## Interval Scheduling

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You need to compute a non-preemptive schedule on a supercomputer.

- There are $n$ jobs indexed by $1, \ldots, n$ submitted for execution.
- Each job $i$ has a desired start time $s(i)$ and a completion time $e(i)>s(i)$.
- Two jobs $i$ and $j$ are compatible if the intervals $[s(i), e(i))$ and $[s(j), e(j))$ are disjoint.

Goal: Find a subset of mutually compatible jobs of maximum cardinality.


## Example



## Example




## Greedy template:

- Start with an empty set of jobs $R=\emptyset$.
- Examine jobs in some order.
- When job $i$ is examined: add $i$ to $R$ if it is compatible with all jobs $j$ already in $R$.
- Finally, return $R$.


## Greedy template:

- Start with an empty set of jobs $R=\emptyset$.
- Examine jobs in some order.
- When job $i$ is examined: add $i$ to $R$ if it is compatible with all jobs $j$ already in $R$.
- Finally, return $R$.

Key question:
In what order should we process the jobs?

## Some Possibilities:

- Earliest Start Time: Increasing order of $s(i)$.
- Earliest Finish Time: Increasing order of $e(i)$.
- Shortest Interval: Increasing order of $e(i)-s(i)$.
- Fewest Conflicts: Increasing order w.r.t. the number of conflicting jobs.


## Earliest Start Time



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## Shortest Interval



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## Fewest Conflicts



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## Earliest Finish Time

- Let $\mathcal{J}=\{1 \ldots, n\}$ be the set of jobs in input.
- $R \leftarrow \emptyset$
- While $\mathcal{J}$ is not empty:
- Find a job $i \in \mathcal{J}$ minimizing $e(i)$.
- Add $i$ to $R$
- Remove from $\mathcal{J}$ all jobs $j \in \mathcal{J}$ that are not compatible with $i$ (including $i$ itself).
- Return $R$

Observation: $R$ is always a set of mutually compatible jobs.

## EFT: Proof of Correctness

Let $R^{*}$ be an optimal set of jobs.
Let $i_{1}, i_{2}, \ldots, i_{m}\left(\right.$ resp. $\left.i_{1}^{*}, i_{2}^{*}, \ldots, i_{\ell}^{*}\right)$ be the indices of the jobs in $R$ (resp. $R^{*}$ ), sorted w.r.t. $e(\cdot)$.

We want to prove $m=|R| \geq\left|R^{*}\right|=\ell$.
Claim: For $k=1, \ldots, \ell$, index $i_{k}$ exists and $e\left(i_{k}\right) \leq e\left(i_{k}^{*}\right)$.

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Claim: For $k=1, \ldots, \ell$, index $i_{k}$ exists and $e\left(i_{k}\right) \leq e\left(i_{k}^{*}\right)$.
Base case ( $k=1$ ):

- Since $n \geq 1, \mathcal{J}$ is not empty before the first iteration, and $i_{1}$ exists.
- By the choice of $i_{1}: e\left(i_{1}\right) \leq \min _{j=1, \ldots, n} e(j) \leq e\left(i_{1}^{*}\right)$


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Claim: For $k=1, \ldots, \ell$, index $i_{k}$ exists and $e\left(i_{k}\right) \leq e\left(i_{k}^{*}\right)$. Induction step $(k>1)$ :

- $i_{k}^{*}$ is compatible with $i_{k-1}^{*}$, thus $e\left(i_{k-1}^{*}\right) \leq s\left(i_{k}^{*}\right)$



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- Thefore, at the beginning ot the $k$-th iteration, $i_{k}^{*} \in \mathcal{J}$ since it is compatible with $i_{1}, \ldots, i_{k-1}$

$i_{k-1}^{*}$



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- by induction hypothesis $e\left(i_{k-1}\right) \leq e\left(i_{k-1}^{*}\right)$
- Thefore, at the beginning ot the $k$-th iteration, $i_{k}^{*} \in \mathcal{J}$ since it is compatible with $i_{1}, \ldots, i_{k-1}$
- $\mathcal{J} \neq \emptyset \Longrightarrow \exists i_{k}$
- By the greedy choice: $e\left(i_{k}\right)=\min _{j \in \mathcal{J}} e(j) \leq e\left(i_{k}^{*}\right)$.



