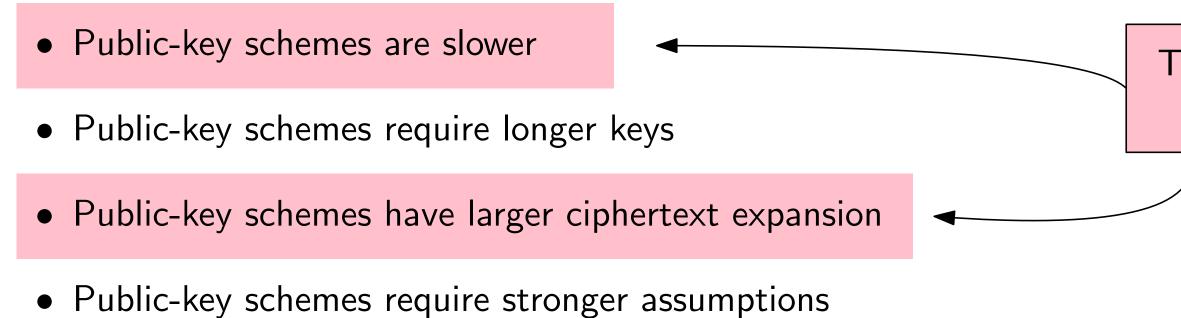
## Public-Key Setting

Why study private key cryptography at all?

• If two parties with to communicate, they can always generate two public/secret key pairs instead of a secret shared key



These issues can be mitigated

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### **Benefits**:

- Fast (public-key encryption is used only for the first block)
- Asymptotically optimal ciphertext expansion: the only blocks that are expanded are the first (due to public-key encryption) and possibly the last (due to padding)

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- Gen is a randomized polynomial-time algorithm that takes as input the security parameter 1<sup>n</sup> and outputs a public/secret key pair (pk, sk), where pk and sk have length at least n.
- Encaps is a randomized polynomial-time **encapsulation** algorithm that takes as input a public key pk and  $1^n$ , and outputs a pair (c,k) where c is a ciphertext and  $k \in \{0,1\}^{\ell(n)}$ , for some key length  $\ell(n)$ . We write this as  $(c,k) \leftarrow \text{Encaps}_{pk}(1^n)$ .

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**Correctness:** if  $(c,k) \leftarrow \text{Encaps}_{pk}(1^n)$  then  $\text{Decaps}_{sk}(c) = k$ , except for negligible probability. **Note**: a public-key encryption scheme is *a possible way* to build a KEM

Unsurprisingly... we can use a KEM  $\Pi = (Gen, Encaps, Decaps)$  and a private-key encryption scheme  $\Pi' = (\text{Gen}', \text{Enc}', \text{Dec}')$  to build a public-key encryption scheme  $\Pi^{hy} = (\text{Gen}^{hy}, \text{Enc}^{hy}, \text{Dec}^{hy})$ .

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 $\operatorname{\mathsf{Gen}}^{hy}(1^n)$ :  $(pk, sk) \leftarrow \operatorname{\mathsf{Gen}}(1^n)$ ; Return (pk, sk)

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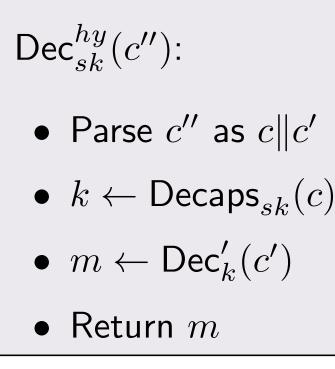
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(that's the whole point of introducing KEMs)

• Parse c'' as  $c \| c'$  (return  $\perp$  if this fails)

### **CPA-Security of KEMs**

Let  $\Pi = (\text{Gen}, \text{Encaps}, \text{Decaps})$  be a KEM and let  $\mathcal{A}$  be an algorithm. We denote the following experiment by  $KEM_{\mathcal{A},\Pi}^{cpa}$ 

- A key pair  $(pk, sk) \leftarrow \text{Gen}(1^n)$  is generated
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**Definition**: A key-encapsulation mechanism  $\Pi$  is **CPA-secure** if, for every probabilistic polynomial-time adversary  $\mathcal{A}$ , there is a negligible function  $\varepsilon$  such that:

$$\Pr[\mathsf{KEM}^{\mathsf{cpa}}_{\mathcal{A},\Pi}(n) = 1] \le \frac{1}{2} + \varepsilon(n)$$

**Theorem**: If  $\Pi$  is a CPA-secure KEM and  $\Pi'$  is an EAV-secure private-key encryption scheme then  $\Pi^{hy}$  (as previously defined) is a CPA-secure public-key encryption scheme.

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### Why? Notice that only EAV-security is needed for $\Pi'$ !

• A new key k is used for each encryption!

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### High-level idea of the proof:

- Let  $k^*$  be a key chosen u.a.r. from  $\{0,1\}^{\ell(n)}$
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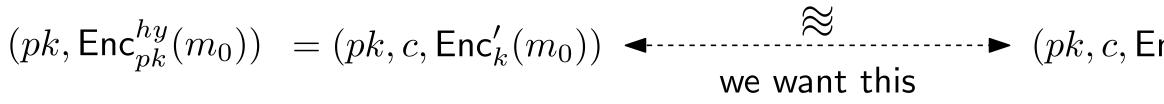
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$$(pk, \mathsf{Enc}_{pk}^{hy}(m_0)) = (pk, c, \mathsf{Enc}_k'(m_0))$$
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(can't tell k and k\* apart)
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 $\operatorname{Enc}_{k}'(m_{1})) = (pk, \operatorname{Enc}_{pk}^{hy}(m_{1}))$ 

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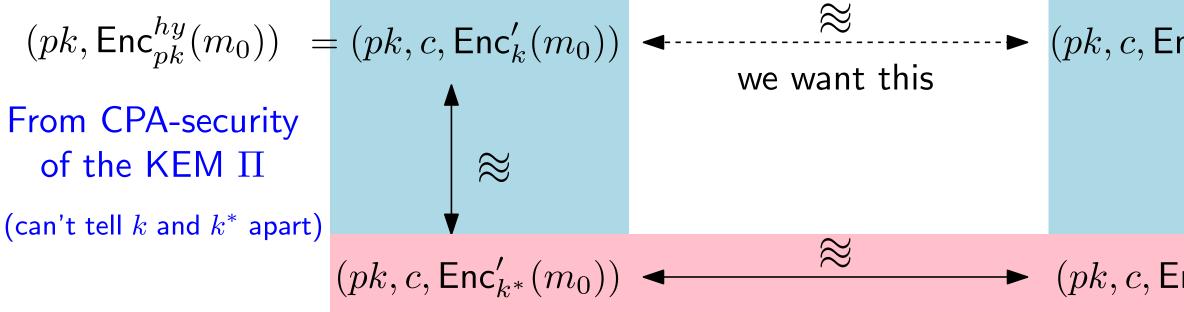
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$$nc'_{k}(m_{1})) = (pk, Enc^{hy}_{pk}(m_{1}))$$

$$\approx$$

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### Security Against Chosen Ciphertext Attacks

Let  $\Pi = (\text{Gen}, \text{Encaps}, \text{Decaps})$  be a KEM and let  $\mathcal{A}$  be an algorithm. We denote the following experiment by  $KEM_{\mathcal{A},\Pi}^{cca}$ 

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- The values of pk, c, and  $\widehat{k}$  are sent to  $\mathcal{A}$
- $\mathcal{A}$  interacts with an oracle providing access to  $\mathsf{Decaps}_{sk}(\cdot)$ , but it cannot query the oracle with c
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**Definition**: A key-encapsulation mechanism  $\Pi$  is **CCA-secure** if, for every probabilistic polynomial-time adversary  $\mathcal{A}$ , there is a negligible function  $\varepsilon$  such that:

$$\Pr[\mathsf{KEM}^{\mathsf{cca}}_{\mathcal{A},\Pi}(n) = 1] \le \frac{1}{2} + \varepsilon(n)$$

We can combine a CCA-secure KEM and a CCA-secure DEM to obtain a CCA-secure public-key encryption scheme:

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Consider the hybrid encryption using some CCA-secure KEM and the pseudo-OTP DEM.

