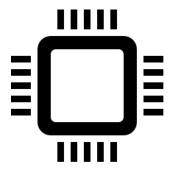
# Interval Scheduling

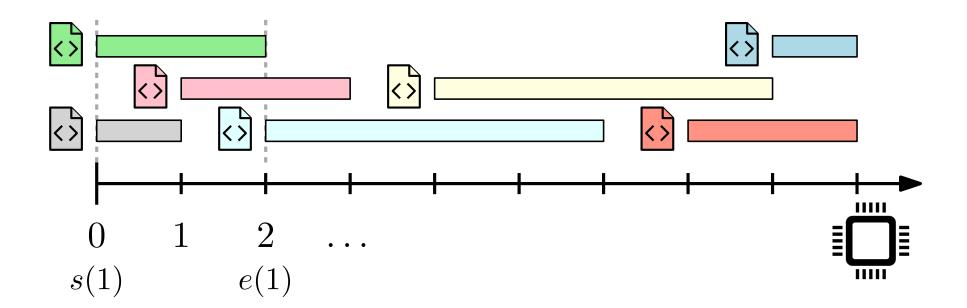
### Interval Scheduling

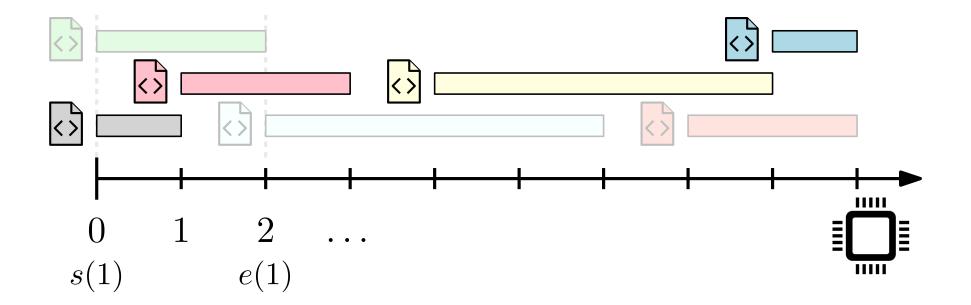
You need to compute a non-preemptive schedule on a supercomputer.

- There are n jobs indexed by  $1, \ldots, n$  submitted for execution.
- Each job i has a desired start time s(i) and a completion time e(i) > s(i).
- Two jobs i and j are *compatible* if the intervals [s(i), e(i)) and [s(j), e(j)) are disjoint.

**Goal:** Find a subset of mutually compatible jobs of maximum cardinality.







Optimal solution:  $\{ \langle \rangle, \langle \rangle, \langle \rangle, \langle \rangle \}$ 

### Greedy template:

- Start with an empty set of jobs  $R = \emptyset$ .
- Examine jobs in some order.
  - When job i is examined: add i to R if it is compatible with all jobs j already in R.
- $\bullet$  Finally, return R.

### Greedy template:

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- $\bullet$  Finally, return R.

#### **Key question:**

In what order should we process the jobs?

#### Some Possibilities:

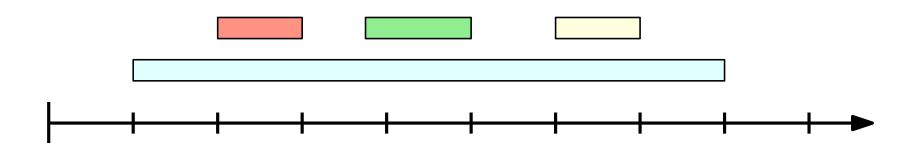
• Earliest Start Time: Increasing order of s(i).

• Earliest Finish Time: Increasing order of e(i).

• Shortest Interval: Increasing order of e(i) - s(i).

• **Fewest Conflicts**: Increasing order w.r.t. the number of conflicting jobs.