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Claim: For $k=1, \ldots, \ell$, index $i_{k}$ exists and $e\left(i_{k}\right) \leq e\left(i_{k}^{*}\right)$.

## Trick/Technique: Greedy Stays Ahead

At each step, the solution produced by greedy is not worse than the one produced by any other algorithm.

## Implementing EFT

- Naive implementation: $O\left(n^{2}\right)$ time.

A better implementation:

- $\left\langle i_{1}, \ldots, i_{n}\right\rangle \leftarrow \operatorname{sort}\{1, \ldots, n\}$ w.r.t. $e(\cdot)$.
- Let $R=\emptyset$ be the current (partial) solution.
- Let $f=0$ be the current finish time.
- For $j=1, \ldots, n$ :
- If $s\left(i_{j}\right) \geq f$ :
- $R \leftarrow R \cup\left\{i_{j}\right\}$
- $f \leftarrow e\left(i_{j}\right)$
- Return $R$


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A better implementation:

- $\left\langle i_{1}, \ldots, i_{n}\right\rangle \leftarrow \operatorname{sort}\{1, \ldots, n\}$ w.r.t. $e(\cdot)$.
$O(n \log n)$
- Let $R=\emptyset$ be the current (partial) solution.
- Let $f=0$ be the current finish time.
- For $j=1, \ldots, n$ :
- If $s\left(i_{j}\right) \geq f$ :
- $R \leftarrow R \cup\left\{i_{j}\right\}$
- $f \leftarrow e\left(i_{j}\right)$
- Return $R$
$O(n)$

Time complexity: $O(n \log n)$

## Implementing EFT

```
struct job { int id; int start; int end; };
std::vector<job> jobs;
//[...] Read jobs
std::sort(jobs.begin(), jobs.end(), [](const job &j1, const job &j2)
                                    { return j1.end < j2.end; })
int f = 0;
std::vector<int> schedule;
for(const job &j : jobs)
{
    if(j.start >= f)
    {
        schedule.push_back(j.id);
        f = j.end;
    }
}
//schedule contains an optimal set of jobs
```


## Interval Partitioning

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- There are $n$ jobs indexed by $1, \ldots, n$.
- Each job $i$ has a start time $s(i)$ and a completion time $e(i)>s(i)$.
- Two jobs $i$ and $j$ are compatible if the intervals $[s(i), e(i))$ and $[s(j), e(j))$ are disjoint.
- All jobs must be executed, but you can use $k$ processors.
- Jobs scheduled on the same processor must be mutually compatible.

Goal: Minimize $k$.
(and return the $k$ corresponding schedules)

## Example



## Example



## Example



## Is $k=3$ optimal?



- Observation: There are 3 jobs that must be executed simultaneously.
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- Observation: $k^{*} \geq D$.


## A greedy algorithm

- Assume that $\mathcal{J}=\{1, \ldots, n\}$ is sorted w.r.t. $s(\cdot)$.
- Each job $j \in \mathcal{J}$ will get a label $\ell(j) \in \mathbb{N}^{+}$.
- For $j=1 \ldots, n$ :
- $C_{j} \leftarrow$ set of jobs in $1, \ldots, j-1$ that conflict with $j$.
- $\ell(j) \leftarrow$ smallest positive integer not in $\left\{\ell(i): i \in C_{j}\right\}$
- $k \leftarrow \max _{j=1, \ldots, n} \ell(j)$.
- Return a solution on $k$ processors. The jobs assigned to the $h$-th processor are those in $\{i: \ell(i)=h\}$.