The ciphertext is:  $\langle c, c' \rangle = \langle c, G(k) \oplus m \rangle$ , where G is a PRG.

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The adversary can compute  $c'' = c' \oplus 0 \dots 0$  1, query the decryption oracle with c'' to obtain |c'|-1 times  $m'' = \mathsf{Dec}_{sk}(\langle c, c'' \rangle)$ , and recover  $m = m'' \oplus 00 \dots 01$ 

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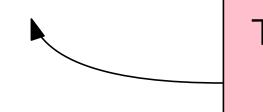
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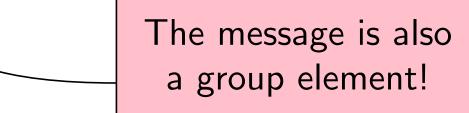
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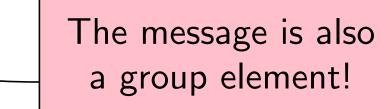
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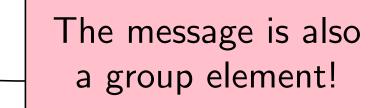
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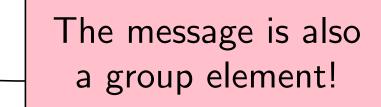
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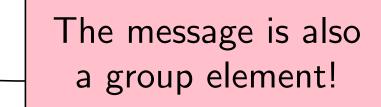
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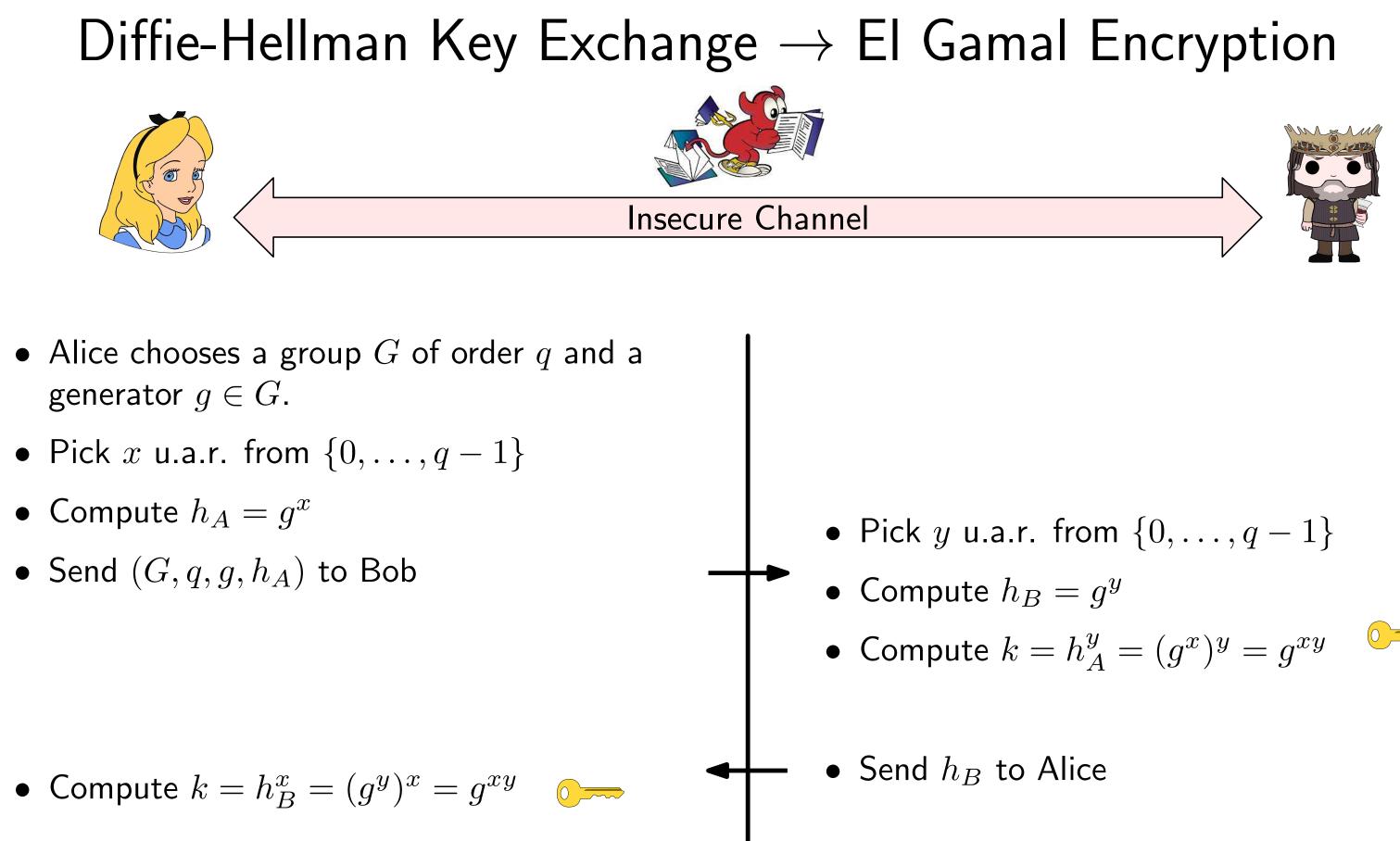


