$ ?

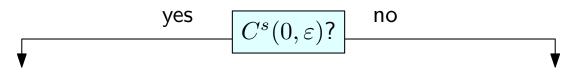
This (decision) problem is in NP (the pair (x, y) is a yes-certificate)

Let  $H^s$  be **any** function that is computable in polynomial-time Consider the following decision problem  $C^s(\alpha, \beta)$ :

Are there two distinct strings 
$$x,y$$
 s.t.  $H^s(x)=H^s(y)$ ,  $|x|=|y|=\ell+1$ ,  $x$  starts with  $\alpha$ , and  $y$  starts with  $\beta$ ?

This (decision) problem is in NP (the pair (x, y) is a yes-certificate)

If P = NP we can compute a collision for  $H^s$  in polynomial time as follows:

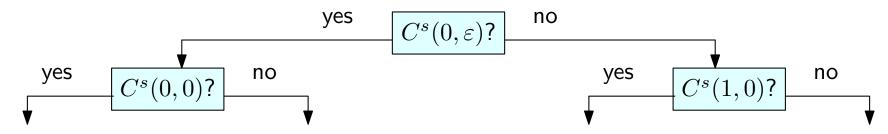


Let  $H^s$  be **any** function that is computable in polynomial-time Consider the following decision problem  $C^s(\alpha, \beta)$ :

Are there two distinct strings x,y s.t.  $H^s(x)=H^s(y)$ ,  $|x|=|y|=\ell+1$ , x starts with  $\alpha$ , and y starts with  $\beta$ ?

This (decision) problem is in NP (the pair (x, y) is a yes-certificate)

If P = NP we can compute a collision for  $H^s$  in polynomial time as follows:

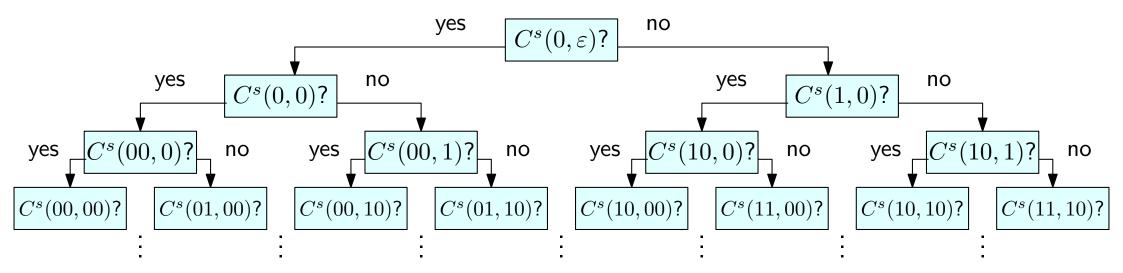


Let  $H^s$  be **any** function that is computable in polynomial-time Consider the following decision problem  $C^s(\alpha, \beta)$ :

Are there two distinct strings x,y s.t.  $H^s(x)=H^s(y)$ ,  $|x|=|y|=\ell+1$ , x starts with  $\alpha$ , and y starts with  $\beta$ ?

This (decision) problem is in NP (the pair (x, y) is a yes-certificate)

If P = NP we can compute a collision for  $H^s$  in polynomial time as follows:

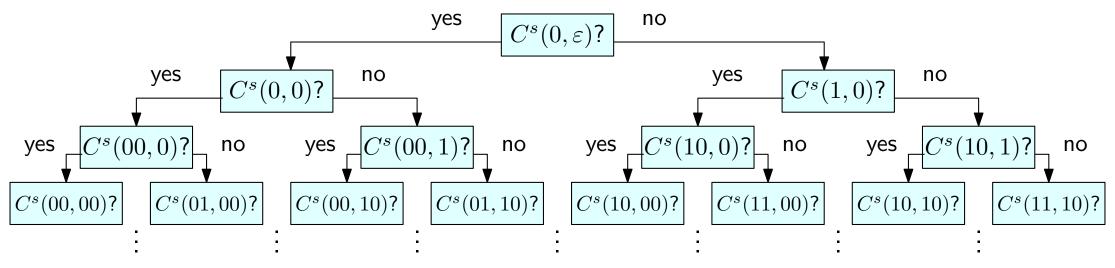


Let  $H^s$  be **any** function that is computable in polynomial-time Consider the following decision problem  $C^s(\alpha, \beta)$ :

Are there two distinct strings x,y s.t.  $H^s(x)=H^s(y)$ ,  $|x|=|y|=\ell+1$ , x starts with  $\alpha$ , and y starts with  $\beta$ ?

This (decision) problem is in NP (the pair (x, y) is a yes-certificate)

If P = NP we can compute a collision for  $H^s$  in polynomial time as follows:



If P = NP, H is not a (collision resistant) hash function

 $\mathsf{Hash} \ \mathsf{functions} \ \mathsf{exist} \ \Longrightarrow \ \mathsf{P} \neq \mathsf{NP}$ 

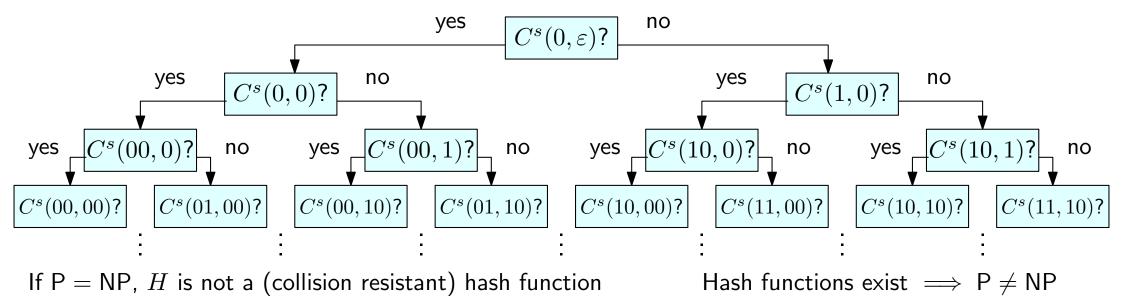
Let  $H^s$  be any function that is computable in polynomial-time

Consider the following decision problem  $C^s(\alpha, \beta)$ :

```
Are there two distinct strings x,y s.t. H^s(x)=H^s(y), |x|=|y|=\ell+1, x starts with \alpha, and y starts with \beta?
```

This (decision) problem is in NP (the pair (x, y) is a yes-certificate)

If P = NP we can compute a collision for  $H^s$  in polynomial time as follows:



**Pragmatic approach:** Pretend that Hash functions exist & use practical constructions

**Step 1:** Start with a collision-resistant compression function for short, fixed-length, inputs

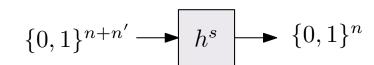
$$h^s: \{0,1\}^{\ell'(n)} \to \{0,1\}^{\ell(n)}$$

**Step 1:** Start with a collision-resistant compression function for short, fixed-length, inputs

$$h^s: \{0,1\}^{\ell'(n)} \to \{0,1\}^{\ell(n)}$$

For simplicity assume  $\ell(n)=n$  and  $\ell'(n)=n+n'$  with n'>n

$$h^s: \{0,1\}^{n+n'} \to \{0,1\}^n$$



**Step 1:** Start with a collision-resistant compression function for short, fixed-length, inputs

$$h^s: \{0,1\}^{\ell'(n)} \to \{0,1\}^{\ell(n)}$$

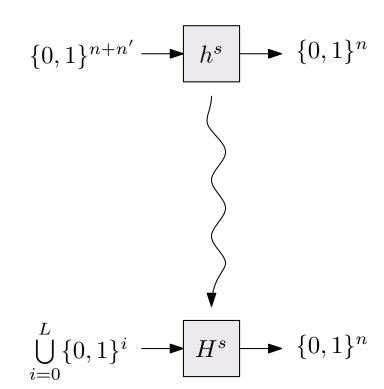
For simplicity assume  $\ell(n)=n$  and  $\ell'(n)=n+n'$  with n'>n

$$h^s: \{0,1\}^{n+n'} \to \{0,1\}^n$$

#### **Step 2:** Domain extension

Use h to build a hash function H that accepts inputs of length up to  $L=2^{n^{\prime}}-1$ 

$$H^s: \bigcup_{i=0}^{L} \{0,1\}^i \to \{0,1\}^n$$



**Step 1:** Start with a collision-resistant compression function for short, fixed-length, inputs

$$h^s: \{0,1\}^{\ell'(n)} \to \{0,1\}^{\ell(n)}$$

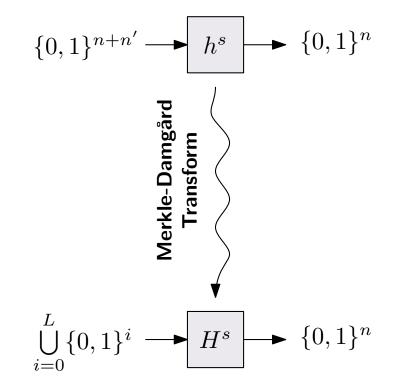
For simplicity assume  $\ell(n)=n$  and  $\ell'(n)=n+n'$  with n'>n

$$h^s: \{0,1\}^{n+n'} \to \{0,1\}^n$$

#### **Step 2:** Domain extension

Use h to build a hash function H that accepts inputs of length up to  $L=2^{n^{\prime}}-1$ 

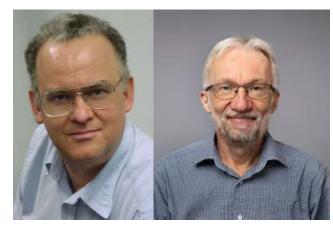
$$H^s: \bigcup_{i=0}^{L} \{0,1\}^i \to \{0,1\}^n$$



Merkle-Damgård Transform

# The Merkle-Damgård Transform

Pick some fixed parameter  $\lambda \leq n'$ , IV  $\in \{0,1\}^n$ . For  $x \in \{0,1\}^*$  with  $|x| < 2^{\lambda}$  (and key s) compute  $H^s(x)$  as follows:



