Range Trees

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## Input:

A set $S$ of $n D$-dimensional points.

## Goal:

Design a data stucture that, given $p_{1} \in \mathbb{Z}^{D}, p_{2} \in \mathbb{Z}^{D}$ can:

- Report the number of points $q \in S$ such that $p_{1} \leq q \leq p_{2}$.
- Report the set of points $q \in S$ such that $p_{1} \leq q \leq p_{2}$.
- Report the point $q \in S, p_{1} \leq q \leq p_{2}$, with smallest $D$-th coordinate.


## An easy case: $D=1$

- Points are integers
- Store points in a sorted array (in time $O(n \log n)$ ).
- Perform queries by binary searching for $p_{1}$ and $p_{2}$



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Query time: $O(\log n+k) \quad k=$ "size" of the output.

- $k=\#$ reported points.
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Space complexity: $O(n)$


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Construction:

- Preliminarily sort $S$ (only once!)
- Split $S$ into $S_{1}$ and $S_{2}$ of $\approx \frac{n}{2}$ elements each.
- Recursively build $T_{1}$ and $T_{2}$ from $S_{1}$ and $S_{2}$, respectively.
- The root of $T$ has $T_{1}$ and $T_{2}$ as its left and right subtrees.
- Return $T$


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Time: $O(n \log n)+T(n)$, where $T(n)=2 \cdot T\left(\frac{n}{2}\right)+O(1)$

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What if $S$ is already sorted?
$O(n) \quad$ (we will need this later)

## Range Trees: $D=1$

Preprocessing time: $O(n \log n)$
Query time: $O(\log n+k)$

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Space complexity: $O(n)$


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## Range Trees: $D=2$

Build a range tree on the set of $x$-coordinates of the points in $S$


## Range Trees: $D=2$

For each node $v$ representing an interval $I_{v}=\left[x_{1}, x_{2}\right]$, build a range tree $R_{v}$ on the $y$ coodinates of the points in $S$ with $x$-coordinate in $I_{v}$


Range Trees: $D=2$


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$x$
-

## Range Trees: $D=2$

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- Recursively build $T_{1}$ and $T_{2}$ from $S_{1}$ and $S_{2}$, respectively.
- The root $v$ of $T$ has $T_{1}$ and $T_{2}$ as its left and right subtrees.
- Store, in $v$, a pointer to a new 1D Range Tree on $S$
- Return $T$


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Time: $O(n \log n)+T(n)$, where $T(n)=2 \cdot T\left(\frac{n}{2}\right)+O(n \log n)$

$$
O\left(n \log ^{2} n\right)
$$

## Range Trees: $D=2$

Construction:
$S^{y}$ is the set $S$ sorted on the $y$-coordinate

- Preliminarily sort $S$ on the $x$-coordinate.
- Split $S$ into $S_{1}$ and $S_{2}$ of $\approx \frac{n}{2}$ elements each.
- Recursively build $\left(T_{1}, S_{1}^{y}\right)$ and $\left(T_{2}, S_{2}^{y}\right)$ from $S_{1}$ and $S_{2}$, respectively.
- The root $v$ of $T$ has $T_{1}$ and $T_{2}$ as its left and right subtrees.
- Merge $S_{1}^{y}$ and $S_{2}^{y}$ into $S^{y}$.
- Store, in $v$, a pointer to a new 1D Range Tree on $S^{y}$
- Return $\left(T, S^{y}\right)$


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- Merge $S_{1}^{y}$ and $S_{2}^{y}$ into $S^{y}$.
- Store, in $v$, a pointer to a new 1D Range Tree on $S^{y}$
- Return $\left(T, S^{y}\right)$

Time: $O(n \log n)+T(n)$, where $T(n)=2 \cdot T\left(\frac{n}{2}\right)+O(n)$

$$
O(n \log n)
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## Range Trees: $D=2$