### Earliest Start Time



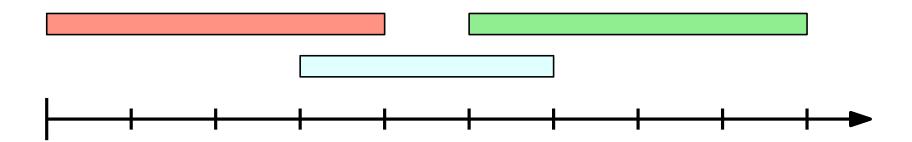
### Earliest Start Time



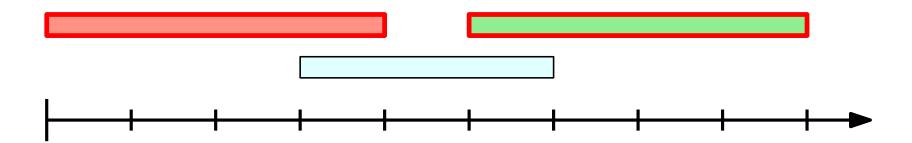
### Earliest Start Time



### Shortest Interval



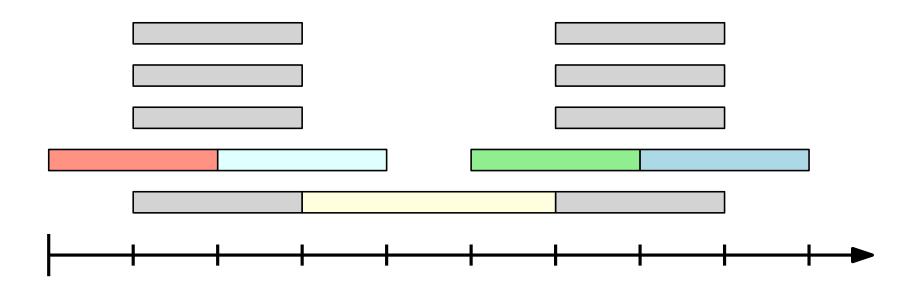
### Shortest Interval



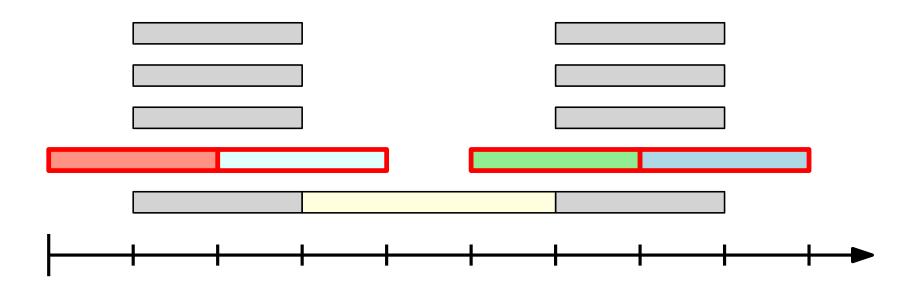
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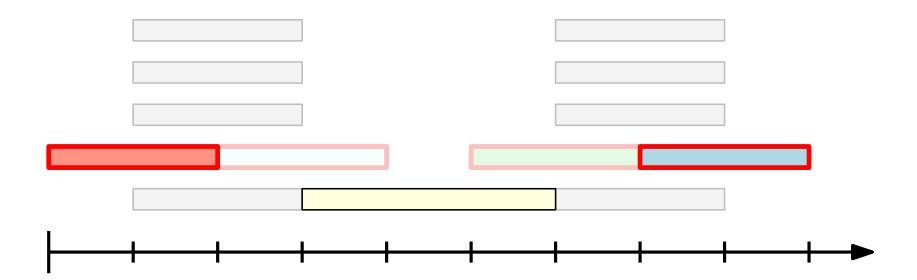
### **Fewest Conflicts**



### **Fewest Conflicts**



### Fewest Conflicts



#### Some Possibilities:

• Earliest Start Time: Increasing order of s(i).

• Earliest Finish Time: Increasing order of e(i).

• Shortest Interval becausing order of e(i) - s(i).

 Fewest Conflicts: Increasing order w.r...t the number of conflicting jebs.

#### Earliest Finish Time

- Let  $\mathcal{J} = \{1 \dots, n\}$  be the set of jobs in input.
- $\bullet$   $R \leftarrow \emptyset$
- While  $\mathcal{J}$  is not empty:
  - Find a job  $i \in \mathcal{J}$  minimizing e(i).
  - $\bullet$  Add i to R
  - Remove from  $\mathcal{J}$  all jobs  $j \in \mathcal{J}$  that are not compatible with i (including i itself).
- Return R

**Observation:** R is always a set of mutually compatible jobs.

Let  $R^*$  be an optimal set of jobs.

Let  $i_1, i_2, \ldots, i_m$  (resp.  $i_1^*, i_2^*, \ldots, i_\ell^*$ ) be the indices of the jobs in R (resp.  $R^*$ ), sorted w.r.t.  $e(\cdot)$ .

We want to prove  $m = |R| \ge |R^*| = \ell$ .

**Claim:** For  $k = 1, ..., \ell$ , index  $i_k$  exists and  $e(i_k) \le e(i_k^*)$ .

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We want to prove  $m = |R| \ge |R^*| = \ell$ .

**Claim:** For  $k = 1, ..., \ell$ , index  $i_k$  exists and  $e(i_k) \le e(i_k^*)$ .

Base case (k = 1):

- Since  $n \ge 1$ ,  $\mathcal{J}$  is not empty before the first iteration, and  $i_1$  exists.
- By the choice of  $i_1$ :  $e(i_1) \leq \min_{j=1,\ldots,n} e(j) \leq e(i_1^*)$

Claim: For  $k = 1, ..., \ell$ , index  $i_k$  exists and  $e(i_k) \le e(i_k^*)$ . Induction step (k > 1):

•  $i_k^*$  is compatible with  $i_{k-1}^*$ , thus  $e(i_{k-1}^*) \leq s(i_k^*)$ 

$$i_{k-1}^*$$
  $i_k^*$ 

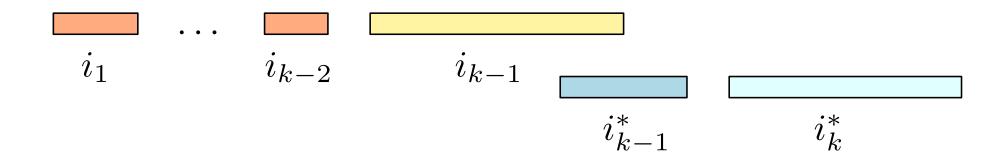
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- $i_k^*$  is compatible with  $i_{k-1}^*$ , thus  $e(i_{k-1}^*) \leq s(i_k^*)$
- by induction hypothesis  $e(i_{k-1}) \le e(i_{k-1}^*)$

$$i_{k-1}$$
  $i_{k-1}^*$   $i_k^*$ 

Claim: For  $k = 1, ..., \ell$ , index  $i_k$  exists and  $e(i_k) \le e(i_k^*)$ . Induction step (k > 1):

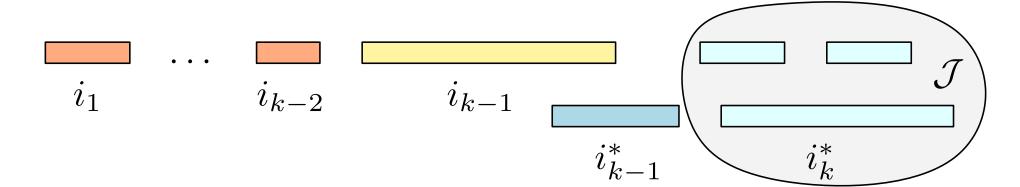
- $i_k^*$  is compatible with  $i_{k-1}^*$ , thus  $e(i_{k-1}^*) \leq s(i_k^*)$
- by induction hypothesis  $e(i_{k-1}) \le e(i_{k-1}^*)$
- Thefore, at the beginning of the k-th iteration,  $i_k^* \in \mathcal{J}$  since it is compatible with  $i_1, \ldots, i_{k-1}$



Claim: For  $k = 1, ..., \ell$ , index  $i_k$  exists and  $e(i_k) \le e(i_k^*)$ .