Ralph Merkle Ivan Damgård

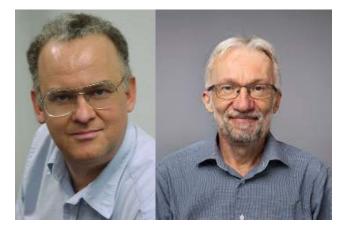
Pick some fixed parameter  $\lambda \leq n'$ ,  $\mathsf{IV} \in \{0,1\}^n$ . For  $x \in \{0,1\}^*$  with  $|x| < 2^{\lambda}$  (and key s) compute  $H^s(x)$  as follows:

ullet Pad x so that it also encodes |x| and the new length is a multiple of n'



Ralph Merkle Ivan Damgård

- ullet Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'



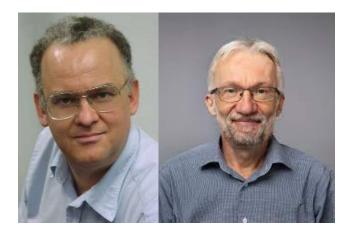
Ralph Merkle Ivan Damgård

- ullet Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'
  - Append |x| encoded as a binary string with  $\lambda$  bits



Ralph Merkle Ivan Damgård

- ullet Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'
  - Append |x| encoded as a binary string with  $\lambda$  bits
  - Parse the resulting string as a concatenation of B blocks  $x_1 || x_2 || \dots || x_B$  where  $|x_i| = n'$ .



Ralph Merkle Ivan Damgård

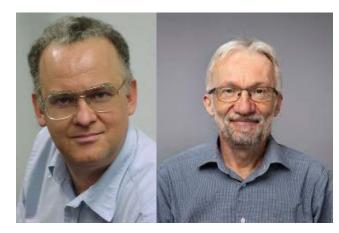
- ullet Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'
  - Append |x| encoded as a binary string with  $\lambda$  bits
  - Parse the resulting string as a concatenation of B blocks  $x_1 || x_2 || \dots || x_B$  where  $|x_i| = n'$ .
- Compute  $H^s(x)$  by repeatedly evaluating  $h^s$



Ralph Merkle Ivan Damgård

- Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'
  - Append |x| encoded as a binary string with  $\lambda$  bits
  - Parse the resulting string as a concatenation of B blocks  $x_1 || x_2 || \dots || x_B$  where  $|x_i| = n'$ .
- ullet Compute  $H^s(x)$  by repeatedly evaluating  $h^s$

$$-z_0 = \mathsf{IV}$$



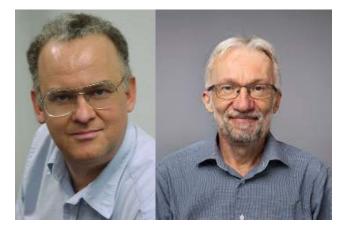
Ralph Merkle Ivan Damgård

- Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'
  - Append |x| encoded as a binary string with  $\lambda$  bits
  - Parse the resulting string as a concatenation of B blocks  $x_1 || x_2 || \dots || x_B$  where  $|x_i| = n'$ .
- Compute  $H^s(x)$  by repeatedly evaluating  $h^s$ 
  - $-z_0 = IV$
  - For i = 1, ..., B, compute  $z_i \leftarrow h^s(z_{i-1} \parallel x_i)$

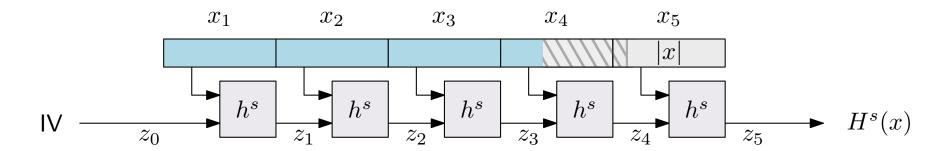


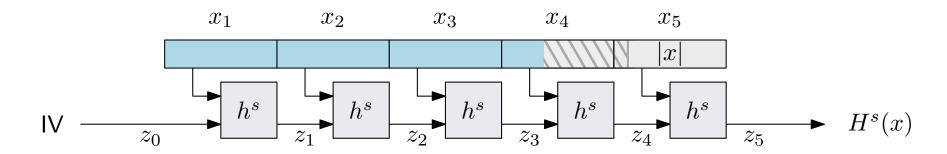
Ralph Merkle Ivan Damgård

- Pad x so that it also encodes |x| and the new length is a multiple of n'
  - Append a 1 to x followed by as many 0s as needed to obtain a length that is  $\lambda$  less than a multiple of n'
  - Append |x| encoded as a binary string with  $\lambda$  bits
  - Parse the resulting string as a concatenation of B blocks  $x_1 || x_2 || \dots || x_B$  where  $|x_i| = n'$ .
- Compute  $H^s(x)$  by repeatedly evaluating  $h^s$ 
  - $-z_0 = \mathsf{IV}$
  - For i = 1, ..., B, compute  $z_i \leftarrow h^s(z_{i-1} \parallel x_i)$
  - Output  $z_B$

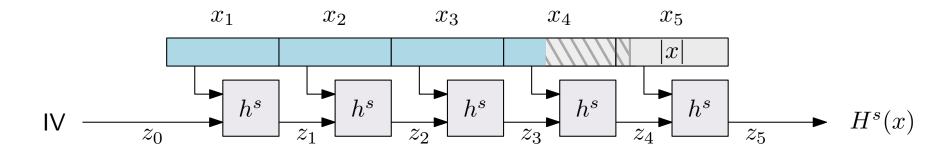


Ralph Merkle Ivan Damgård





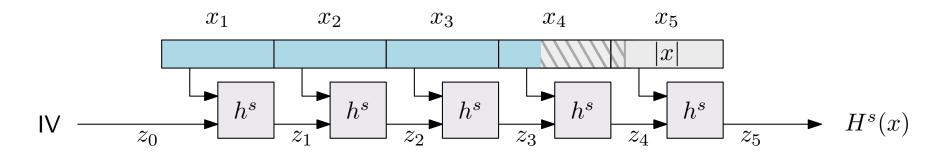
**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.



**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

### **Proof:**

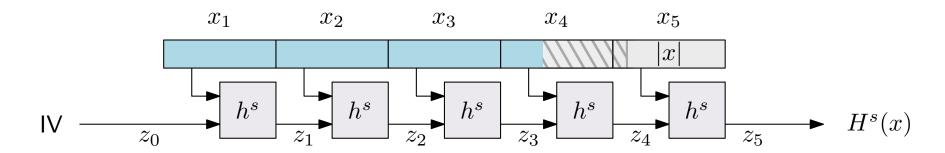
We show if we can efficiently find a collision for  $H^s$  then we can also efficiently find a collision for  $h^s$ .



**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

### **Proof:**

We show if we can efficiently find a collision for  $H^s$  then we can also efficiently find a collision for  $h^s$ . Let  $x, x' \in \{0, 1\}^*$  such that  $x \neq x'$  and  $H^s(x) = H^s(x')$ .



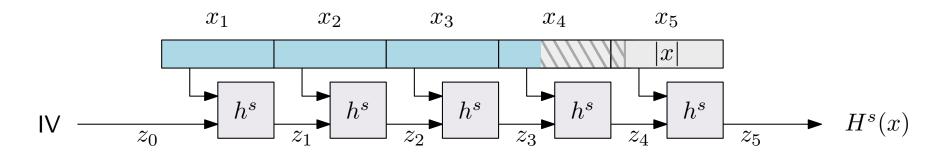
**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

### **Proof:**

We show if we can efficiently find a collision for  $H^s$  then we can also efficiently find a collision for  $h^s$ .

Let  $x, x' \in \{0, 1\}^*$  such that  $x \neq x'$  and  $H^s(x) = H^s(x')$ .

Let  $x_1, \ldots, x_B$  (resp.  $x'_1, \ldots, x'_{B'}$ ) be the blocks obtained by padding x (resp. x').



**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

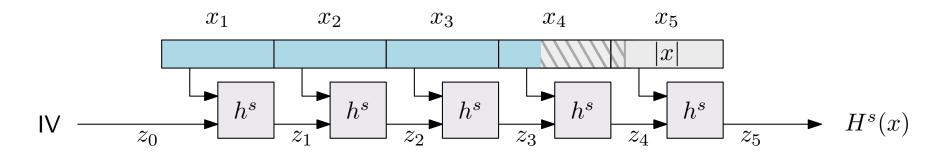
#### **Proof:**

We show if we can efficiently find a collision for  $H^s$  then we can also efficiently find a collision for  $h^s$ .

Let  $x, x' \in \{0, 1\}^*$  such that  $x \neq x'$  and  $H^s(x) = H^s(x')$ .

Let  $x_1, \ldots, x_B$  (resp.  $x'_1, \ldots, x'_{B'}$ ) be the blocks obtained by padding x (resp. x').

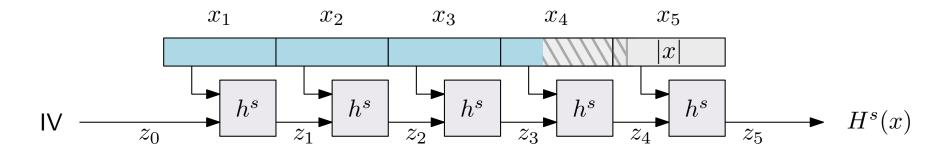
Let  $z_0, \ldots, z_B$  (resp.  $z'_0, \ldots, z'_{B'}$ ) be the intermediate outputs obtained while computing  $H^s(x)$  (resp.  $H^s(x')$ ).