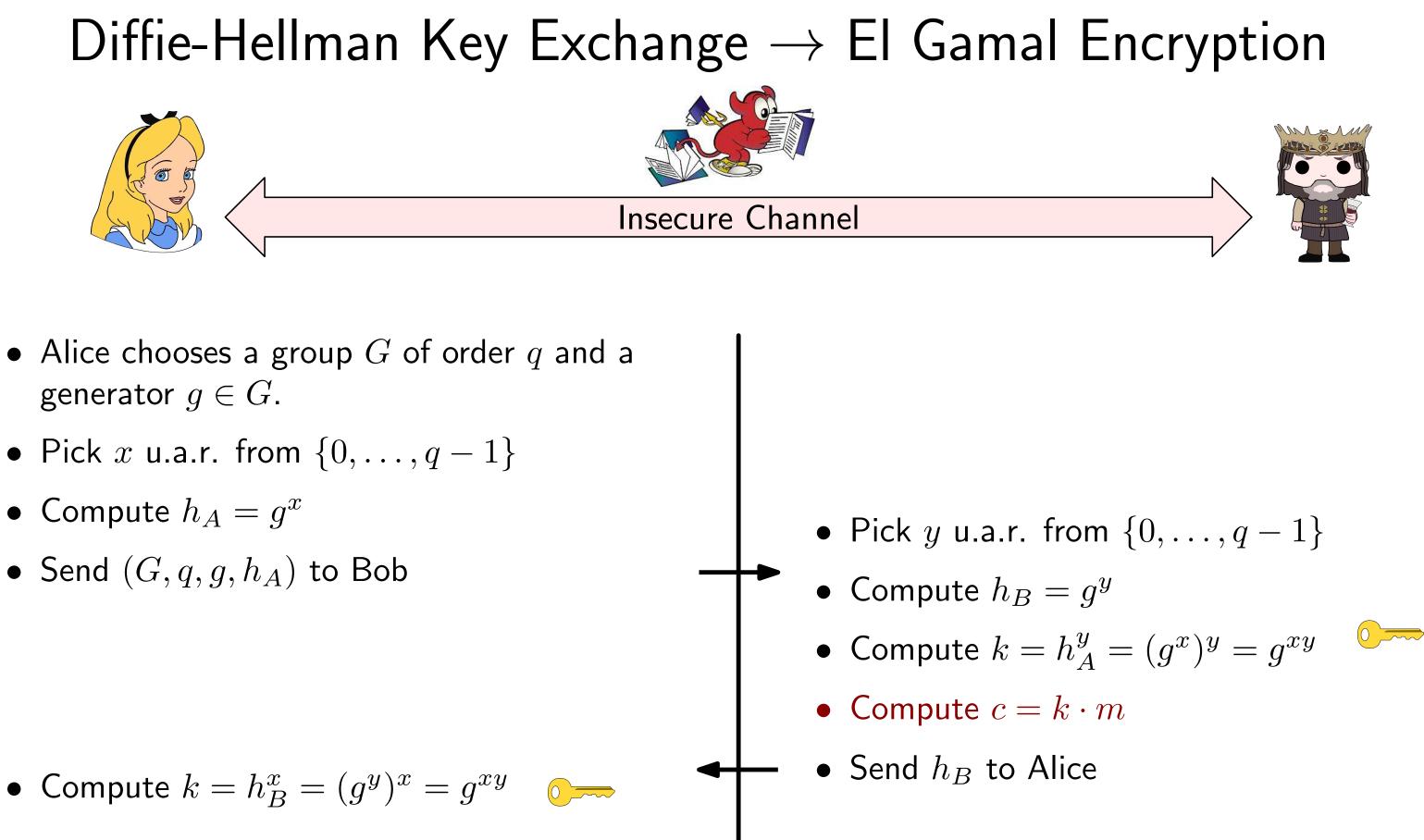
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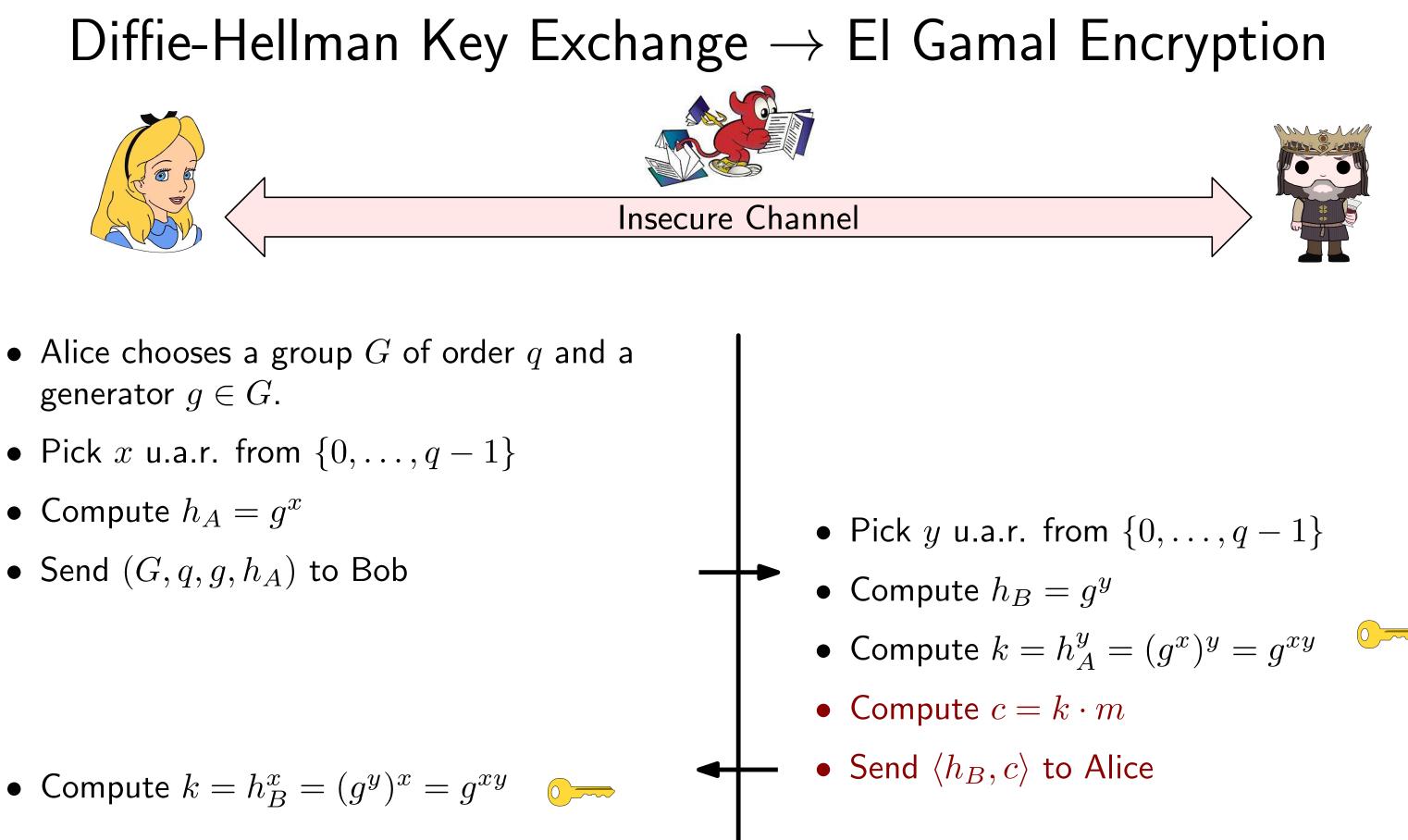
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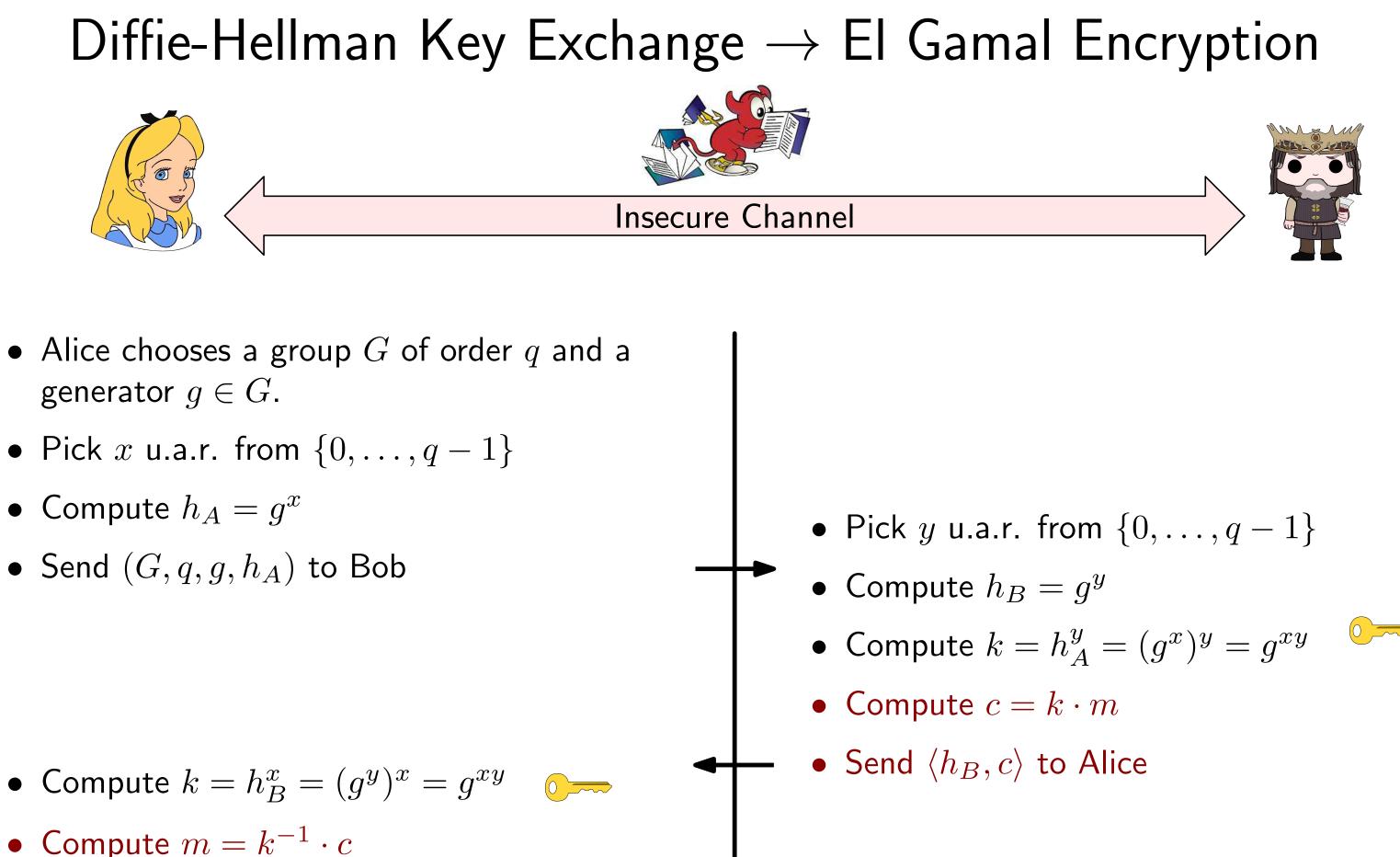