A greedy algorithm


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- Claim: $k \leq D$.
- Let $j$ be a job for which $\ell(j)=k$.
- By the choice of $\ell(j): 1, \ldots, k-1 \in\left\{\ell(i): i \in C_{j}\right\}$
- For all $i \in C_{j}, e(i)>s(j)$, i.e., $s(j) \in[s(i), e(i))$.
- $s(j)$ belongs to at least $k$ intervals $\Longrightarrow D \geq k$


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$$
\left.\begin{array}{r}
k^{*} \leq k \leq D \\
D \leq k^{*}
\end{array}\right\} \Longrightarrow k=k^{*}=D
$$

## Analysis

- Observation: $k^{*} \geq D$.
- Claim: $k \leq D$.


## Trick/Technique: Finding Structural Properties

Find a structural property that implies optimality. (e.g., a lower bound to the measure of an optimal solution). Prove that greedy returns a solution with that property.

## A possible implementation

- Every starting time $s(j)$ or finish time $e(j)$ of a job $j$ is an event $\langle s(j), j\rangle$ or $\langle e(j), j\rangle$. $O(n \log n)$
- Create a sorted list of events. (break ties in favor of ending events)
- $k \leftarrow 0$ (number distinct labels)
- Mantain a min-heap $H$.
(stores unused labels in $\{1, \ldots, k\}$ )


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- Create a sorted list of events. (break ties in favor of ending events)
- $k \leftarrow 0$ (number distinct labels)
- Mantain a min-heap $H$.
(stores unused labels in $\{1, \ldots, k\}$ )
- For each event $\langle t, j\rangle$ :
- If $t=s(j)$
- If $H$ is empty, increment $k$ and set $\ell(j) \leftarrow k$
- Otherwise $\ell(j) \leftarrow$ pop from $H \quad O(\log k)$
- Otherwise $(t=e(j))$ :
- Push $\ell(j)$ into $H$.
$O(\log k)$


## A possible implementation

```
struct job { int id; int start; int end; };
std::vector<job> jobs;
//[...] Read jobs
std::vector<std::tuple<int, bool, int>> events;
for(const job &j : jobs)
{
    //Use second entry for tie breaking (false<true)
    events.push_back( std::make_tuple(j.start, true, j.id) );
    events.push_back( std::make_tuple(j.end, false, j.id) );
}
std::sort(events.begin(), events.end());
```


## A possible implementation

```
int k=0;
std::vector<int> H; //A min-heap of available labels
std::vector<int> labels(jobs.size()); //Labels assigned to jobs
for(const auto &event : events)
{
    if(std::get<1>(event)) //Start event
    {
        if(H.empty())
            labels[std::get<2>(event)] = ++k;
        else
        {
            std::pop_heap(H.begin(), H.end(), std::greater<int>());
            labels[std::get<2>(event)] = H.back();
            H.pop_back();
        }
    }
    else //End event
    {
    H.push_back(labels[std::get<2>(event)]);
    std::push_heap(H.begin(), H.end(), std::greater<int>());
    }
}
//labels[i] contains the label of job i
```

Minimizing Lateness

## Minimizing Lateness

- There are $n$ jobs indexed by $1, \ldots, n$.
- Each job $i$ has a length $t(i)$ and a distinct deadline $d(i)$.
- All jobs have to be scheduled on a single processor (one at a time).
- If job $i$ completes by time $f_{i} \leq d(i)$ its lateness $\ell_{i}$ is 0 . Otherwise $\ell_{i}=f_{i}-d(i)$.

Goal: Find a schedule $S$ minimizing the maximum lateness
$L(S)=\max _{i=1, \ldots, n} \max \left\{0, f_{i}-d(i)\right\}$.

## Example



Job 1:
Job 2:
Job 3:
Job 4:

$d(1)=3$
$d(2)=6$
$d(3)=9$
$d(4)=5$

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## Example



Maximum Lateness: 5

Job 1:
Job 2:
Job 3:
Job 4:


$$
\begin{aligned}
& d(1)=3 \\
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& d(3)=9 \\
& d(4)=5
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$$

## Which order for the jobs?

- Shortest Job First: Increasing order of $t(i)$.
- Shortest Slack Time First: Increasing order of $d(i)-t(i)$.
- Earliest Deadline First: Increasing order of $d(i)$.