**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

**Case 1:**  $|x| \neq |x'|$ 

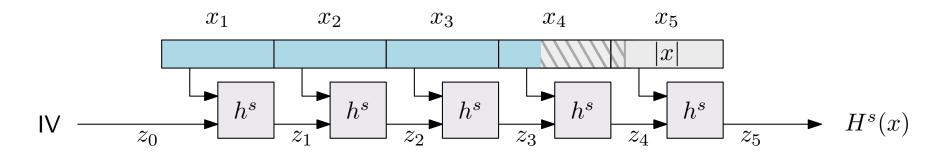
We have  $h^s(z_{B-1}||x_B) = h^s(z'_{B-1}||x'_{B'})$ , and  $z_{B-1}||x_B \neq z'_{B-1}||x'_{B'}|$  (since  $x_B \neq x'_B$ )



**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

**Case 2:** 
$$|x| = |x'|$$

Let i be the largest index such that  $z_{i-1} || x_i \neq z'_{i-1} || x'_i$  (this index exists since  $x \neq x'$ )

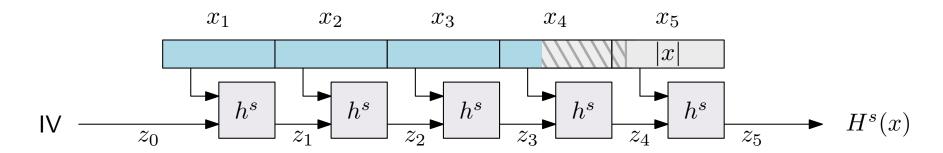


**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

Case 2: 
$$|x| = |x'|$$

Let i be the largest index such that  $z_{i-1}||x_i \neq z'_{i-1}||x'_i|$  (this index exists since  $x \neq x'$ )

We must have  $z_i = z_i'$  (either i=B and this follows from the collision, or i < B and this is due to choice of i)



**Theorem:** if h is a collision-resistant hash function then H is a collision-resistant hash function.

Case 2: |x| = |x'|

Let i be the largest index such that  $z_{i-1}||x_i \neq z'_{i-1}||x'_i|$  (this index exists since  $x \neq x'$ )

We must have  $z_i = z_i'$  (either i = B and this follows from the collision, or i < B and this is due to choice of i)

Then  $h^s(z_{i-1}||x_i) = z_i = z'_i = h^s(z'_{i-1}||x'_i)$ 

Hash functions constructed using the Merkle-Damgård transform are susceptible to **length extension** attacks

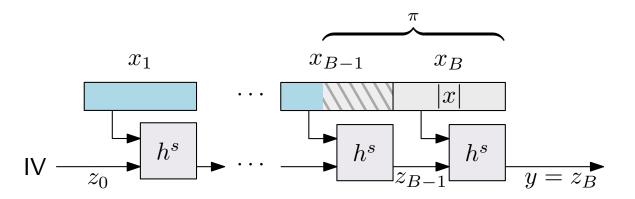
Hash functions constructed using the Merkle-Damgård transform are susceptible to **length extension** attacks

In length extension attack, an adversary that knows  $y = H^s(x)$  and the length |x| of x, is able to compute  $H^s(x||x')$  for some (non-empty) x', without needing to know x.

Hash functions constructed using the Merkle-Damgård transform are susceptible to **length extension** attacks

In length extension attack, an adversary that knows  $y = H^s(x)$  and the length |x| of x, is able to compute  $H^s(x||x')$  for some (non-empty) x', without needing to know x.

Since the adversary knows |x|, it is able to compute the padding  $\pi$  appended to x (i.e.,  $x||\pi = x_1||\dots||x_B$ )



Hash functions constructed using the Merkle-Damgård transform are susceptible to **length extension** attacks

In length extension attack, an adversary that knows  $y = H^s(x)$  and the length |x| of x, is able to compute  $H^s(x||x')$  for some (non-empty) x', without needing to know x.

Since the adversary knows |x|, it is able to compute the padding  $\pi$  appended to x (i.e.,  $x \| \pi = x_1 \| \dots \| x_B$ )

Then, for any  $x' = \pi \| x''$ :  $H^s(x \| x') = H^s(x \| \pi \| x'') = H^s(z_B \| x'') = H^s(y \| x'')$ 

$$x_1 \qquad x_{B-1} \qquad x_B$$

$$\cdots \qquad |x|$$

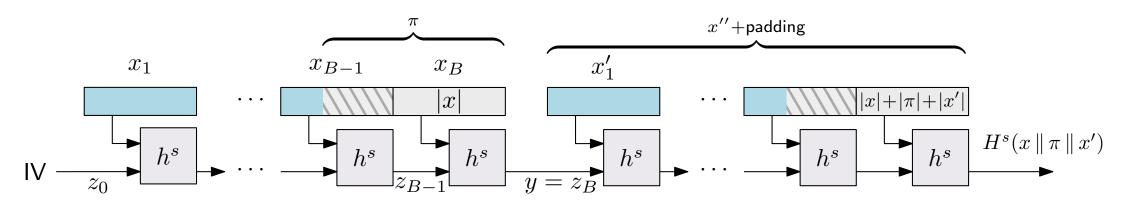
$$h^s \qquad w = z_B$$

Hash functions constructed using the Merkle-Damgård transform are susceptible to length extension attacks

In length extension attack, an adversary that knows  $y = H^s(x)$  and the length |x| of x, is able to compute  $H^s(x||x')$  for some (non-empty) x', without needing to know x.

Since the adversary knows |x|, it is able to compute the padding  $\pi$  appended to x(i.e.,  $x \| \pi = x_1 \| \dots \| x_B$ )

Then, for any 
$$x' = \pi \| x''$$
:  $H^s(x \| x') = H^s(x \| \pi \| x'') = H^s(z_B \| x'') = H^s(y \| x'')$ 



Practical construction of hash functions are unkeyed...

#### MD4

- 128 bit digest
- Birthday attack ( $\mu$ s), Preimage attack (theoretical)

#### MD5

- 128 bit digest
- Birthday attack (s), Preimage attack (theoretical)

#### SHA1

- 160 bit digest
- Birthday attack (SHAttered: 110 years of computing time on GPU), improved chosen-prefix attacks

#### SHA<sub>2</sub>

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

### Keccak (SHA3)

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

Merkle-Damgård

Transform

Practical construction of hash functions are unkeyed...

#### MD4

- 128 bit digest
- Birthday attack ( $\mu$ s), Preimage attack (theoretical)

#### MD5

- 128 bit digest
- Birthday attack (s), Preimage attack (theoretical)

#### SHA1

- 160 bit digest
- Birthday attack (SHAttered: 110 years of computing time on GPU), improved chosen-prefix attacks

#### SHA<sub>2</sub>

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

### Keccak (SHA3)

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

Practical construction of hash functions are unkeyed...

#### MD4

- 128 bit digest
- Birthday attack ( $\mu$ s), Preimage attack (theoretical)

#### MD5

- 128 bit digest
- Birthday attack (s), Preimage attack (theoretical)

#### SHA1

- 160 bit digest
- Birthday attack (SHAttered: 110 years of computing time on GPU), improved chosen-prefix attacks

#### SHA<sub>2</sub>

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

### Keccak (SHA3)

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

Completely different approach: sponge construction

Merkle-Damgård

Transform

Practical construction of hash functions are unkeyed...

### M24

- 128 bit digest
- Birthday attack ( $\mu$ s), Preimage attack (theoretical)

### **ME**5

- 128 bit digest
- Birthday attack (s), Preimage attack (theoretical)

### SHA1

- 160 bit digest
- Birthday attack (SHAttered: 110 years of computing time on GPU), improved chosen-prefix attacks

#### SHA<sub>2</sub>

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

### Keccak (SHA3)

- Actually a family of algorithms: 224, 256, 384, and 512 bit digests
- No significant known weaknesses

Completely different approach: sponge construction

Merkle-Damgård

Transform

Reminder: we can construct a MAC for short, fixed-length, messages from a block cipher

• We used CBC-MAC to extend the domain to long messages

Reminder: we can construct a MAC for short, fixed-length, messages from a block cipher

• We used CBC-MAC to extend the domain to long messages

We can use hash functions instead!

Reminder: we can construct a MAC for short, fixed-length, messages from a block cipher

• We used CBC-MAC to extend the domain to long messages

We can use hash functions instead!

Two approaches:

- Hash-and-Mac
- HMAC

Reminder: we can construct a MAC for short, fixed-length, messages from a block cipher

• We used CBC-MAC to extend the domain to long messages

We can use hash functions instead!

Two approaches:

- Hash-and-Mac
- HMAC

Suppose that we have:

- ullet A fixed-length MAC  $\Pi' = (\mathsf{Gen}', \mathsf{Mac}', \mathsf{Vrfy}')$  for messages of length  $\ell$
- ullet A hash function  $\mathcal{H}=(\mathsf{Gen}_H,H)$  with  $\ell\text{-bit}$  outputs

We can build a MAC  $\Pi$  for long messages:

Suppose that we have:

- ullet A fixed-length MAC  $\Pi'=(\mathsf{Gen}',\mathsf{Mac}',\mathsf{Vrfy}')$  for messages of length  $\ell$
- ullet A hash function  $\mathcal{H} = (\mathsf{Gen}_H, H)$  with  $\ell$ -bit outputs

We can build a MAC  $\Pi$  for long messages:

### $Gen(1^n)$ :

- $k \leftarrow \operatorname{Gen}'(1^n)$
- $s \leftarrow \mathsf{Gen}_H(\mathsf{1}^n)$
- Return (k, s)

Suppose that we have:

- ullet A fixed-length MAC  $\Pi' = (\mathsf{Gen}', \mathsf{Mac}', \mathsf{Vrfy}')$  for messages of length  $\ell$
- ullet A hash function  $\mathcal{H}=(\mathsf{Gen}_H,H)$  with  $\ell ext{-bit}$  outputs

We can build a MAC  $\Pi$  for long messages:

### $Gen(1^n)$ :

- $k \leftarrow \mathsf{Gen}'(1^n)$
- $s \leftarrow \mathsf{Gen}_H(\mathbf{1}^n)$
- Return (k, s)