Induction step (k > 1):

- $i_k^*$  is compatible with  $i_{k-1}^*$ , thus  $e(i_{k-1}^*) \leq s(i_k^*)$
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- Thefore, at the beginning of the k-th iteration,  $i_k^* \in \mathcal{J}$  since it is compatible with  $i_1, \ldots, i_{k-1}$
- $\bullet \ \mathcal{J} \neq \emptyset \implies \exists i_k$
- By the greedy choice:  $e(i_k) = \min_{j \in \mathcal{J}} e(j) \le e(i_k^*)$ .



**Claim:** For  $k = 1, ..., \ell$ , index  $i_k$  exists and  $e(i_k) \leq e(i_k^*)$ .

#### Trick/Technique: Greedy Stays Ahead

At each step, the solution produced by greedy is not worse than the one produced by any other algorithm.

## Implementing EFT

• Naive implementation:  $O(n^2)$  time.

#### A better implementation:

- $\langle i_1, \ldots, i_n \rangle \leftarrow \text{sort } \{1, \ldots, n\} \text{ w.r.t. } e(\cdot).$
- Let  $R = \emptyset$  be the current (partial) solution.
- Let f = 0 be the current finish time.
- For j = 1, ..., n:
  - If  $s(i_j) \geq f$ :
    - $R \leftarrow R \cup \{i_j\}$
    - $f \leftarrow e(i_j)$
- ullet Return R

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#### A better implementation:

- $\langle i_1, \dots, i_n \rangle \leftarrow \text{sort } \{1, \dots, n\} \text{ w.r.t. } e(\cdot).$   $O(n \log n)$
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- $\bullet \ \mbox{For} \ j=1,\dots,n \ :$   $\bullet \ \mbox{If} \ s(i_j) \geq f \ :$   $\bullet \ \ R \leftarrow R \cup \{i_j\}$   $\bullet \ \ f \leftarrow e(i_j)$ 
  - ullet Return R

Time complexity:  $O(n \log n)$ 

## Implementing EFT

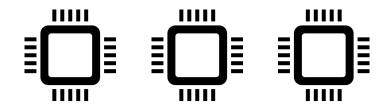
```
struct job { int id; int start; int end; };
std::vector<job> jobs;
//[...] Read jobs
std::sort(jobs.begin(), jobs.end(), [](const job &j1, const job &j2)
                                         { return j1.end < j2.end; })
int f = 0;
std::vector<int> schedule;
for(const job &j : jobs)
   if(j.start >= f)
       schedule.push_back(j.id);
       f = j.end;
//schedule contains an optimal set of jobs
```