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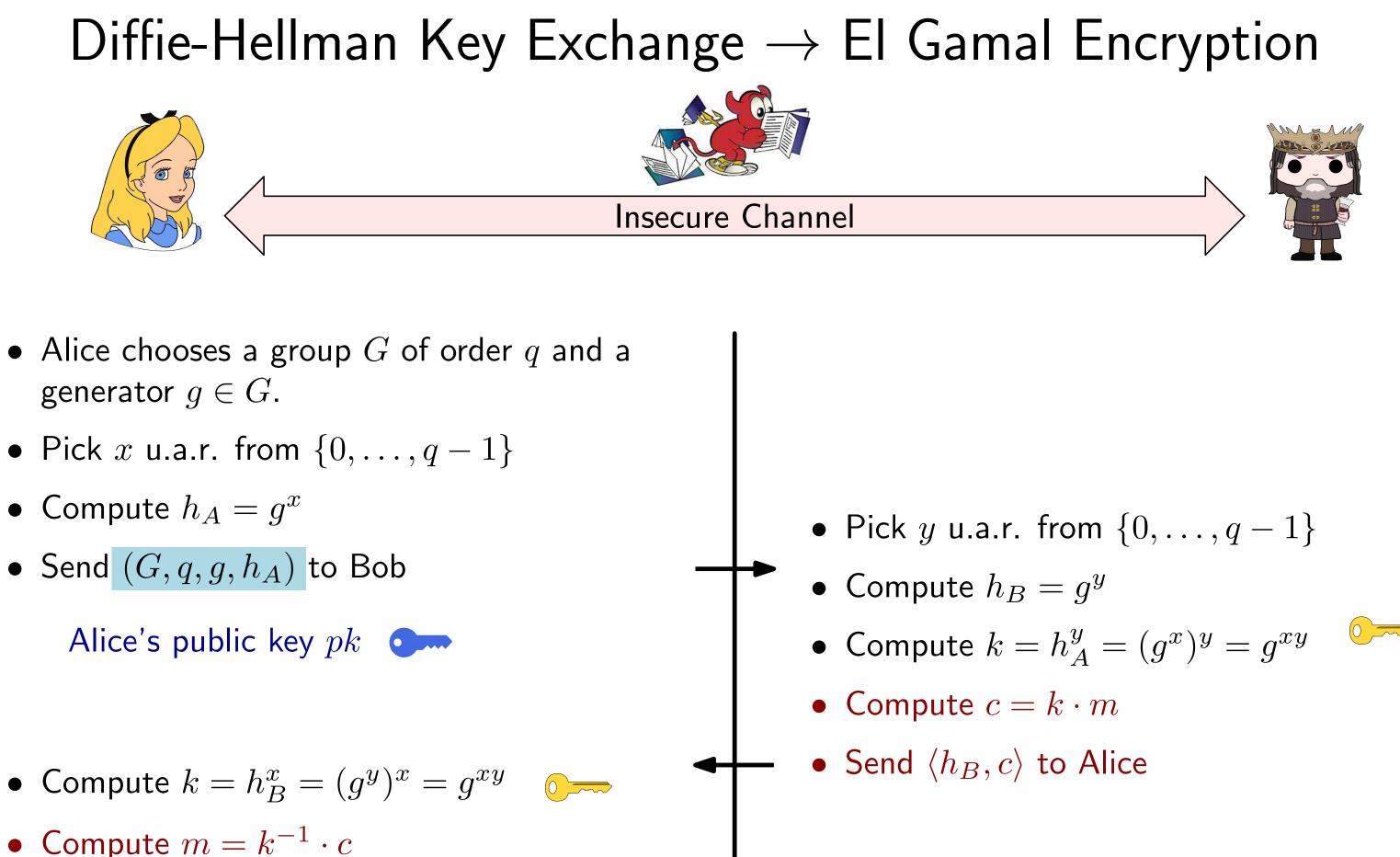
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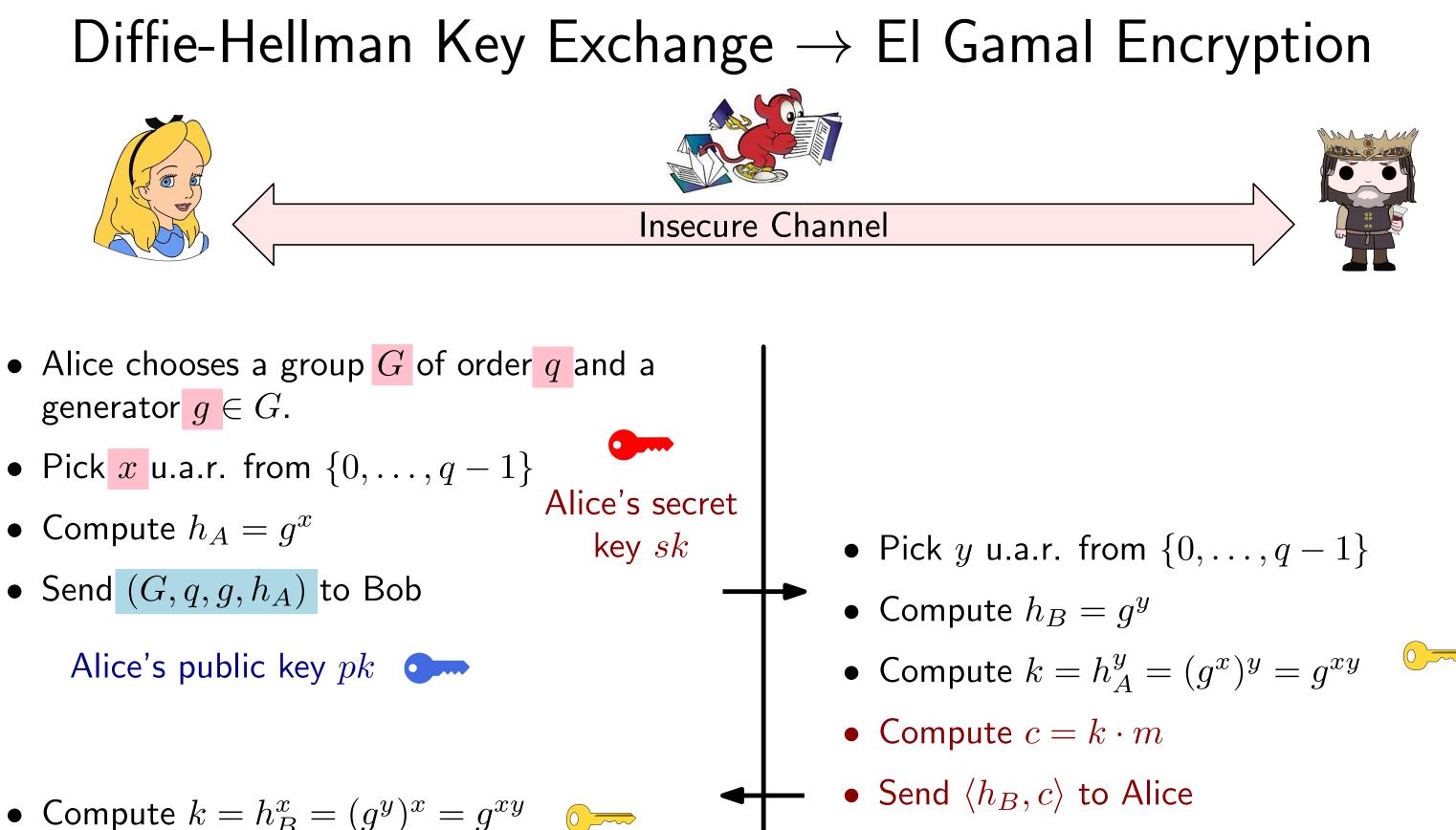