### $\mathsf{Mac}_{(k,s)}(m)$ :

• Return  $Mac'_k(H^s(m))$ 

### Suppose that we have:

- ullet A fixed-length MAC  $\Pi' = (\mathsf{Gen}', \mathsf{Mac}', \mathsf{Vrfy}')$  for messages of length  $\ell$
- A hash function  $\mathcal{H} = (\mathsf{Gen}_H, H)$  with  $\ell$ -bit outputs

We can build a MAC  $\Pi$  for long messages:

### $Gen(1^n)$ :

- $k \leftarrow \mathsf{Gen}'(1^n)$
- $s \leftarrow \mathsf{Gen}_H(1^n)$
- ullet Return (k,s)

### $\mathsf{Mac}_{(k,s)}(m)$ :

• Return  $Mac'_k(H^s(m))$ 

$$\mathsf{Vrfy}_{(k,s)}(m,t)$$
:

• Return  $\operatorname{Vrfy}_k'(H^s(m),t)$ 

Suppose that we have:

- ullet A fixed-length MAC  $\Pi' = (\mathsf{Gen}', \mathsf{Mac}', \mathsf{Vrfy}')$  for messages of length  $\ell$
- A hash function  $\mathcal{H} = (\mathsf{Gen}_H, H)$  with  $\ell$ -bit outputs

We can build a MAC  $\Pi$  for long messages:

### $Gen(1^n)$ :

- $k \leftarrow \mathsf{Gen}'(1^n)$
- $s \leftarrow \mathsf{Gen}_H(1^n)$
- Return (k, s)

### $\mathsf{Mac}_{(k,s)}(m)$ :

• Return  $Mac'_k(H^s(m))$ 

$$\mathbf{Vrfy}_{(k,s)}(m,t)$$
:

• Return  $\operatorname{Vrfy}_k'(H^s(m),t)$ 

**Theorem:** if  $\Pi'$  is a secure MAC for messages of length  $\ell$  and  $\mathcal{H}$  is collision resistant, then the hash-and-mac construction  $\Pi$  is a secure MAC

We will show that an adversary A that breaks the security of  $\Pi$  can be used to either break the security of  $\Pi'$  or to find a collision in  $\mathcal{H}$  (possibly both).

We will show that an adversary A that breaks the security of  $\Pi$  can be used to either break the security of  $\Pi'$  or to find a collision in  $\mathcal{H}$  (possibly both).

Let  $\mathcal A$  be a polynomial-time algorithm such that  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal A,\Pi}(n)=1]=\varepsilon(n)$  for some non-negligible  $\varepsilon(n)$ 

We will show that an adversary A that breaks the security of  $\Pi$  can be used to either break the security of  $\Pi'$  or to find a collision in  $\mathcal{H}$  (possibly both).

Let  $\mathcal A$  be a polynomial-time algorithm such that  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal A,\Pi}(n)=1]=\varepsilon(n)$  for some non-negligible  $\varepsilon(n)$ 

Let Q be the set of queries performed by  $\mathcal{A}$  to its MAC oracle, and let  $(m^*, t)$  be the output of  $\mathcal{A}$ . Define coll to be the event "there is a message  $m \in Q$  for which  $H^s(m) = H^s(m^*)$ .

We will show that an adversary A that breaks the security of  $\Pi$  can be used to either break the security of  $\Pi'$  or to find a collision in  $\mathcal{H}$  (possibly both).

Let  $\mathcal A$  be a polynomial-time algorithm such that  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal A,\Pi}(n)=1]=\varepsilon(n)$  for some non-negligible  $\varepsilon(n)$ 

Let Q be the set of queries performed by  $\mathcal{A}$  to its MAC oracle, and let  $(m^*, t)$  be the output of  $\mathcal{A}$ Define coll to be the event "there is a message  $m \in Q$  for which  $H^s(m) = H^s(m^*)$ .

 $\varepsilon(n) = \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1] = \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \land \mathsf{coll}] + \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \land \overline{\mathsf{coll}}]$ 

We will show that an adversary A that breaks the security of  $\Pi$  can be used to either break the security of  $\Pi'$  or to find a collision in  $\mathcal{H}$  (possibly both).

Let  $\mathcal A$  be a polynomial-time algorithm such that  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal A,\Pi}(n)=1]=\varepsilon(n)$  for some non-negligible  $\varepsilon(n)$ 

Let Q be the set of queries performed by  $\mathcal{A}$  to its MAC oracle, and let  $(m^*, t)$  be the output of  $\mathcal{A}$ Define coll to be the event "there is a message  $m \in Q$  for which  $H^s(m) = H^s(m^*)$ .

$$\begin{split} \varepsilon(n) &= \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1] = \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \wedge \mathsf{coll}] + \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \wedge \overline{\mathsf{coll}}] \\ &\leq \Pr[\mathsf{coll}] + \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \wedge \overline{\mathsf{coll}}] \end{split}$$

We will show that an adversary A that breaks the security of  $\Pi$  can be used to either break the security of  $\Pi'$  or to find a collision in  $\mathcal{H}$  (possibly both).

Let  $\mathcal A$  be a polynomial-time algorithm such that  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal A,\Pi}(n)=1]=\varepsilon(n)$  for some non-negligible  $\varepsilon(n)$ 

Let Q be the set of queries performed by  $\mathcal{A}$  to its MAC oracle, and let  $(m^*, t)$  be the output of  $\mathcal{A}$ Define coll to be the event "there is a message  $m \in Q$  for which  $H^s(m) = H^s(m^*)$ .

$$\begin{split} \varepsilon(n) &= \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1] = \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \wedge \mathsf{coll}] + \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \wedge \overline{\mathsf{coll}}] \\ &\leq \Pr[\mathsf{coll}] + \Pr[\mathsf{Mac\text{-}forge}_{\mathcal{A},\Pi}(n) = 1 \wedge \overline{\mathsf{coll}}] \end{split}$$

At least one of the summands is non-negligible

If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

- ullet Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .



If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \operatorname{Mac}_k'(H^s(m_i))$  and answer with  $t_i$



If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \operatorname{Mac}'_k(H^s(m_i))$  and answer with  $t_i$
- When  $\mathcal{A}$  outputs  $(m^*,t)$ , check whether there is some  $m_i$  such that  $H(m_i)=H(m^*)$



If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \operatorname{Mac}'_k(H^s(m_i))$  and answer with  $t_i$
- When  $\mathcal A$  outputs  $(m^*,t)$ , check whether there is some  $m_i$  such that  $H(m_i)=H(m^*)$ 
  - If such an i exists, return  $(m^*, m_i)$



If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \operatorname{Mac}'_k(H^s(m_i))$  and answer with  $t_i$
- When  $\mathcal A$  outputs  $(m^*,t)$ , check whether there is some  $m_i$  such that  $H(m_i)=H(m^*)$ 
  - If such an i exists, return  $(m^*, m_i)$
  - Otherwise "fail".



If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \operatorname{Mac}_k'(H^s(m_i))$  and answer with  $t_i$
- When  $\mathcal A$  outputs  $(m^*,t)$ , check whether there is some  $m_i$  such that  $H(m_i)=H(m^*)$ 
  - If such an i exists, return  $(m^*, m_i)$
  - Otherwise "fail".

$$\Pr[\mathsf{Hash\text{-}coll}_{\mathcal{A}',\mathcal{H}}(n) = 1] = \Pr[\mathsf{coll}]$$

If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

#### Adversary $\mathcal{A}'(s)$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \operatorname{Mac}'_k(H^s(m_i))$  and answer with  $t_i$
- ullet When  ${\mathcal A}$  outputs  $(m^*,t)$ , check whether there is some  $m_i$  such that  $H(m_i)=H(m^*)$ 
  - If such an i exists, return  $(m^*, m_i)$
  - Otherwise "fail".

$$\Pr[\mathsf{Hash\text{-}coll}_{\mathcal{A}',\mathcal{H}}(n) = 1] = \Pr[\mathsf{coll}]$$

Not negligible!

If Pr[coll] is not negligible, consider the following adversary  $\mathcal{A}'$  that attacks  $\mathcal{H}$ :

#### Adversary $\mathcal{A}'(s)$ :

- Choose k u.a.r. from  $\{0,1\}^n$
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ , compute  $t_i \leftarrow \mathsf{Mac}_k'(H^s(m_i))$  and answer with  $t_i$
- When  $\mathcal A$  outputs  $(m^*,t)$ , check whether there is some  $m_i$  such that  $H(m_i)=H(m^*)$ 
  - If such an i exists, return  $(m^*, m_i)$
  - Otherwise "fail".

$$\Pr[\mathsf{Hash\text{-}coll}_{\mathcal{A}',\mathcal{H}}(n)=1] = \Pr[\mathsf{coll}]$$
 Not negligible!

This contradicts the collision resistance of  $\mathcal{H}!$ 



If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n) = 1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .



If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$



If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- When  $\mathcal{A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$



If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

#### Adversary $\mathcal{A}''(1^n)$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- ullet When  ${\mathcal A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$

If  $\mathcal A$  outputs a valid forgery  $(m^*,t)$  then  $\operatorname{Vrfy}_{(k,s)}(m^*,t)=\operatorname{Vrfy}_k'(H^s(m^*),t)=\operatorname{Vrfy}_k'(h^*,t)=1$ 



If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

#### Adversary $\mathcal{A}''(1^n)$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- ullet When  ${\mathcal A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$

If  $\mathcal A$  outputs a valid forgery  $(m^*,t)$  then  $\operatorname{Vrfy}_{(k,s)}(m^*,t)=\operatorname{Vrfy}_k'(H^s(m^*),t)=\operatorname{Vrfy}_k'(h^*,t)=1$  When coll does not occur,  $h^*=H(m^*)\neq H(m_i)=h_i$  for every i

If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

#### Adversary $\mathcal{A}''(1^n)$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- ullet When  ${\mathcal A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$

If  $\mathcal A$  outputs a valid forgery  $(m^*,t)$  then  $\operatorname{Vrfy}_{(k,s)}(m^*,t)=\operatorname{Vrfy}_k'(H^s(m^*),t)=\operatorname{Vrfy}_k'(h^*,t)=1$  When coll does not occur,  $h^*=H(m^*)\neq H(m_i)=h_i$  for every  $i\implies (h^*,t)$  is a valid forgery for  $\Pi'$ 

If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

#### Adversary $\mathcal{A}''(1^n)$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- ullet When  ${\mathcal A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$

If  $\mathcal A$  outputs a valid forgery  $(m^*,t)$  then  $\operatorname{Vrfy}_{(k,s)}(m^*,t)=\operatorname{Vrfy}_k'(H^s(m^*),t)=\operatorname{Vrfy}_k'(h^*,t)=1$  When coll does not occur,  $h^*=H(m^*)\neq H(m_i)=h_i$  for every  $i\implies (h^*,t)$  is a valid forgery for  $\Pi'$   $\operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A'',\Pi'}(n)]\geq \operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A,\Pi}(n),\overline{\operatorname{coll}}]$ 

If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

### Adversary $\mathcal{A}''(\mathbf{1}^n)$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- When  $\mathcal{A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$

Not negligible!