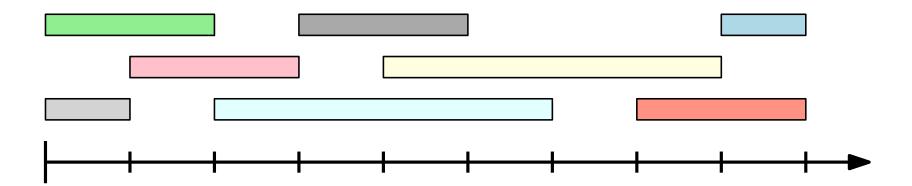
# Interval Partitioning

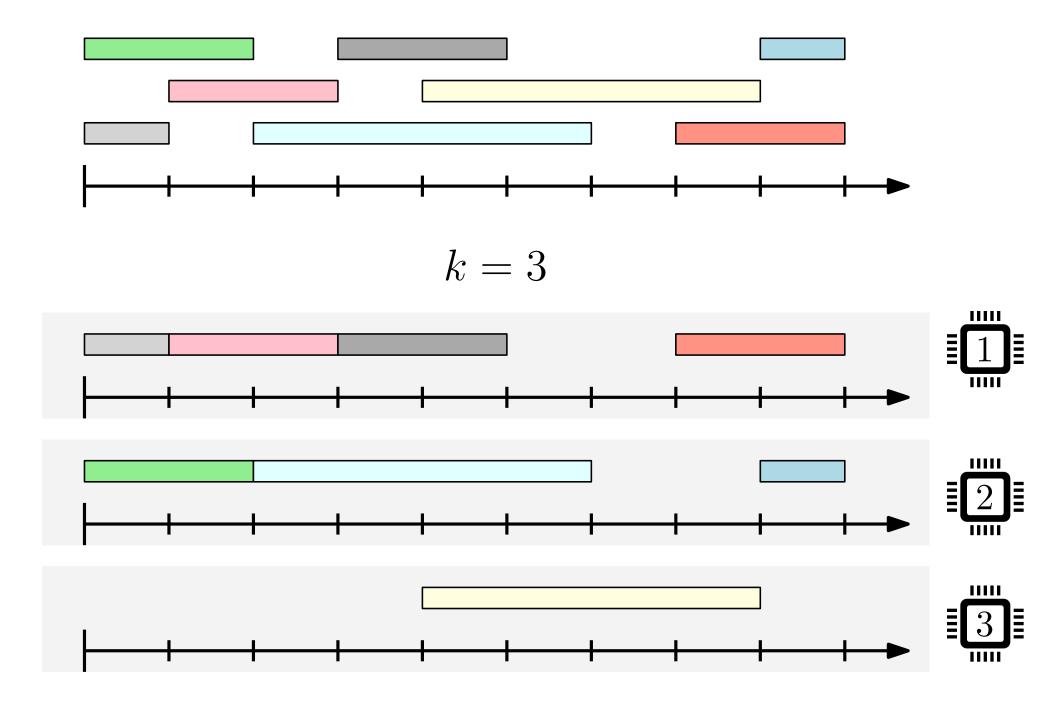
### Interval Partitioning

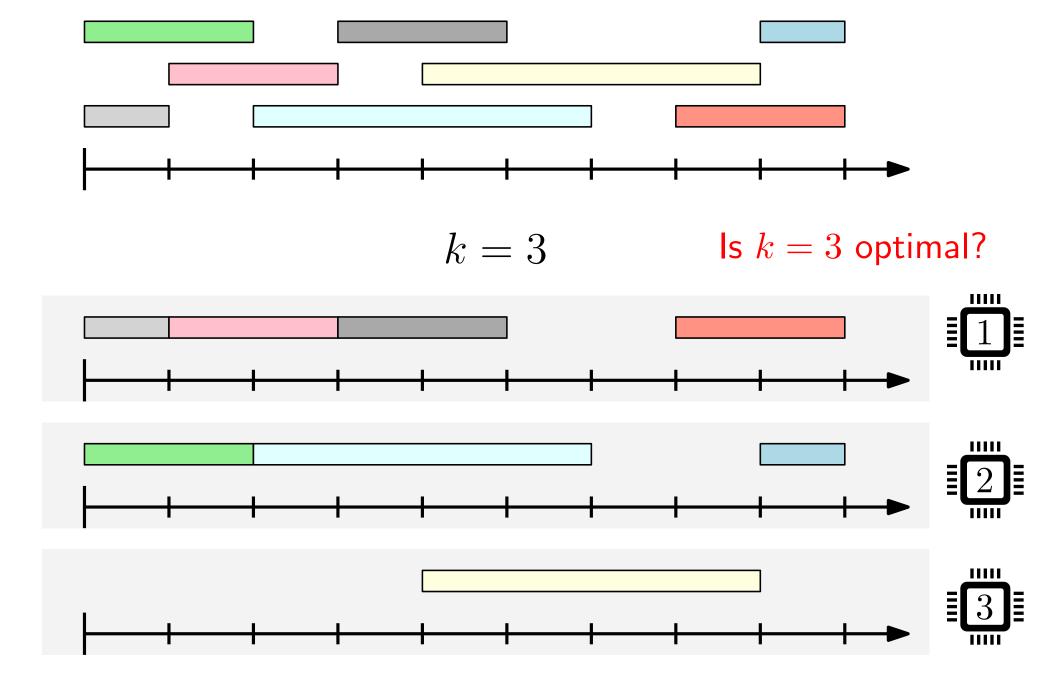
- There are n jobs indexed by  $1, \ldots, n$ .
- Each job i has a start time s(i) and a completion time e(i) > s(i).
- Two jobs i and j are *compatible* if the intervals [s(i), e(i)) and [s(j), e(j)) are disjoint.
- ullet All jobs must be executed, but you can use k processors.
- Jobs scheduled on the same processor must be mutually compatible.

**Goal:** Minimize k. (and return the k corresponding schedules)

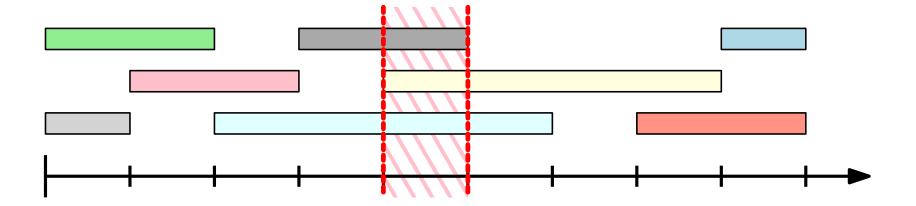






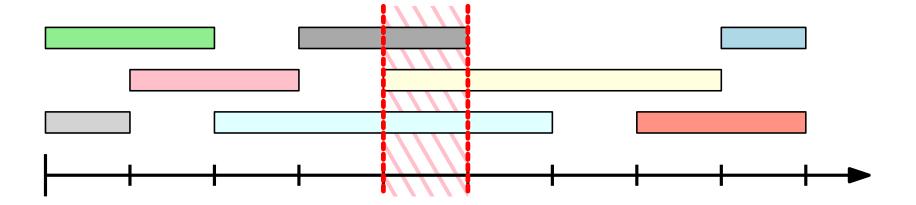


Is 
$$k = 3$$
 optimal?



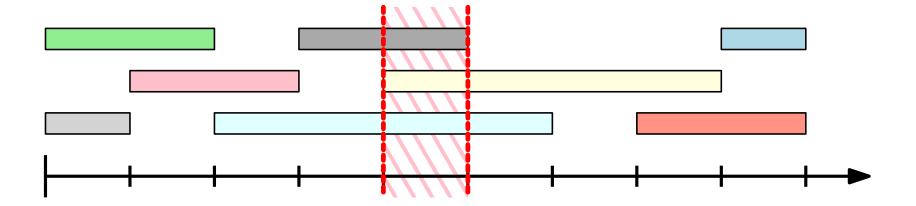
- **Observation:** There are 3 jobs that must be executed simultaneously.
- 3 is a lower bound to the optimal solution  $k^*$ .