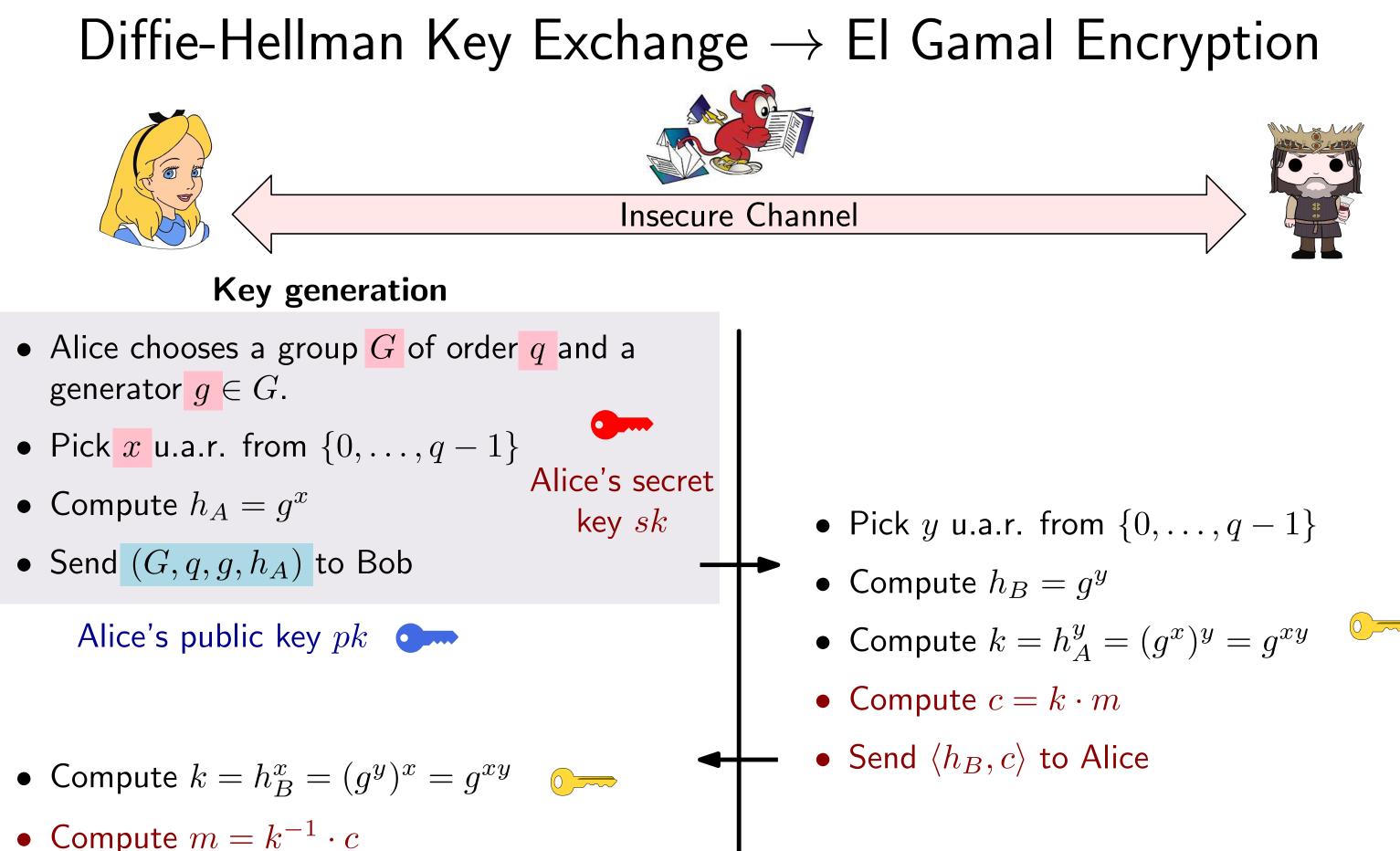


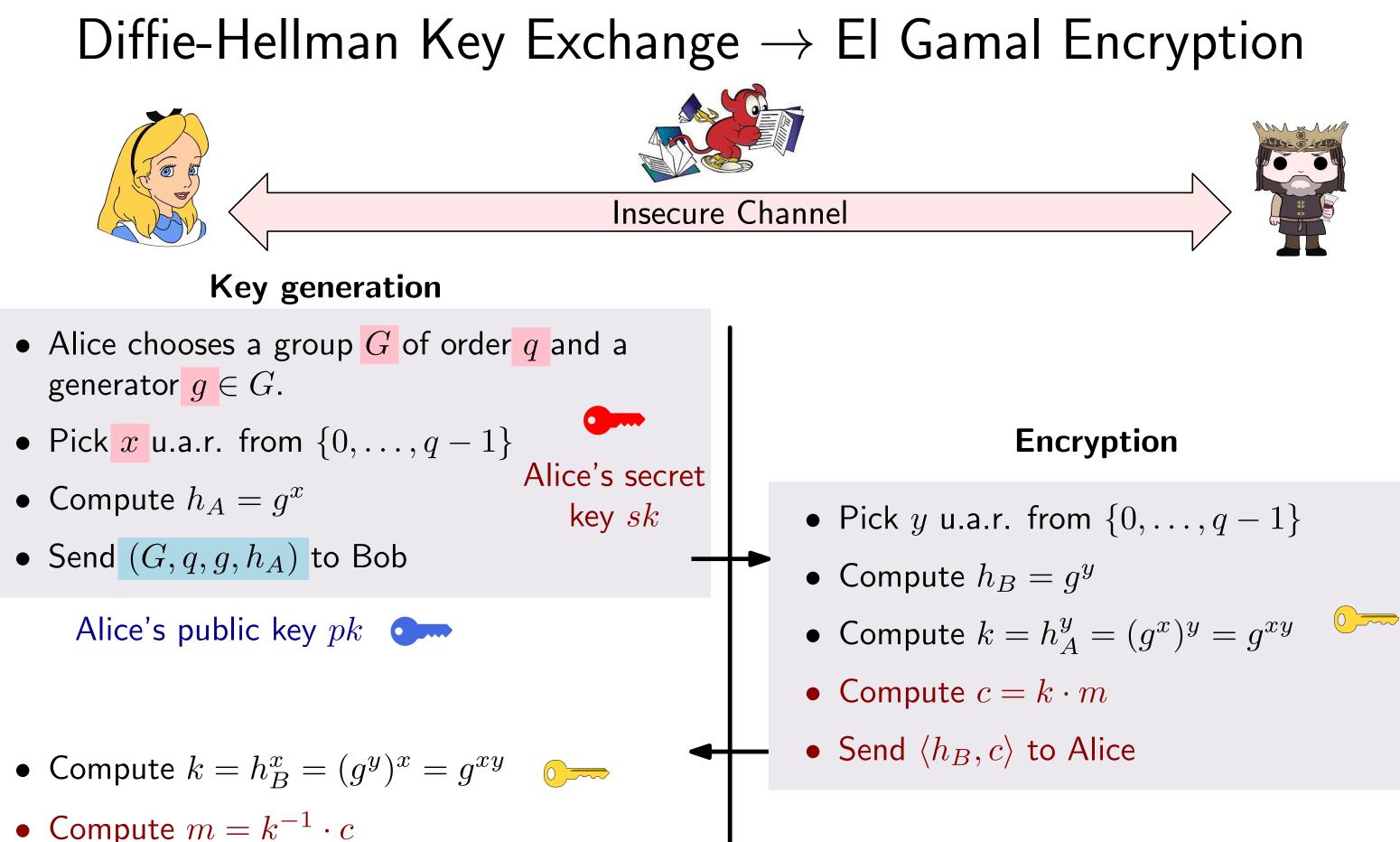


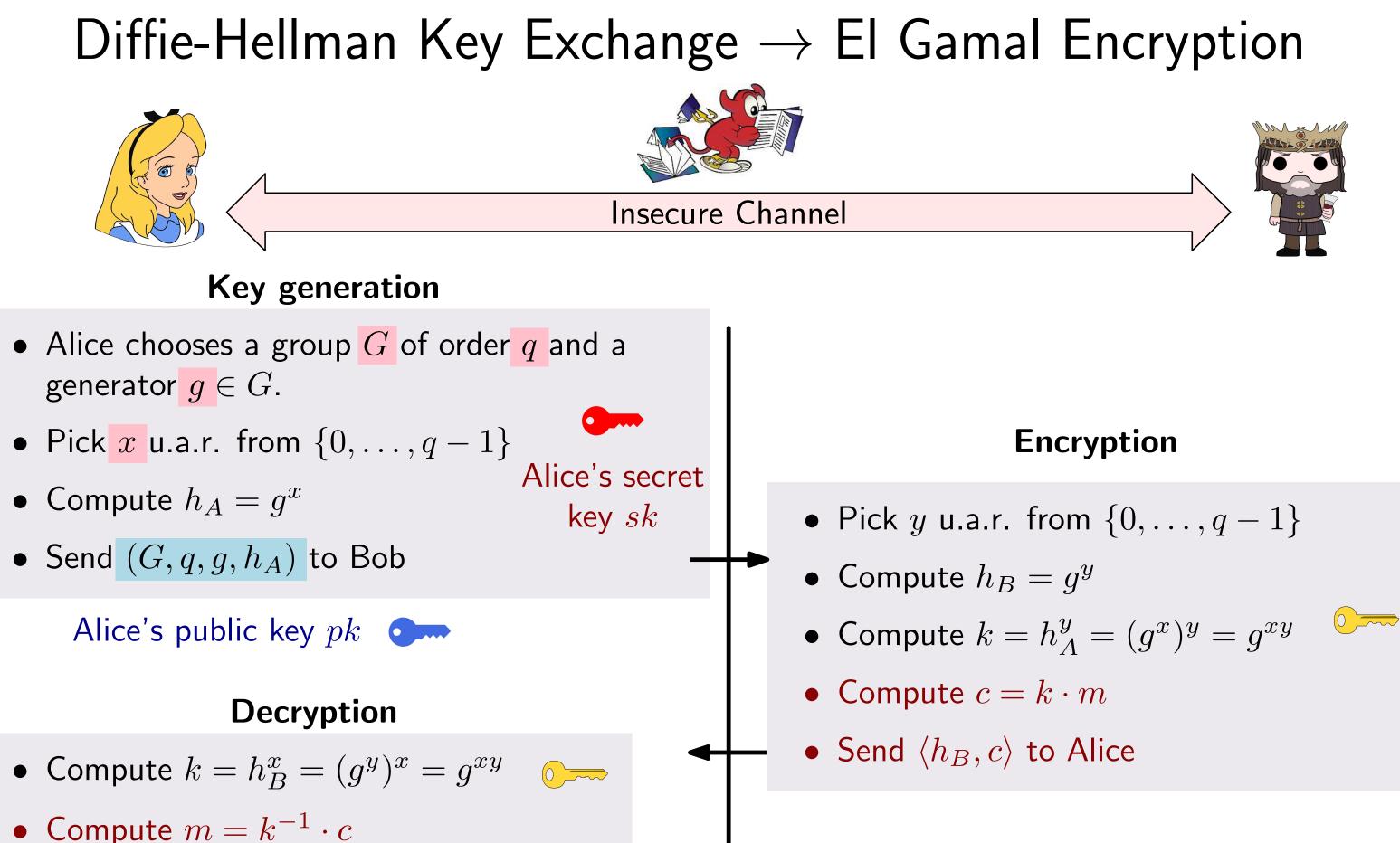


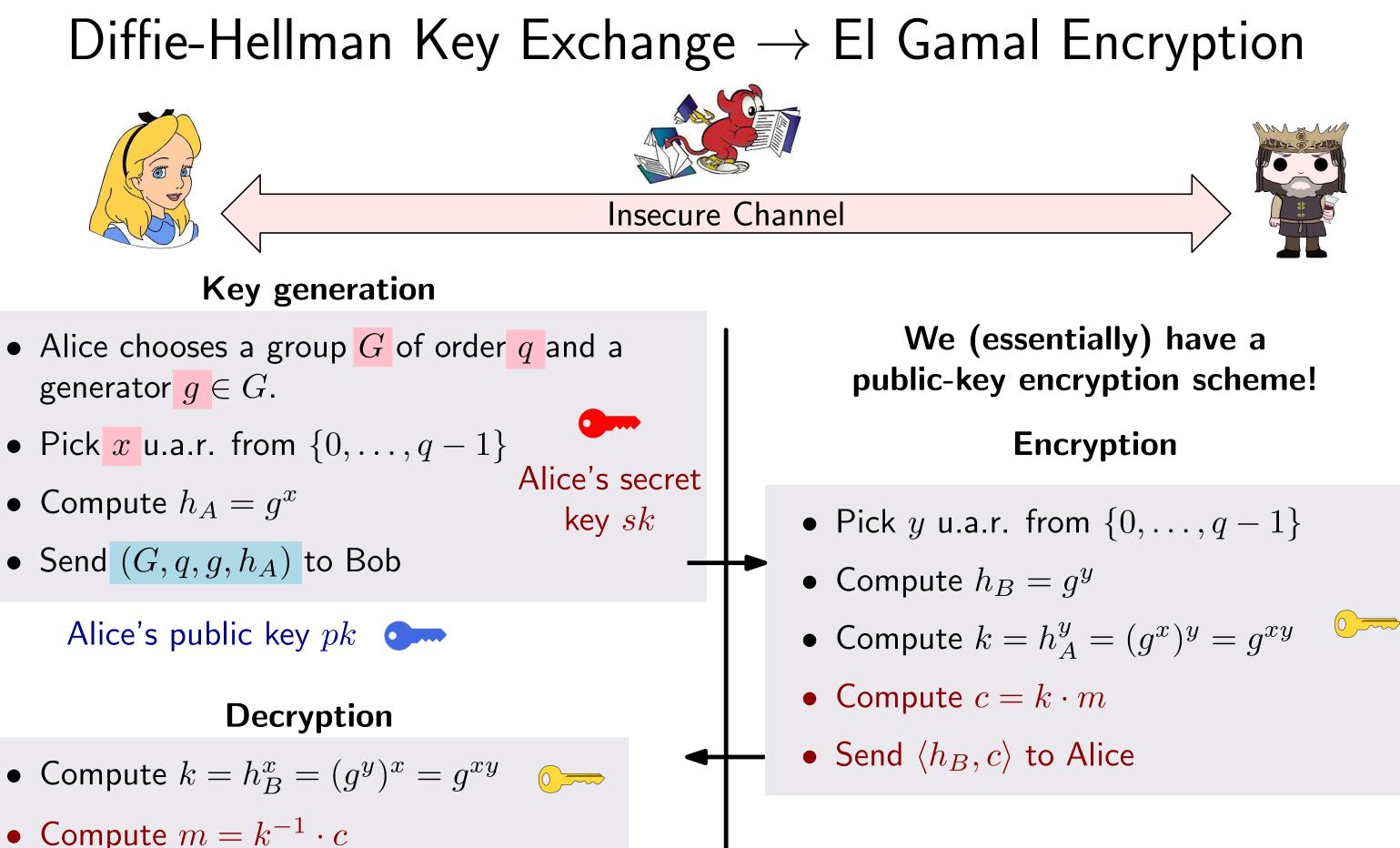


• Compute 
$$m = k^{-1} \cdot c$$









## El Gamal Encryption (more formally)

 $\operatorname{Gen}(1^n)$ :

- Run  $\mathcal{G}(1^n)$ , where  $\mathcal{G}$  is a group generation algorithm, to obtain (G,q,g) where G is a group of order q and  $g \in G$  is a generator
- Choose a uniform x u.a.r. from  $\{0, \ldots, q-1\}$
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In practice the group G (and its order q) is fixed in advance along with a generator  $g \in G$ . (just like in the Diffie-Hellman key exchange).

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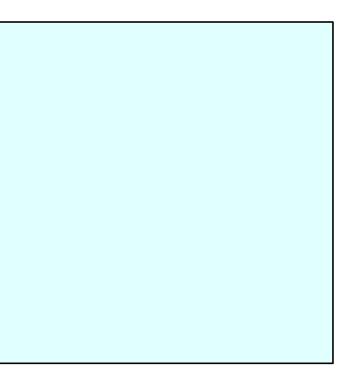
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If  $h = q^{xy}$ :

- Algorithm D is carrying out the PubK<sup>cpa</sup><sub> $\Pi_A$ </sub>(n) experiment!
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# Consider the following algorithm D for the DDH problem:

# not negligible!

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As an alternative, we can use our public-key encryption scheme as a KEM for Hybrid Encryption