If  $\mathcal A$  outputs a valid forgery  $(m^*,t)$  then  $\operatorname{Vrfy}_{(k,s)}(m^*,t) = \operatorname{Vrfy}_k'(H^s(m^*),t) = \operatorname{Vrfy}_k'(h^*,t) = 1$ When coll does not occur,  $h^* = H(m^*) \neq H(m_i) = h_i$  for every  $i \implies (h^*,t)$  is a valid forgery for  $\Pi'$   $\operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A'',\Pi'}(n)] \geq \operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A,\Pi}(n),\overline{\operatorname{coll}}]$ 

If  $\Pr[\mathsf{Mac}\text{-forge}_{\mathcal{A},\Pi}(n)=1,\overline{\mathsf{coll}}]$  is not negligible, consider the following adversary  $\mathcal{A}''$  that attacks  $\Pi'$ :

#### Adversary $\mathcal{A}''(1^n)$ :

- Run  $Gen_H(1^n)$  to obtain s
- Run  $\mathcal{A}(1^n)$ .
- When  $\mathcal{A}$  requests a tag on the *i*-th message  $m_i \in \{0,1\}^*$ :
  - Compute  $h_i = H^s(m_i)$
  - Request a tag  $t_i$  for the message  $h_i$  to the MAC oracle (for  $\Pi'$ )
  - Answer with  $t_i$
- When  $\mathcal{A}$  outputs  $(m^*,t)$ , let  $h^*=H^s(m^*)$  and output  $(h^*,t)$

Not negligible!

If  $\mathcal A$  outputs a valid forgery  $(m^*,t)$  then  $\operatorname{Vrfy}_{(k,s)}(m^*,t) = \operatorname{Vrfy}_k'(H^s(m^*),t) = \operatorname{Vrfy}_k'(h^*,t) = 1$  When coll does not occur,  $h^* = H(m^*) \neq H(m_i) = h_i$  for every  $i \Longrightarrow (h^*,t)$  is a valid forgery for  $\Pi'$   $\operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A'',\Pi'}(n)] \geq \frac{\operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A,\Pi}(n),\overline{\operatorname{coll}}]}{\operatorname{Pr}[\operatorname{Mac-forge}_{\mathcal A,\Pi}(n),\overline{\operatorname{coll}}]}$  This contradicts the unforgeability of  $\Pi'$ .

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

Stronger assumption: the Random Oracle model

Model the hash function as a random function

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

- Model the hash function as a random function
- The hash function is an oracle:
  - ullet Whenever H(x) is computed for the first time, the oracle picks a random string y and answers with y

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

- Model the hash function as a random function
- The hash function is an oracle:
  - ullet Whenever H(x) is computed for the first time, the oracle picks a random string y and answers with y
  - If H(x) is computed again (with the same x), then the oracle returns the same answer

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

- Model the hash function as a random function
- The hash function is an oracle:
  - ullet Whenever H(x) is computed for the first time, the oracle picks a random string y and answers with y
  - If H(x) is computed again (with the same x), then the oracle returns the same answer
- Models attacks that are agnostic to the specific hash function being used

Some cryptographic constructions cannot be proven secure based only on the assumption that the hash function is collision resistant

Stronger assumption: the Random Oracle model

- Model the hash function as a random function
- The hash function is an oracle:
  - ullet Whenever H(x) is computed for the first time, the oracle picks a random string y and answers with y
  - If H(x) is computed again (with the same x), then the oracle returns the same answer
- Models attacks that are agnostic to the specific hash function being used

#### In practice:

- Prove security in the Random Oracle model
- Replace the Random Oracle with a concrete hash function
- Cross your fingers. . .

#### Cons:

- Hash functions are public (recall, no secret key).
- There is no such thing as a fixed function that is random!

#### Cons:

- Hash functions are public (recall, no secret key).
- There is no such thing as a fixed function that is random!
- There are known (although convoluted) examples of encryption schemes that can be proven secure in the Random Oracle model, but they are insecure when the oracle is replaced with any hash function

#### Cons:

- Hash functions are public (recall, no secret key).
- There is no such thing as a fixed function that is random!
- There are known (although convoluted) examples of encryption schemes that can be proven secure in the Random Oracle model, but they are insecure when the oracle is replaced with any hash function

#### **Pros:**

• If an attack is found on the hash function, we can just replace the hash function

#### Cons:

- Hash functions are public (recall, no secret key).
- There is no such thing as a fixed function that is random!
- There are known (although convoluted) examples of encryption schemes that can be proven secure in the Random Oracle model, but they are insecure when the oracle is replaced with any hash function

#### **Pros**:

- If an attack is found on the hash function, we can just replace the hash function
- There are no known "natural" schemes that have been attacked while proven secure in the Random Oracle model

#### Cons:

- Hash functions are public (recall, no secret key).
- There is no such thing as a fixed function that is random!
- There are known (although convoluted) examples of encryption schemes that can be proven secure in the Random Oracle model, but they are insecure when the oracle is replaced with any hash function

#### **Pros**:

- If an attack is found on the hash function, we can just replace the hash function
- There are no known "natural" schemes that have been attacked while proven secure in the Random Oracle model
- A security proof is in the random oracle model is better than no security proof at all... maybe?

## Applications of Hash Functions: Fingerprinting & Deduplication

If H is a collision-resistant hash function, and x is a (part of) a file, then we can think of H(x) as a unique identifier of that (part of the) file

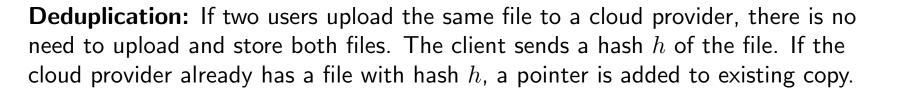
If H is a collision-resistant hash function, and x is a (part of) a file, then we can think of H(x) as a unique identifier of that (part of the) file

**Virus scanners:** There is no need to keep an explicit database of all malicious files. It suffices to keep a database of their hashes



If H is a collision-resistant hash function, and x is a (part of) a file, then we can think of H(x) as a unique identifier of that (part of the) file

**Virus scanners:** There is no need to keep an explicit database of all malicious files. It suffices to keep a database of their hashes







If H is a collision-resistant hash function, and x is a (part of) a file, then we can think of H(x) as a unique identifier of that (part of the) file

**Virus scanners:** There is no need to keep an explicit database of all malicious files. It suffices to keep a database of their hashes



**Deduplication:** If two users upload the same file to a cloud provider, there is no need to upload and store both files. The client sends a hash h of the file. If the cloud provider already has a file with hash h, a pointer is added to existing copy.



**File synchronization**: To synchronize two files between different machines, we can first compute their hashes. If the hashes match, there is nothing to do. Otherwise the files are split into chunks and only the chunks with different hashes are updated.



If H is a collision-resistant hash function, and x is a (part of) a file, then we can think of H(x) as a unique identifier of that (part of the) file

**Virus scanners:** There is no need to keep an explicit database of all malicious files. It suffices to keep a database of their hashes



**Deduplication:** If two users upload the same file to a cloud provider, there is no need to upload and store both files. The client sends a hash h of the file. If the cloud provider already has a file with hash h, a pointer is added to existing copy.



**File synchronization**: To synchronize two files between different machines, we can first compute their hashes. If the hashes match, there is nothing to do. Otherwise the files are split into chunks and only the chunks with different hashes are updated.



**Peer-to-peer file sharing:** Hashes are used to uniquely identify files (and chunk of files) in peer-to-peer file-sharing networks.



magnet:?xt=urn:btih:C9A337562CB0360FD6F5AB40FD2B1B81D5325DBD

- We can instead store a hash y = H(x) of the password x.
- ullet When we need to check whether a string x is the correct password, we can instead check H(x)=y.

- We can instead store a hash y = H(x) of the password x.
- When we need to check whether a string x is the correct password, we can instead check H(x) = y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3WOFqXBj299UsTEOR0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fBOHhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL7Oy1NAKtR5:19203:0:99999:7:::
```

Storing a password as a plaintext is dangerous!

- We can instead store a hash y = H(x) of the password x.
- When we need to check whether a string x is the correct password, we can instead check H(x) = y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3WOFqXBj299UsTEOR0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fBOHhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL70y1NAKtR5:19203:0:99999:7:::
```

• If an attacker learns y, it still cannot efficiently recover x...

Storing a password as a plaintext is dangerous!

- We can instead store a hash y = H(x) of the password x.
- When we need to check whether a string x is the correct password, we can instead check H(x) = y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3WOFqXBj299UsTEOR0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fBOHhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL7Oy1NAKtR5:19203:0:99999:7:::
```

• If an attacker learns y, it still cannot efficiently recover x... assuming that x is a good password!

- We can instead store a hash y = H(x) of the password x.
- When we need to check whether a string x is the correct password, we can instead check H(x) = y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3WOFqXBj299UsTEOR0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fBOHhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL7Oy1NAKtR5:19203:0:99999:7:::
```

- If an attacker learns y, it still cannot efficiently recover x... assuming that x is a good password!
- What if x is bad password? E.g., what if x is an English word?