## Shortest Job First



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Shortest Slack Time First


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$\square$


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- Shortest Slack Time fint in ming order of $d(i)-t(i)$.
- Earliest Deadline First: Increasing order of $d(i)$.


## Earliest Deadline First

The algorithm:

- $\left\langle j_{1}, \ldots, j_{n}\right\rangle \leftarrow$ sort jobs w.r.t. $d(\cdot)$.
- For $i=1 \ldots, n$
- Schedule $j_{i}$ at time $\sum_{k=1}^{i-1} t(k)$


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Proof of correctness:

- Observation: The greedy schedule has no idle time.


## Earliest Deadline First

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- Schedule $j_{i}$ at time $\sum_{k=1}^{i-1} t(k)$

Proof of correctness:

- Observation: The greedy schedule has no idle time.
- Definition: An inversion of a schedule $S$ is a pair of jobs $(i, j)$ such that job $i$ is scheduled before job $j$ but $d(i)>d(j)$.
- Observation: The greedy schedule has no inversion.


## EDF - Proof of Correctness

- Observation: The greedy schedule has no idle time and no inversions.
- Claim: All schedules with no idle time and no inversions are identical.


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- It suffices to show: There exists an optimal schedule with no idle time and no inversions.


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Claim: For every optimal schedule $S^{*}$ there is an optimal schedule $S$ with no idle time and the same number of inversions as $S^{*}$.

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Proof: Let $j_{1}, \ldots, j_{n}$ be the sequence of jobs of $S^{*}$. Let $f_{k}^{*}$ and $\ell_{k}^{*}$ be the finish time and lateness of job $k$ according to $S^{*}$, respectively.

Consider the schedule $S$ that excecutes $j_{1}, \ldots, j_{n}$ (in order) with no idle time.

Notice that $f_{i}=\sum_{k=1}^{i} t\left(j_{k}\right) \leq f_{i}^{*}$ and hence $\ell_{i} \leq \ell_{i}^{*}$.
$S$ is feasible and has the same inversions as $S^{*}$.


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DONE


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Proof (sketch): $S^{*}$ must also contain an inversion $(i, j)$ such that no job is scheduled between $i$ and $j$.


## EDF - Proof of Correctness

Claim: Let $S^{*}$ be an optimal schedule with no idle time and at least 1 inversion. There is an optimal schedule $S$ with no idle time and less inversions than $S^{*}$.
Proof (sketch): $S^{*}$ must also contain an inversion $(i, j)$ such that no job is scheduled between $i$ and $j$.
Consider the schedule $S$ obtained by swapping job $i$ with job $j$.


## EDF - Proof of Correctness

Claim: Let $S^{*}$ be an optimal schedule with no idle time and at least 1 inversion. There is an optimal schedule $S$ with no idle time and less inversions than $S^{*}$.

- Pick any optimal schedule $S^{*}$
- Initially $S^{*}$ can have at most $\binom{n}{2}$ inversions.
- Iteratively apply the claim until no inversions are left.
- We have obtained an optimal schedule with no idle time and no inversions.


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- Pick any optimal schedule $S^{*}$
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- Iteratively apply the claim until no inversions are left.
- We have obtained an optimal schedule with no idle time and no inversions.

This is exactly the greedy schedule!

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- Pick any optimal schedule $S^{*}$
- Initially $S^{*}$ can have at most $\binom{n}{2}$ inversions.
- Iteratively apply the claim until no inversions are left.


## Trick/Technique: Exchange Argument

Iteratively transform the optimal solution into the greedy solution without worsening its quality.

Recap

## Trick/Technique: Greedy Stays Ahead

At each step, the solution produced by greedy is not worse than the one produced by any other algorithm.

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Find a structural property that implies optimality. (e.g., a lower bound to the measure of an optimal solution). Prove that greedy returns a solution with that property.

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