## Is k = 3 optimal?



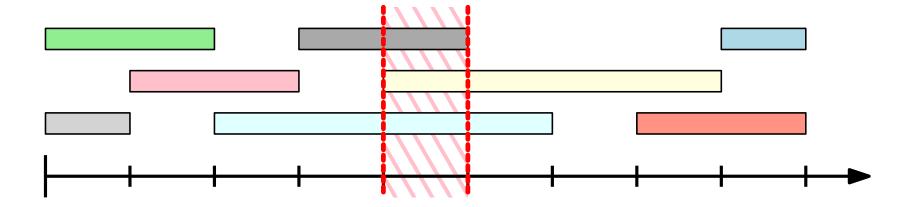
- **Observation:** There are 3 jobs that must be executed simultaneously.
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- **Definition:** The depth D of a set of intervals is the maximum number of intervals [s(i), e(i)) that contain any single point.

## Is k = 3 optimal?



- **Observation:** There are 3 jobs that must be executed simultaneously.
- 3 is a lower bound to the optimal solution  $k^*$ .
- **Definition:** The *depth* D of a set of intervals is the maximum number of intervals [s(i), e(i)) that contain any single point.
- Observation:  $k^* \geq D$ .

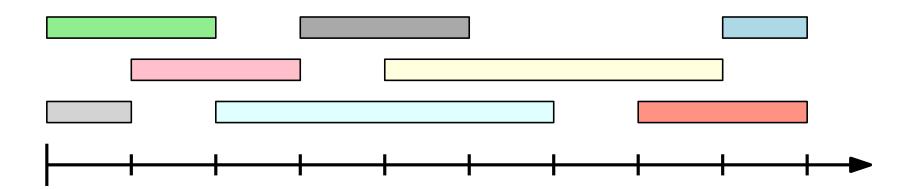
## Is k = 3 optimal?

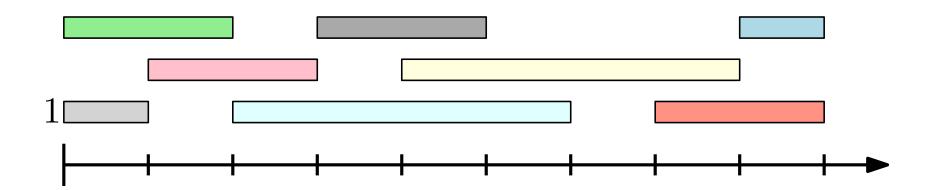


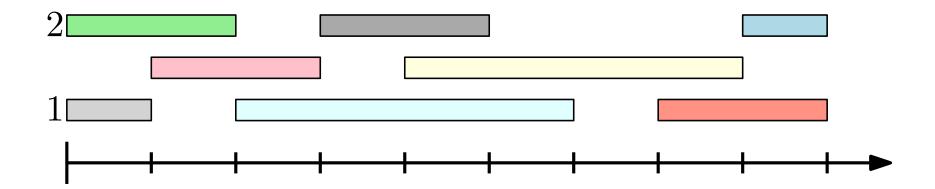
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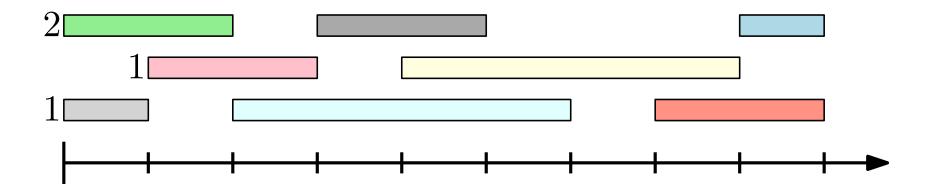
Is  $k^* \leq D$ ?

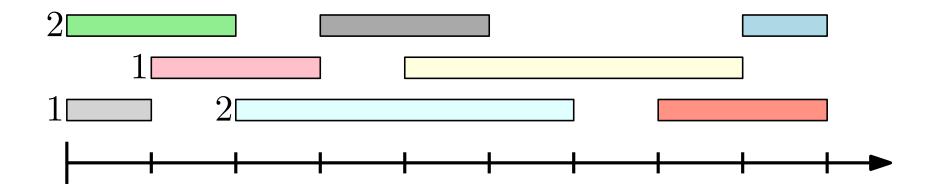
- Assume that  $\mathcal{J} = \{1, \dots, n\}$  is sorted w.r.t.  $s(\cdot)$ .
- Each job  $j \in \mathcal{J}$  will get a label  $\ell(j) \in \mathbb{N}^+$ .
- For j = 1 ..., n:
  - $C_j \leftarrow$  set of jobs in  $1, \ldots, j-1$  that conflict with j.
  - $\ell(j) \leftarrow$  smallest positive integer not in  $\{\ell(i) : i \in C_j\}$
- $k \leftarrow \max_{j=1,\dots,n} \ell(j)$ .
- Return a solution on k processors. The jobs assigned to the h-th processor are those in  $\{i: \ell(i) = h\}$ .