- We can instead store a hash y = H(x) of the password x.
- When we need to check whether a string x is the correct password, we can instead check H(x) = y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3WOFqXBj299UsTEOR0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fB0HhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL70y1NAKtR5:19203:0:99999:7:::
```

- If an attacker learns y, it still cannot efficiently recover x... assuming that x is a good password!
- What if x is bad password? E.g., what if x is an English word?
- We can easily check H(x') = y for all English words x.

- We can instead store a hash y = H(x) of the password x.
- ullet When we need to check whether a string x is the correct password, we can instead check H(x)=y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3W0FqXBj299UsTE0R0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fB0HhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL70y1NAKtR5:19203:0:99999:7:::
```

- If an attacker learns y, it still cannot efficiently recover x... assuming that x is a good password!
- What if x is bad password? E.g., what if x is an English word?
- We can easily check H(x') = y for all English words x.
- In fact, we can **store** all H(x') in a **rainbow table**, to recover x in seconds!



- We can instead store a hash y = H(x) of the password x.
- When we need to check whether a string x is the correct password, we can instead check H(x) = y.

```
lightdm:!:19124:::::
colord:!:19124:::::
geoclue:!:19124:::::
king-phisher:!:19124:::::
kali:$y$j9T$AB7f3WOFqXBj299UsTEOR0$ntPaAOtAgP55AQiBTCSr5R9zxN3E6RF0fB0HhFWXzf5:19124:0:99999:7:::
user1:$y$j9T$gqhq7GCVDCdHAIPB22AJA.$B9Xx6J0o2jyIPcXiVFE36P6ANMZpeAATrEiiQoXDyR5:19203:0:99999:7:::
user2:$y$j9T$d4X9fBM7f7pShKkadXVg8/$5EbiPrKmGLTOnthcW83h4.thXwUsmPLBL70y1NAKtR5:19203:0:99999:7:::
```

- If an attacker learns y, it still cannot efficiently recover x... assuming that x is a good password!
- What if x is bad password? E.g., what if x is an English word?
- We can easily check H(x') = y for all English words x.
- In fact, we can **store** all H(x') in a **rainbow table**, to recover x in seconds!
- Solution: pick a random string z called salt. Compute y = H(z||x) and store the pair (z,y).





ullet Typically, symmetric-key encryption schemes require the key k to be chosen from the uniform distribution

- ullet Typically, symmetric-key encryption schemes require the key k to be chosen from the uniform distribution
- ullet Sometimes it is more convenient for the parties to rely on some shared secret information x
  - E.g., a passphrase, biometric data, . . .

- ullet Typically, symmetric-key encryption schemes require the key k to be chosen from the uniform distribution
- ullet Sometimes it is more convenient for the parties to rely on some shared secret information x
  - E.g., a passphrase, biometric data, . . .
- Hash functions provide a way of using the shared secret to derive a (close to) uniform key, as long as the shared secret comes from a "sufficiently random" (but not necessarily uniform) distribution

- ullet Typically, symmetric-key encryption schemes require the key k to be chosen from the uniform distribution
- ullet Sometimes it is more convenient for the parties to rely on some shared secret information x
  - E.g., a passphrase, biometric data, . . .
- Hash functions provide a way of using the shared secret to derive a (close to) uniform key, as long as the shared secret comes from a "sufficiently random" (but not necessarily uniform) distribution

**Definition:** a probability distribution D has m bits of **min-entropy** if, for every x, it holds that  $\Pr[X = x] \leq 2^{-m}$ , where X is a random variable with distribution D.

Intuitively: the most likely value of X happens with probability at most  $2^{-m}$ 

- ullet Typically, symmetric-key encryption schemes require the key k to be chosen from the uniform distribution
- ullet Sometimes it is more convenient for the parties to rely on some shared secret information x
  - E.g., a passphrase, biometric data, . . .
- Hash functions provide a way of using the shared secret to derive a (close to) uniform key, as long as the shared secret comes from a "sufficiently random" (but not necessarily uniform) distribution

**Definition:** a probability distribution D has m bits of **min-entropy** if, for every x, it holds that  $\Pr[X = x] \leq 2^{-m}$ , where X is a random variable with distribution D.

Intuitively: the most likely value of X happens with probability at most  $2^{-m}$ 

### Choose k = H(x)

- ullet If H is a random oracle, then H(x) is uniform as long as the attacker does not query H with x.
- If an attacker makes q queries to  $H(\cdot)$ , it will query H with x with probability at most  $q \cdot 2^{-m}$ .

A commitment scheme allows a party to

- Commit to a value *m*
- ullet At a later time, "open" the commitment to reveal m

A commitment scheme allows a party to

- Commit to a value m
- ullet At a later time, "open" the commitment to reveal m

The commitment scheme must be:

ullet Hiding: the commitment "reveals nothing" about m

A commitment scheme allows a party to

- Commit to a value m
- At a later time, "open" the commitment to reveal m

The commitment scheme must be:

- ullet Hiding: the commitment "reveals nothing" about m
- **Binding:** it is infeasible (or even impossible) for the committer to output a commitment that can be "opened" as two different messages m, m'

A commitment scheme allows a party to

- $\bullet$  Commit to a value m
- At a later time, "open" the commitment to reveal m

The commitment scheme must be:

- **Hiding:** the commitment "reveals nothing" about m
- **Binding:** it is infeasible (or even impossible) for the committer to output a commitment that can be "opened" as two different messages m, m'

In some sense: a digital equivalent of placing a message in a sealed envelope (hiding), which is opened at a later time



A commitment scheme allows a party to

- Commit to a value m
- At a later time, "open" the commitment to reveal m

The commitment scheme must be:

- **Hiding:** the commitment "reveals nothing" about m
- **Binding:** it is infeasible (or even impossible) for the committer to output a commitment that can be "opened" as two different messages m, m'

In some sense: a digital equivalent of placing a message in a sealed envelope (hiding), which is opened at a later time



#### To commit to m:

• Pick a random string r and compute com =  $H(m \parallel r)$ 

A commitment scheme allows a party to

- Commit to a value m
- At a later time, "open" the commitment to reveal m

The commitment scheme must be:

- **Hiding:** the commitment "reveals nothing" about m
- **Binding:** it is infeasible (or even impossible) for the committer to output a commitment that can be "opened" as two different messages m, m'

In some sense: a digital equivalent of placing a message in a sealed envelope (hiding), which is opened at a later time



#### To commit to m:

ullet Pick a random string r and compute com  $=H(m\,\|\,r)$ 

### To open the commitment:

ullet Send m and r. Given some m' and r' one can easily check whether com  $= H(m' \parallel r')$ 

- Alice wants to compute some fingerprint h of a list of strings  $\langle x_1, \ldots, x_t \rangle$  to send to Bob
- $\bullet$  At a later time, Alice wants to convince Bob that  $x_i$  was part of the list of strings

- Alice wants to compute some fingerprint h of a list of strings  $\langle x_1, \ldots, x_t \rangle$  to send to Bob
- ullet At a later time, Alice wants to convince Bob that  $x_i$  was part of the list of strings

#### **Solution 1:**

- Compute and send  $h = H(x_1 || x_2 || \dots || x_t)$
- Reveal  $x_i$  by providing all  $x_j$

- ullet Alice wants to compute some fingerprint h of a list of strings  $\langle x_1,\ldots,x_t \rangle$  to send to Bob
- $\bullet$  At a later time, Alice wants to convince Bob that  $x_i$  was part of the list of strings

#### **Solution 1:**

- Compute and send  $h = H(x_1 || x_2 || \dots || x_t)$
- Reveal  $x_i$  by providing all  $x_j$
- **Drawback:** Long message (and reveals all  $x_j$ )

- ullet Alice wants to compute some fingerprint h of a list of strings  $\langle x_1,\ldots,x_t 
  angle$  to send to Bob
- $\bullet$  At a later time, Alice wants to convince Bob that  $x_i$  was part of the list of strings

#### **Solution 1:**

- Compute and send  $h = H(x_1 || x_2 || \dots || x_t)$
- Reveal  $x_i$  by providing all  $x_j$
- **Drawback:** Long message (and reveals all  $x_j$ )

#### **Solution 2:**

- Compute and send  $h = \langle H(x_1), H(x_2), \dots, H(x_t) \rangle$
- ullet Reveal  $x_i$  and i, Bob checks  $H(x_i)$  against the i-th hash in h

- Alice wants to compute some fingerprint h of a list of strings  $\langle x_1, \dots, x_t \rangle$  to send to Bob
- ullet At a later time, Alice wants to convince Bob that  $x_i$  was part of the list of strings

#### **Solution 1:**

- Compute and send  $h = H(x_1 || x_2 || \dots || x_t)$
- Reveal  $x_i$  by providing all  $x_j$
- **Drawback:** Long message (and reveals all  $x_j$ )

#### **Solution 2:**

- Compute and send  $h = \langle H(x_1), H(x_2), \dots, H(x_t) \rangle$
- ullet Reveal  $x_i$  and i, Bob checks  $H(x_i)$  against the i-th hash in h
- **Drawback:** h is a long list of t hashes

- ullet Alice wants to compute some fingerprint h of a list of strings  $\langle x_1,\ldots,x_t \rangle$  to send to Bob
- $\bullet$  At a later time, Alice wants to convince Bob that  $x_i$  was part of the list of strings