El Gamal as a KEM:

- Gen: as before
- Encaps: pick a random group element  $\widetilde{k} \in G$  and encrypt it as  $\langle g^y, h^y \cdot \widetilde{k} \rangle$ . Return  $(k, \langle g^y, h^y \cdot \widetilde{k} \rangle)$
- Decaps: given  $\langle c_1, c_2 \rangle$  compute  $\widetilde{k} = (c_1^x)^{-1} \cdot c_2$ . Return  $\widetilde{k}$ .

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Use  $c_1^x$  as the key!

# **DDH-Based Key Encapsulation Mechanism**

 $\operatorname{Gen}(1^n)$ :

- Run  $\mathcal{G}(1^n)$ , where  $\mathcal{G}$  is a group generation algorithm, to obtain (G, q, g) where G is a group of order q and  $g \in G$  is a generator
- Choose a uniform x u.a.r. from  $\{0, \ldots, q-1\}$
- Compute  $h = g^x$
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 $\mathsf{Encaps}_{pk}(1^n)$ :

- Here pk = (G, q, g, h, H)
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Formally, we need:

$$\sum_{k \in \{0,1\}^{\ell(n)}} \left| \Pr[H(g) = k] - 2^{-\ell(n)} \right| \le \varepsilon(n),$$

where  $\varepsilon(n)$  is a negligible function and the probability is taken over the uniform choice of  $g \in G$ .