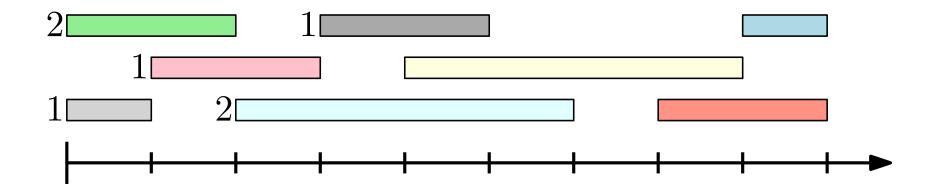


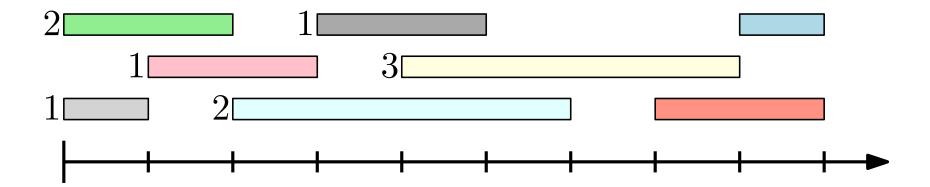


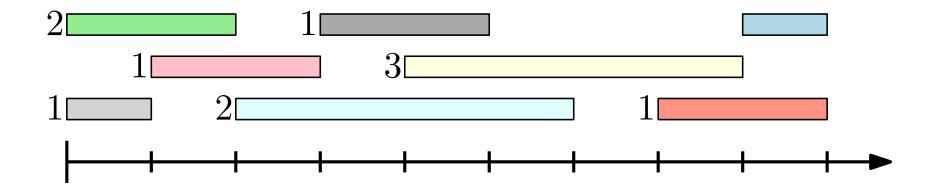


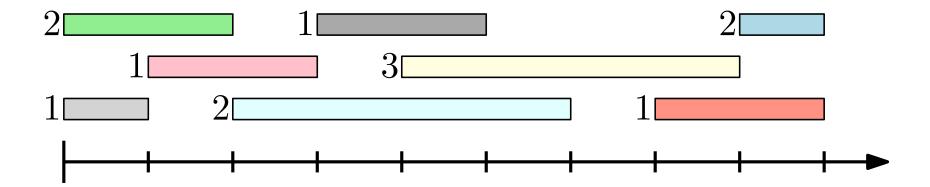












 Observation: No pair of overlapping intervals can get the same label ⇒ all schedules consist of mutually compatible jobs.

- Claim: k < D.
  - Let j be a job for which  $\ell(j) = k$ .
  - By the choice of  $\ell(j)$ :  $1, \ldots, k-1 \in \{\ell(i) : i \in C_j\}$
  - For all  $i \in C_j$ , e(i) > s(j), i.e.,  $s(j) \in [s(i), e(i))$ .
  - s(j) belongs to at least k intervals  $\implies D \ge k$

- Claim:  $k \leq D$ .
  - Let j be a job for which  $\ell(j) = k$ .
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$$k^* \le k \le D$$

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$$k^* \le k \le D$$
$$D < k^*$$

- Claim:  $k \leq D$ .
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  - s(j) belongs to at least k intervals  $\implies D \ge k$

$$\begin{cases} k^* \le k \le D \\ D \le k^* \end{cases} \implies k = k^* = D$$

• Observation:  $k^* \geq D$ .

• Claim:  $k \leq D$ .

#### Trick/Technique: Finding Structural Properties

Find a structural property that implies optimality. (e.g., a lower bound to the measure of an optimal solution). Prove that greedy returns a solution with that property.

- Every starting time s(j) or finish time e(j) of a job j is an event  $\langle s(j), j \rangle$  or  $\langle e(j), j \rangle$ .  $O(n \log n)$
- Create a sorted list of events. (break ties in favor of ending events)
- $k \leftarrow 0$  (number distinct labels)
- Mantain a min-heap H. (stores unused labels in  $\{1, \ldots, k\}$ )

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- $k \leftarrow 0$  (number distinct labels)
- ullet Mantain a min-heap H. (stores unused labels in  $\{1,\ldots,k\}$ )
- For each event  $\langle t, j \rangle$ : O(n)
  - If t = s(j)
    - If H is empty, increment k and set  $\ell(j) \leftarrow k$
    - Otherwise  $\ell(j) \leftarrow \mathsf{pop} \; \mathsf{from} \; H$   $O(\log k)$
  - Otherwise (t = e(j)):
    - Push  $\ell(j)$  into H.

 $O(\log k)$ 

```
struct job { int id; int start; int end; };
std::vector<job> jobs;
//[...] Read jobs
std::vector<std::tuple<int, bool, int>> events;
for(const job &j : jobs)
   //Use second entry for tie breaking (false<true)
   events.push_back( std::make_tuple(j.start, true, j.id) );
   events.push_back( std::make_tuple(j.end, false, j.id) );
std::sort(events.begin(), events.end());
```

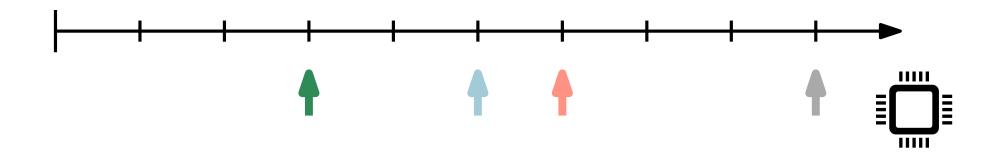
```
int k=0;
std::vector<int> H; //A min-heap of available labels
std::vector<int> labels(jobs.size()); //Labels assigned to jobs
for(const auto &event : events)
   if(std::get<1>(event)) //Start event
       if(H.empty())
          labels[std::get<2>(event)] = ++k;
       else
           std::pop_heap(H.begin(), H.end(), std::greater<int>());
           labels[std::get<2>(event)] = H.back();
          H.pop_back();
   else //End event
       H.push_back(labels[std::get<2>(event)]);
       std::push_heap(H.begin(), H.end(), std::greater<int>());
'/labels[i] contains the label of job i
```