To report the points $p_{1}=\left(x_{1}, y_{1}\right) \leq q \leq p_{2}=\left(x_{2}, y_{2}\right)$ :

- Use $T$ to find the $h=O(\log n)$ subtrees $R_{1}, \ldots, R_{h}$ that store the points $q=(x, y)$ with $x_{1} \leq x \leq x_{2}$.
- For each tree $R_{j} \in\left\{R_{1}, \ldots, R_{h}\right\}$ representing the $x$-interval $I_{j}$ :
- Query $R_{j}$ to report the number of/set of points $q=(x, y)$ with $x \in I_{j}$ and $y_{1} \leq y \leq y_{2}$.


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- Query $R_{j}$ to report the number of/set of points $q=(x, y)$ with $x \in I_{j}$ and $y_{1} \leq y \leq y_{2}$.

Time complexity:
$O(\log n) \cdot O(\log n)+O(k)=O\left(\log ^{2} n+k\right)$


## Range Trees: $D=2$

Preprocessing time: $O(n \log n)$

Query time: $O\left(\log ^{2} n+k\right)$

- $k=\#$ reported points.
- $k=\Theta(1)$ if we only care about the number of points.

Space complexity:

- Bounded by the overall size of 1D Range Trees
- Each point belongs to $O(\log n)$ 1D Range Tees
- Total space: $O(n \log n)$


## Higher dimensions: construction

To store points $p=(x, y, z, w, \ldots)$ in $D>2$ dimensions: Recursive construction:

- Build a Range Tree $T$ on the first coordinate $x$ of the points:
- For each subtree $T_{v}$ of $T$ associated with the interval $I_{v}=\left[x_{1}, x_{2}\right]$ :
- Construct a range tree $R_{v}$ on the last $D-1$ coordinates $(y, z \ldots)$ of the set of points $p=(x, y, \ldots)$ with $x \in I_{v}$.
- Store, in $v$, a pointer to $R_{v}$.

Time: $O\left(n \log ^{D-1} n\right)$.
Space: $O\left(n \log ^{D-1} n\right)$.


## Higher dimensions: query

Let $p_{1}=\left(x_{1}, y_{1}, z_{1}, \ldots\right), p_{2}=\left(x_{2}, y_{2}, z_{2}, \ldots\right)$.
To report the points $p_{1} \leq q \leq p_{2}$ :

- Use $T$ to find the $h=O(\log n)$ subtrees $R_{1}, \ldots, R_{h}$ that store the points $q=(x, y, z, \ldots)$ with $x_{1} \leq x \leq x_{2}$.
- For each tree $R_{j} \in\left\{R_{1}, \ldots, R_{h}\right\}$ representing the $x$-interval $I_{j}$ :
- Recursively query $R_{i}$ to report the number/set of points $q$ s.t. $x \in I_{j}$ and $\left(y_{1}, z_{1}, \ldots\right) \leq q \leq\left(y_{2}, z_{2}, \ldots\right)$.

Query time: $O\left(\log ^{D} n+k\right)$.

## Recap

| $D$ | Size | Preprocessing <br> Time | Query Time |
| :---: | :---: | :---: | :---: |
| 1 | $O(n)$ | $O(n \log n)$ | $O(\log n+k)$ |
| 2 | $O(n \log n)$ | $O(n \log n)$ | $O\left(\log ^{2} n+k\right)$ |
| $>2$ | $O\left(n \log ^{D-1} n\right)$ | $O\left(n \log ^{D-1} n\right)$ | $O\left(\log ^{D} n+k\right)$ |
|  |  |  |  |