#### **Solution 1:**

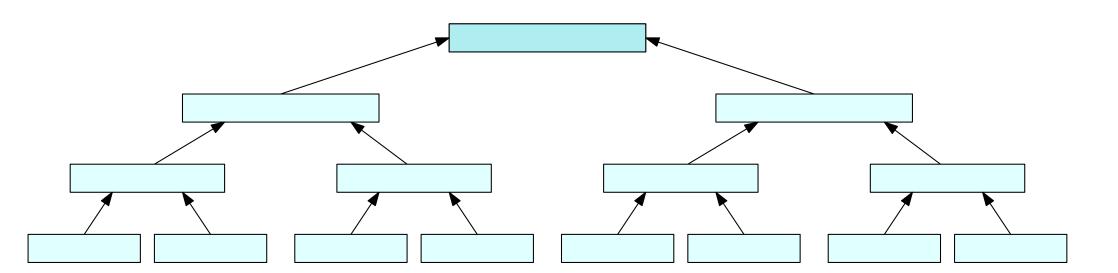
- Compute and send  $h = H(x_1 || x_2 || \dots || x_t)$
- Reveal  $x_i$  by providing all  $x_j$
- **Drawback:** Long message (and reveals all  $x_j$ )

#### **Solution 2:**

- Compute and send  $h = \langle H(x_1), H(x_2), \dots, H(x_t) \rangle$
- ullet Reveal  $x_i$  and i, Bob checks  $H(x_i)$  against the i-th hash in h
- **Drawback:** h is a long list of t hashes

#### **Solution 3**: Merkle trees

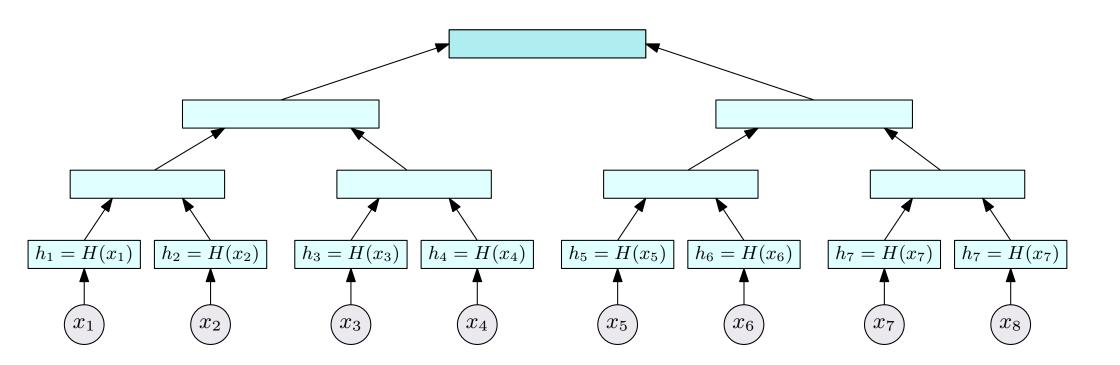
- ullet Build a complete binary tree with t leaves
- $\bullet$  Each node u stores a hash



• Build a complete binary tree with t leaves

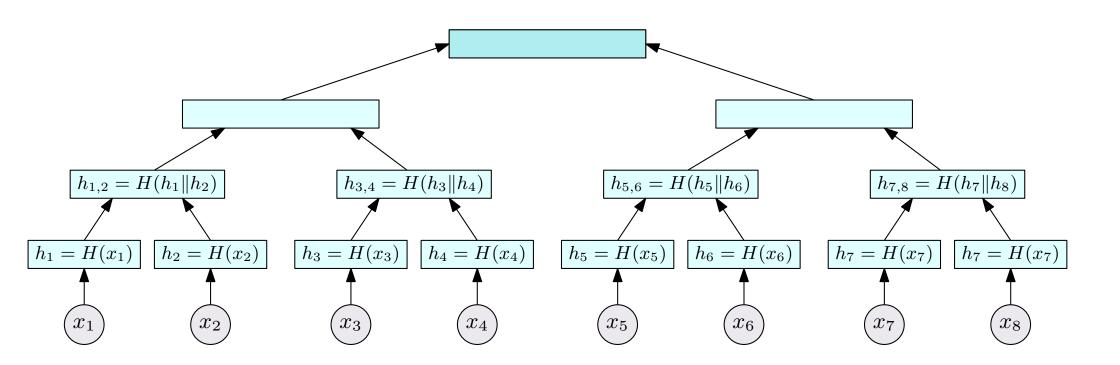
• The hash stored in the *i*-th leaf is  $H(x_i)$ 

 $\bullet$  Each node u stores a hash



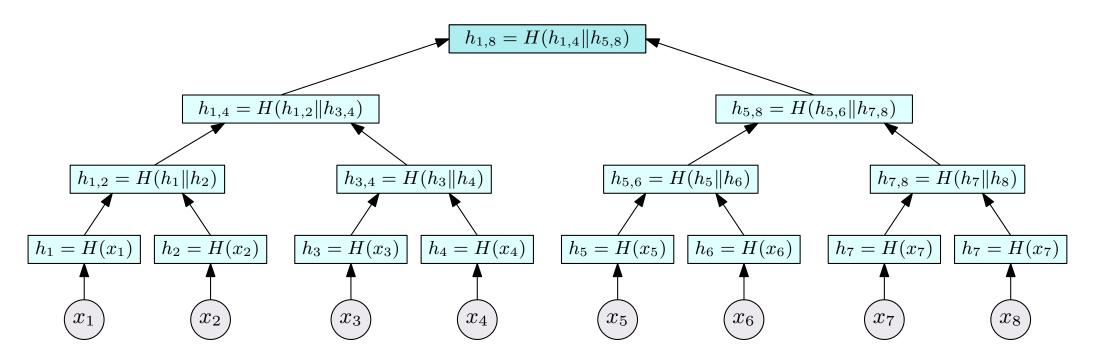
- Build a complete binary tree with t leaves
- Each node u stores a hash

- The hash stored in the *i*-th leaf is  $H(x_i)$
- The hash stored in an internal node with u and v as children is  $H(h_u || h_v)$



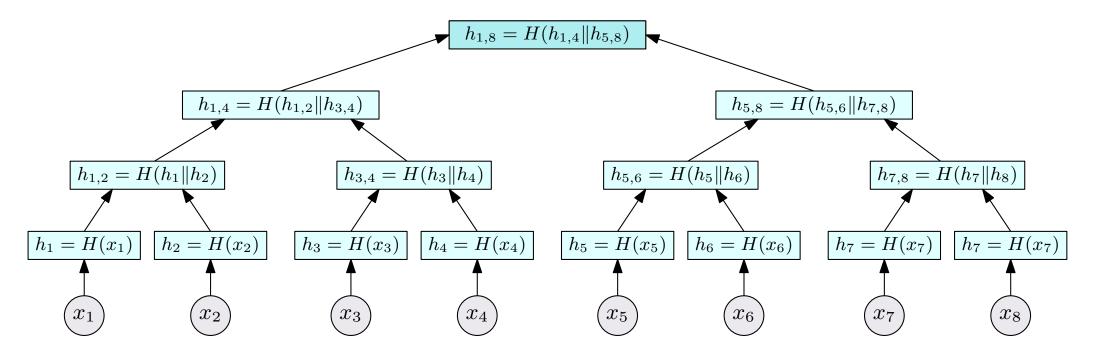
- Build a complete binary tree with t leaves
- Each node u stores a hash

- The hash stored in the *i*-th leaf is  $H(x_i)$
- The hash stored in an internal node with u and v as children is  $H(h_u || h_v)$



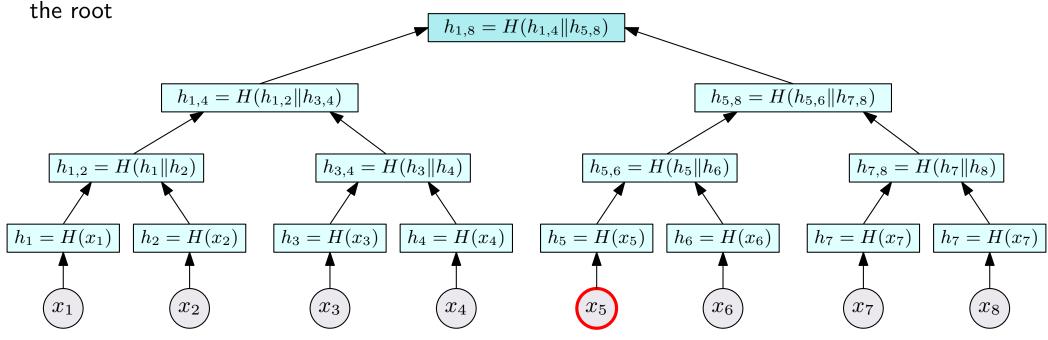
- Build a complete binary tree with t leaves
- Each node u stores a hash
- The final hash of the whole list  $\langle x_1, \dots, x_t \rangle$  is the hash stored in the root.