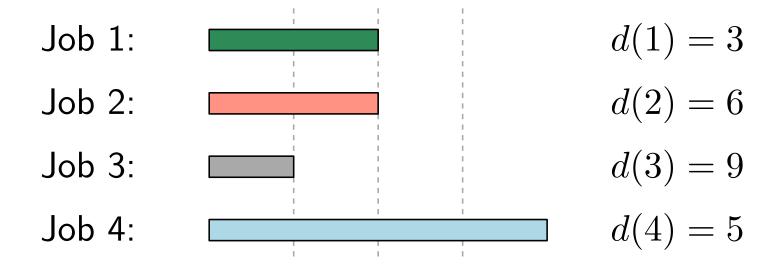
# Minimizing Lateness

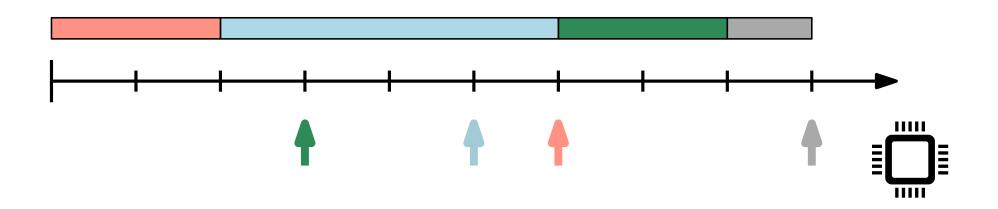
#### Minimizing Lateness

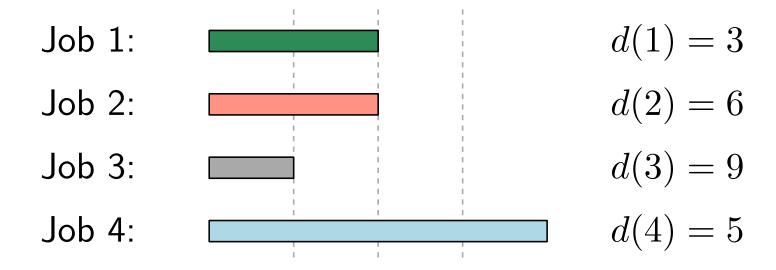
- There are n jobs indexed by  $1, \ldots, n$ .
- Each job i has a length t(i) and a distinct deadline d(i).
- All jobs have to be scheduled on a single processor (one at a time).
- If job i completes by time  $f_i \leq d(i)$  its lateness  $\ell_i$  is 0. Otherwise  $\ell_i = f_i d(i)$ .