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If the DDH problem is hard relative to G, and H is chosen as above, then the DDH-based KEM is CPA-secure.

# (Plain) RSA Encryption

## Reminder: *e*-th roots

Let N = pq where p and q are distinct odd primes

The order of  $Z_N^*$  is  $\phi(N) = (p-1) \cdot (q-1)$ 

- Trivial to compute if we know p and q
- "Hard" to compute if we know N but not p and q (can be shown to be equivalent to factoring N)

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Pick  $e \in \mathbb{Z}_N^*$  such that  $gcd(e, \phi(N)) = 1$ .

- $f_e(x) = x^e$  is a permutation of  $\mathbb{Z}_N^*$
- Let d be the inverse of e modulo  $\phi(N)$ . Then  $f_d(x) = x^d$  is the inverse of  $f_e$ .
- $(x^e)^d = (x^d)^e = x$

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Since  $(x^e)^d = x$  we can think of  $x^d$  as the *e*-th root of x

• We define  $x^{1/e} = x^d$ 

## Reminder: the RSA problem

Let GenRSA be a polynomial-time algorithm that, on input  $1^n$ , outputs a triple (N, e, d) where:

- N = pq, and p and q are n-bit primes
- $ed = 1 \pmod{\phi(N)}$

The algorithm is allowed to fail with negligible probability.