- The hash stored in the *i*-th leaf is  $H(x_i)$
- The hash stored in an internal node with u and v as children is  $H(h_u || h_v)$



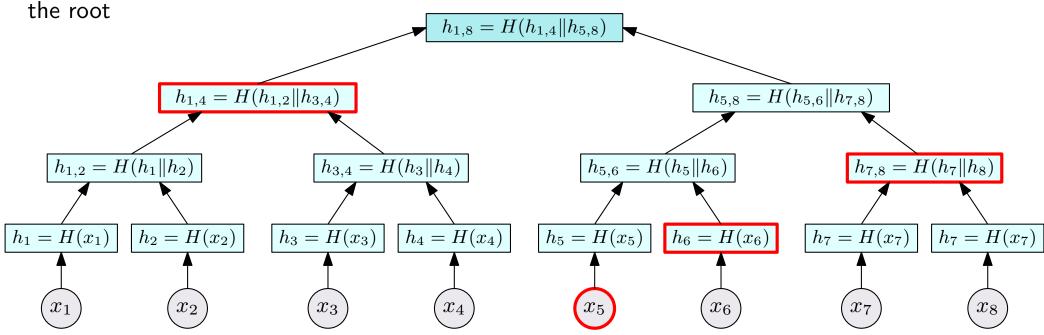
To convince Bob that  $x_i$  was part of the hashed strings:

• Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to



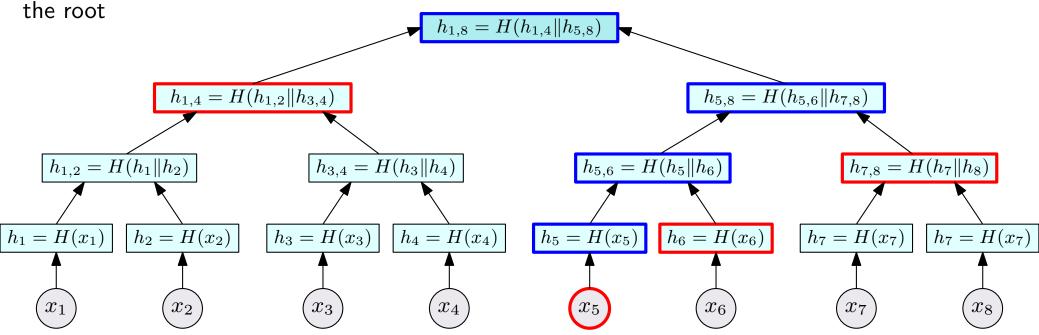
To convince Bob that  $x_i$  was part of the hashed strings:

ullet Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to



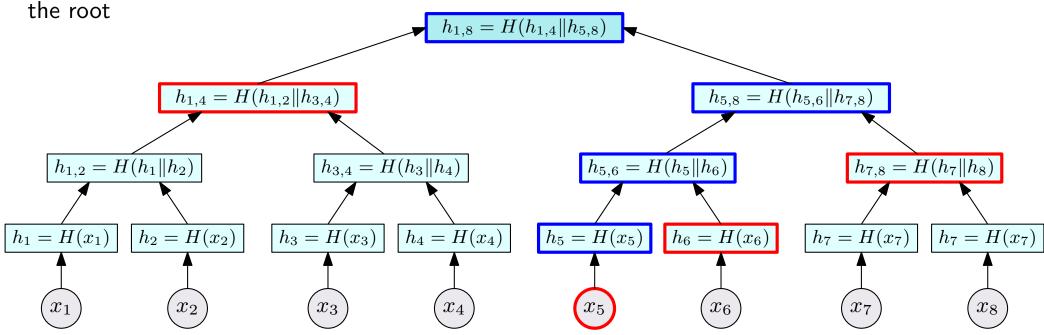
To convince Bob that  $x_i$  was part of the hashed strings:

• Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to



To convince Bob that  $x_i$  was part of the hashed strings:

ullet Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to

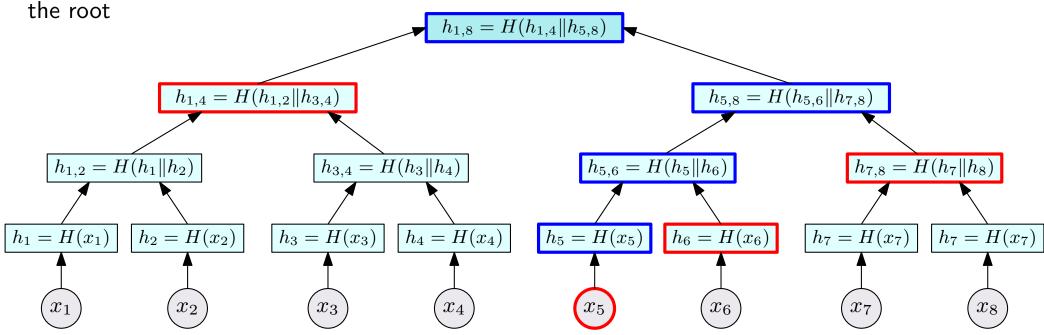


### **Advantages:**

• Alice only sends  $x_i$  plus  $O(\log t)$  short hashes

To convince Bob that  $x_i$  was part of the hashed strings:

• Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to

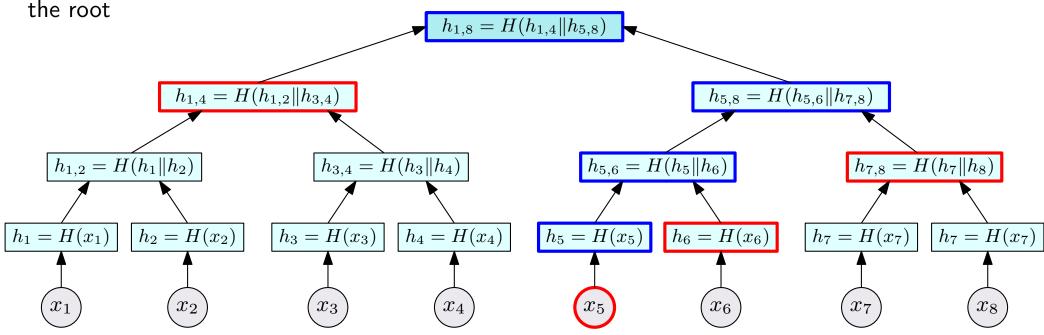


### **Advantages:**

- Alice only sends  $x_i$  plus  $O(\log t)$  short hashes
- ullet Bob still doesn't know the values  $x_j$  with  $j \neq i$

To convince Bob that  $x_i$  was part of the hashed strings:

• Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to



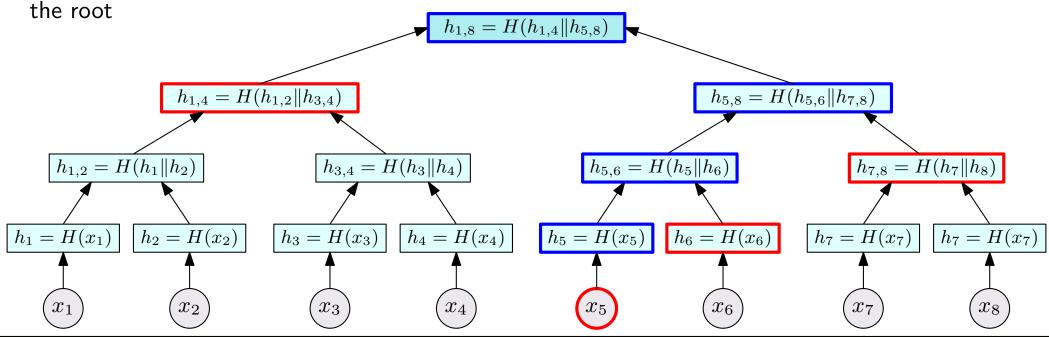
### **Advantages:**

- Alice only sends  $x_i$  plus  $O(\log t)$  short hashes
- Bob still doesn't know the values  $x_i$  with  $j \neq i$

Commitment scheme with partial reveal!

To convince Bob that  $x_i$  was part of the hashed strings:

• Alice sends  $x_i$  along with the hashes of all siblings of the vertices in the path from the i-th leaf to



If H is collision resistant, then the hash function computed by the above Merkle tree construction is collision resistant for any fixed t.

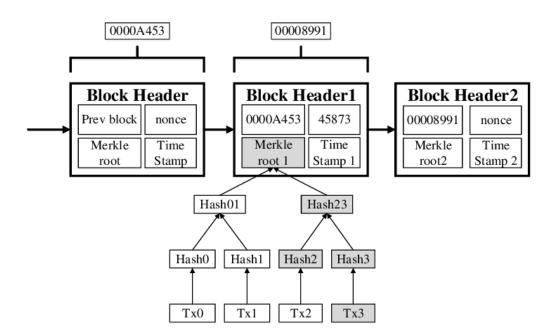
The construction can be generalized to handle nonconstant t.

### Merkle Trees: Bitcon & SPV

#### In Bitcoin:

- Each block of the blockchain contains list of transactions  $x_1, \ldots, x_t$
- The hash of the block is computed using a Merkle tree





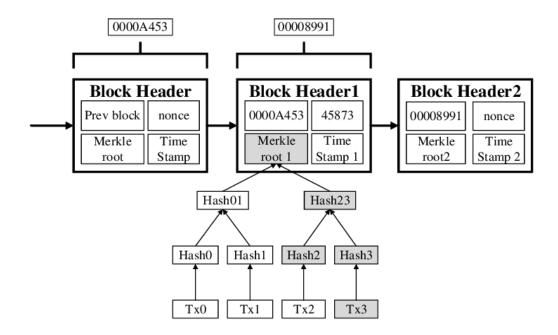
Credit: W. Dai, J. Deng, Q. Wang, C. Cui, D. Zou, H. Jin

### Merkle Trees: Bitcon & SPV

#### In Bitcoin:

- Each block of the blockchain contains list of transactions  $x_1, \ldots, x_t$
- The hash of the block is computed using a Merkle tree
- Some nodes (called SPV nodes, from simple payment verification) only store the hashes of the blocks in the blockchain (and not their contents)



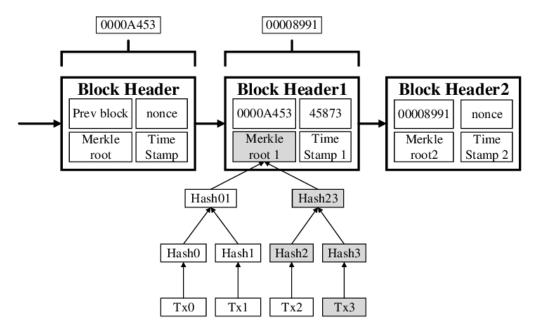


Credit: W. Dai, J. Deng, Q. Wang, C. Cui, D. Zou, H. Jin

### Merkle Trees: Bitcon & SPV

#### In Bitcoin:

- Each block of the blockchain contains list of transactions  $x_1, \ldots, x_t$
- The hash of the block is computed using a Merkle tree
- Some nodes (called SPV nodes, from simple payment verification) only store the hashes of the blocks in the blockchain (and not their contents)
- Easy to convince a SPV node that a given transaction belongs to a block in the blockchain





Credit: W. Dai, J. Deng, Q. Wang, C. Cui, D. Zou, H. Jin