Notes

## Fractional Cascading

## Fractional Cascading: The problem

## Input:

$k$ sorted arrays $A_{1}, \ldots, A_{k}$ of $n$ elements each:

$$
\begin{aligned}
& \begin{array}{l|l|l|l|l|l|l|l|l|l|l|}
\hline A_{1} & 4 & 9 & 15 & 22 & 23 & 38 & 41 & 50 & 53 & 58 \\
\cline { 2 - 6 }
\end{array} \\
& \begin{array}{l|l|l|l|l|l|l|l|l|l|l|}
\hline A_{2} & 3 & 7 & 10 & 11 & 15 & 17 & 20 & 36 & 62 & 64 \\
\hline
\end{array} \\
& \begin{array}{l|l|l|l|l|l|l|l|l|l|l|}
\hline 21 & \\
\hline 21 & 23 & 29 & 35 & 37 & 40 & 52 & 57 & 61 & 66 \\
\hline
\end{array} \\
& \begin{array}{l|l|l|l|l|l|l|l|l|l|l|}
\hline
\end{array} A_{4} \begin{array}{ll}
2 & 5 \\
\hline
\end{array}
\end{aligned}
$$

## Query:

Given $x$ report, for $i=1, \ldots, k, x$ if $x \in A_{i}$ or its predecessor if $x \notin A_{i}$.

## Fractional Cascading: The problem

## Input:

$k$ sorted arrays $A_{1}, \ldots, A_{k}$ of $n$ elements each:

$$
\begin{aligned}
& x=31
\end{aligned}
$$

$$
\begin{aligned}
& A_{3} \xlongequal{21}|23| 29|35| 37|40| 52|57| 61 \mid 66
\end{aligned}
$$

## Query:

Given $x$ report, for $i=1, \ldots, k, x$ if $x \in A_{i}$ or its predecessor if $x \notin A_{i}$.

## Fractional Cascading: The problem

## Input:

$k$ sorted arrays $A_{1}, \ldots, A_{k}$ of $n$ elements each:

$$
\begin{aligned}
& x=58
\end{aligned}
$$

$$
\begin{aligned}
& A_{3} \xlongequal{21}|23| 29|35| 37|40| 52[57|61| 66
\end{aligned}
$$

## Query:

Given $x$ report, for $i=1, \ldots, k, x$ if $x \in A_{i}$ or its predecessor if $x \notin A_{i}$.

## Fractional Cascading: A Trivial solution

- For $i=1, \ldots, k$ :
- Binary search for $x$ in $A_{i}$

Time: $O(k \log n)$

## Fractional Cascading

First idea: cross linking
Keep pointers from $A_{i}[j]$ to the predecessor of $A_{i}[j]$ in $A_{i+1}$.


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Worst-case time: $O(k n)$

## Fractional Cascading

## Second idea: fractional cascading

For $i=k, k-1, \ldots, 2$ : Add every other element of $A_{i}$ to $A_{i-1}$.


## Fractional Cascading

Keep pointers from newly added elements to $A_{i}$ to their predecessor among the original elements of $A_{i}$


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Size $O(k n) \quad$ Preprocessing $O(k n) \quad$ Query: $O(k+\log n)$

## Layered Range Trees

## Layered Range Trees, $D=2$

Reuse the cross-linking idea from fractional cascading


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$\forall$ element $y$ in the 1D range tree of $v$, store a pointer to the predecessor of $y$ in the 1D range tree of the left/right child of $v$.


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|  |  |  |  |

Notes

## Recap

| $D$ | Size | Preprocessing <br> Time <br> 1 | Query Time | Notes |
| :---: | :---: | :---: | :---: | :---: |
| 2 | $O(n)$ | $O(n \log n)$ | $O(\log n+k)$ |  |
| $>2$ | $O(n \log n)$ | $O(n \log n)$ | $O\left(\log ^{2} n+k\right)$ |  |
| 2 | $O\left(n \log ^{D-1} n\right)$ | $O\left(n \log ^{D-1} n\right)$ | $O\left(\log ^{D} n+k\right)$ |  |
|  | $O(n \log n)$ | $O(n \log n)$ | $O(\log n+k)$ | with <br> cross-linking |

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Can be made dynamic (supports point insertion / deletion) in $O\left(\log ^{D} n\right)$ amortized time per update.