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For an algorithm  $\mathcal{A}$ , define RSA-inv<sub> $\mathcal{A}$ ,GenRSA</sub>(n) as:

- Run GenRSA( $1^n$ ) to obtain (N, e, d).
- Choose  $y \in \mathbb{Z}_N^*$  u.a.r.
- Send N, e and y to  $\mathcal{A}$
- $\mathcal{A}$  outputs  $x \in \mathbb{Z}_N^*$

• The outcome of the experiment is 1 if  $x^e = y$ . Otherwise the outcome is 0.



## Reminder: the RSA assumption

**Definition:** The RSA problem is hard relative to GenRSA if for all probabilistic polynomial-time algorithms  $\mathcal{A}$  there exists a negligible function  $\varepsilon$  such that

 $\Pr[\mathsf{RSA-inv}_{\mathcal{A},\mathsf{GenRSA}}(n) = 1] \le \varepsilon(n).$ 

**The RSA assumption:** there exists a GenRSA algorithm relative to which the RSA problem is hard.

We can define a public-key encryption scheme (for short messages) based on the RSA assumption

 $\operatorname{Gen}(1^n)$ :

- $(N, e, d) \leftarrow \mathsf{GenRSA}(1^n)$
- Return (pk, sk) where  $pk = \langle N, e \rangle$  and  $sk = \langle N, d \rangle$

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### Plain RSA

Say that we run GenRSA(1<sup>5</sup>) and it returns (N, e, d) = (391, 3, 235)

- We are going to work in the group  $\mathbb{Z}_{391}^*$
- The public key pk is (391,3)
- The secret key sk is (391, 235)

To encrypt  $m = 158 \in \mathbb{Z}_{391}^*$ :



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To encrypt  $m = 158 \in \mathbb{Z}_{391}^*$ :

• Compute  $c = 158^3 \mod 391$ 



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### $= 331 \cdot 158 \mod 391 = 295$

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• Compute  $c = 158^3 \mod 391 = (158^2 \mod 391) \cdot 158 \mod 391$ 

To decrypt c = 295:

• Compute  $m = 295^{235} \mod 391$ 



### $= 331 \cdot 158 \mod 391 = 295$

We reduce the result modulo 295 after every product

 $295^{235} \mod 391 = (295^{117} \mod 391)^2 \cdot 295 \mod 391$ 



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 $\approx \log_2 d$  $\leq \log_2 N$ levels of recursion

We reduce the result modulo 295 after every product

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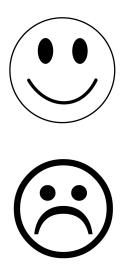
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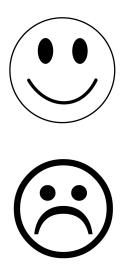
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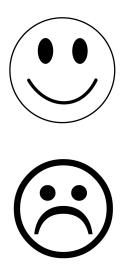


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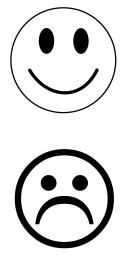
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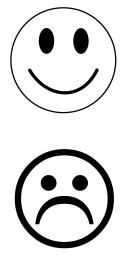
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### Plain RSA should never be used!



## Attacks on Plain RSA: Better than bruteforce

Suppose that plain-RSA is used to encrypt a random group element  $m \in \mathbb{Z}_N^*$  with  $N \approx 2^\eta$ 

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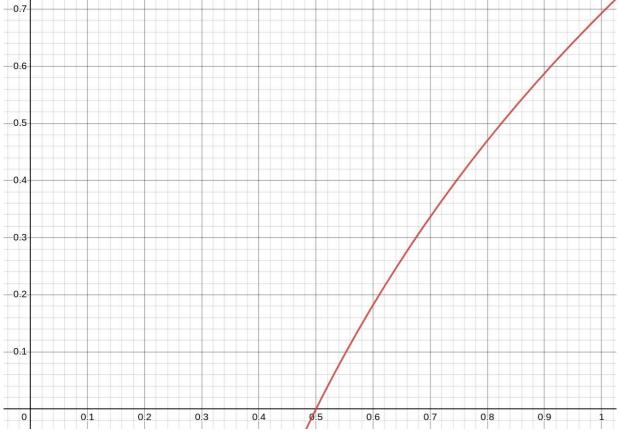
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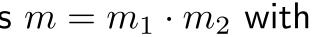
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**Table 1.** Experimental probabilities of splitting into two factors.

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Bit-length $m$	$m_1$	$m_2$	Probability
40	20	20	18%
	21	21	32%
	22	22	39%
	20	25	50%
64	32	32	18%
	33	33	29%
	34	34	35%
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(compute what the value of x would be if the guess was correct)

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$$\prod_{i=1}^{e} N_i$$

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**Chinese Remainder Theorem:** If the  $n_i$  are pairwise coprime then the system

$$\begin{cases} x \equiv a_1 \pmod{n_1} \\ \vdots \\ x \equiv a_k \pmod{n_k} \end{cases}$$

. . .

has a unique solution  $x^*$  s.t.  $0 \le x^* < \prod_{i=1}^{\kappa} n_i$ . Moreover, all solutions are congruent modulo  $\prod_{i=1}^{\kappa} n_i$ .

$$pk_e = (N_e, e)$$

### $c_e = m^e \mod N_e$

Consider a sender that encrypts the same message m for multiple recipients Suppose that e recipients all use the same exponent and have public keys:  $pk_1 = (N_1, e)$   $pk_2 = (N_2, e)$ 

An eavesdropper sees:

 $c_1 = m^e \mod N_1 \qquad c_2 = m^e \mod N_2$ . . .

If  $gcd(N_i, N_j) \neq 1$  for some i, j with  $i \neq j$ , then we can factor  $N_i$  and  $N_j$ . all  $N_i$ s are pairwise coprime.

Notice that  $c = m^e$  satisfies all equations. Consider the system  $\begin{cases} c \equiv c_1 \pmod{N_1} \\ \vdots \\ c \equiv c_e \pmod{N_e} \end{cases}$ Moreover,  $0 \le m^e < [$ 

The solution whose existence is guaranteed by the Chinese remainder theorem is exactly  $c^* = m^e$ 

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$$\begin{cases} c \equiv c_1 \pmod{N_1} & \text{Notice that } c \equiv m^e \text{ satisfies a} \\ \vdots & \\ c \equiv c_e \pmod{N_e} & \\ \end{cases} & \text{Moreover, } 0 \leq m^e < \prod_{i=1}^e N_i \\ \text{No modular} \end{cases}$$

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### reduction!

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The solution whose existence is guaranteed by the Chinese remainder theorem is exactly  $c^* = m^e$ This is solution can be found in polynomial-time!

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### Il equations.

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If  $gcd(N_i, N_j) \neq 1$  for some i, j with  $i \neq j$ , then we can factor  $N_i$  and  $N_j$ . all  $N_i$ s are pairwise coprime.

Consider the system 
$$\begin{cases} c \equiv c_1 \pmod{N_1} & \text{Notice that } c \equiv m^e \text{ same strength} \\ \vdots & \\ c \equiv c_e \pmod{N_e} & \\ \end{cases}$$

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To recover m we just need to compute  $\sqrt[e]{c^*}$  (over the reals!)

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Therefore we assume that

### atisfies all equations.

$$\prod_{i=1}^{e} N_i$$

### modular reduction!