**Goal:** Find a schedule S minimizing the maximum lateness  $L(S) = \max_{i=1,...,n} \max\{0, f_i - d(i)\}.$ 

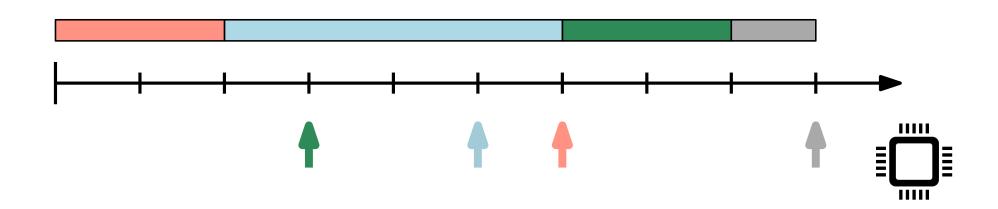


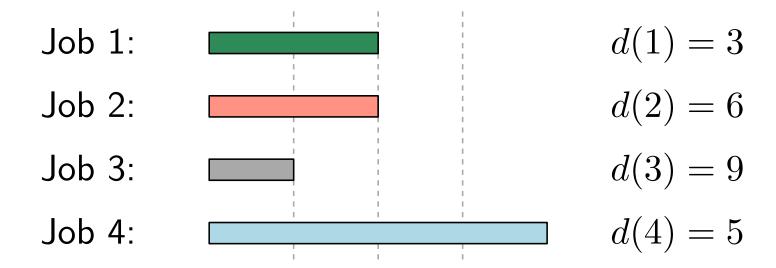


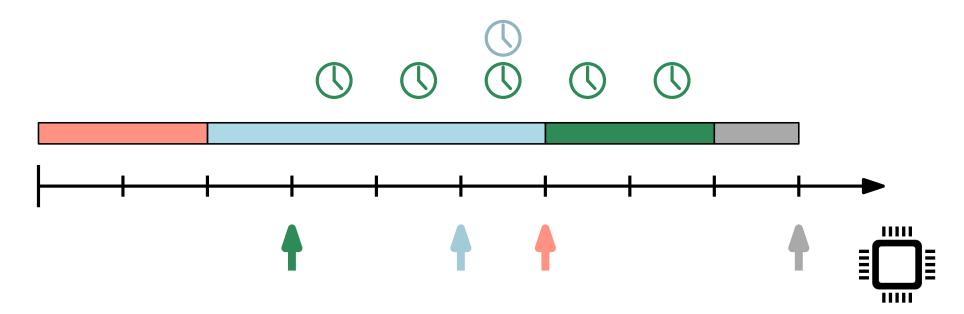


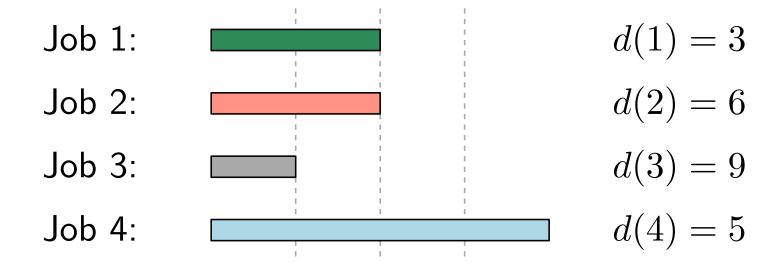


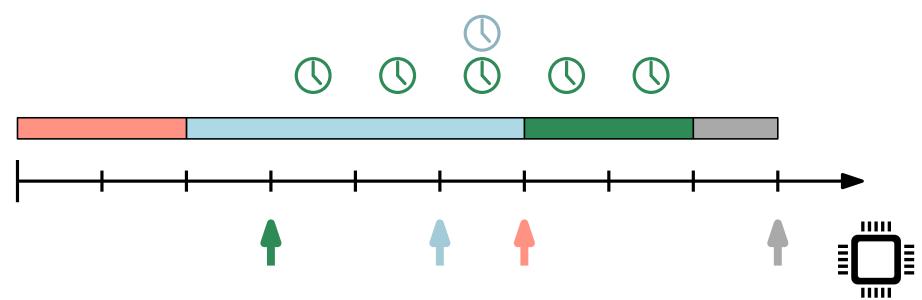




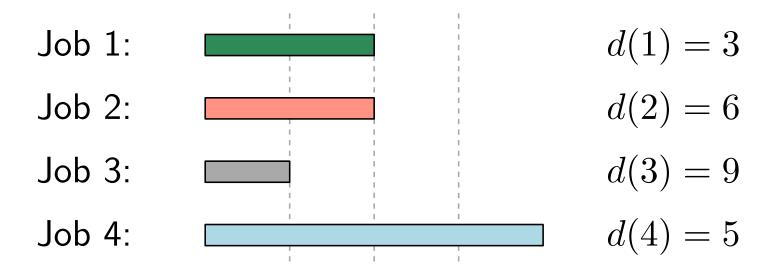








Maximum Lateness: 5



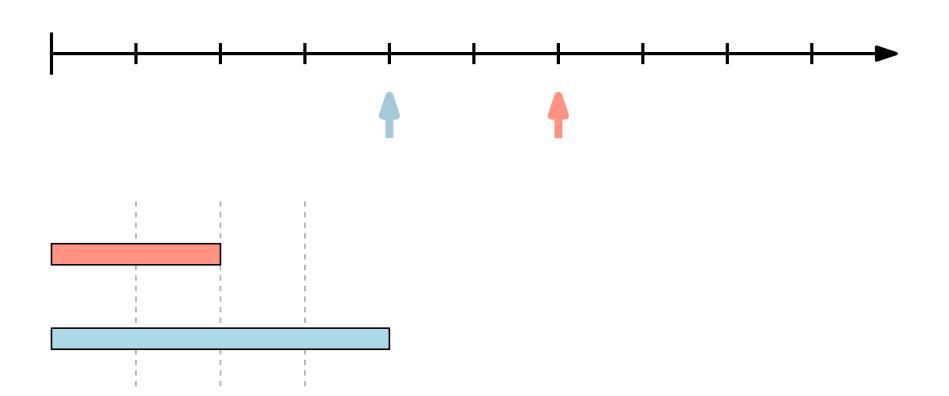
### Which order for the jobs?

• Shortest Job First: Increasing order of t(i).

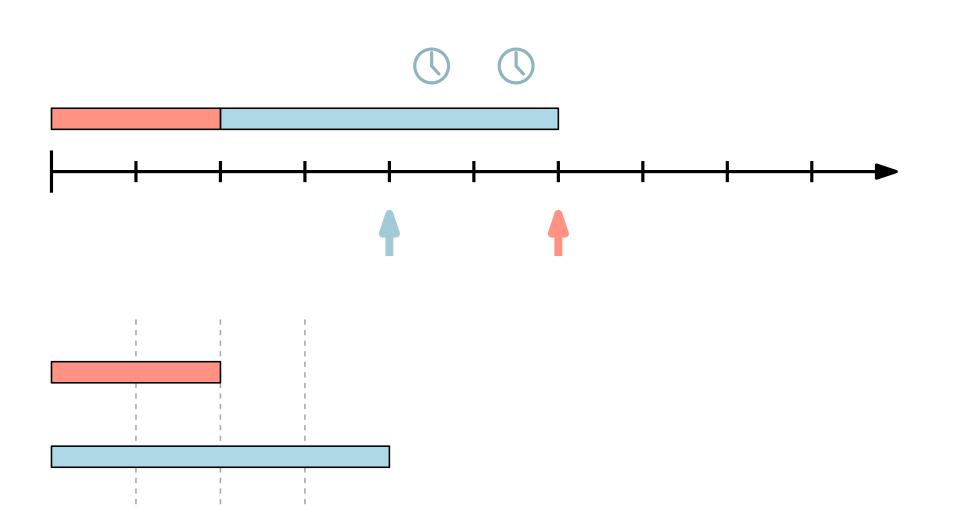
• Shortest Slack Time First: Increasing order of d(i) - t(i).

• Earliest Deadline First: Increasing order of d(i).

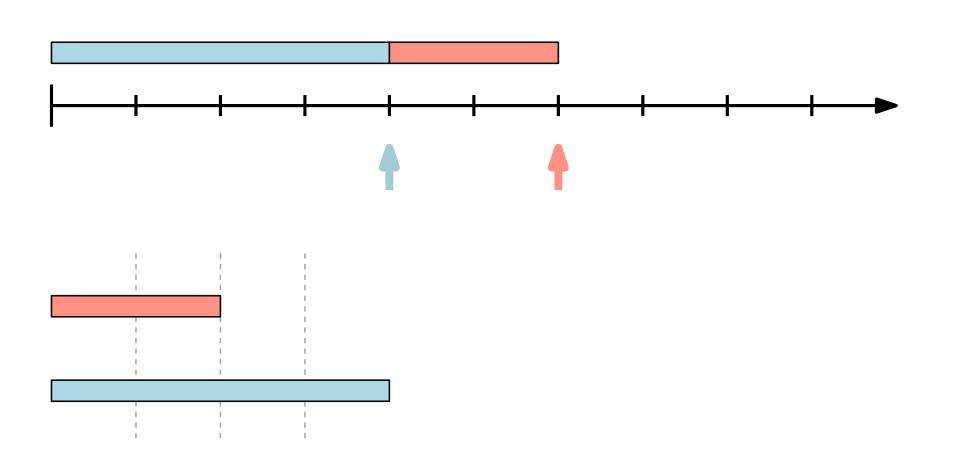
#### Shortest Job First



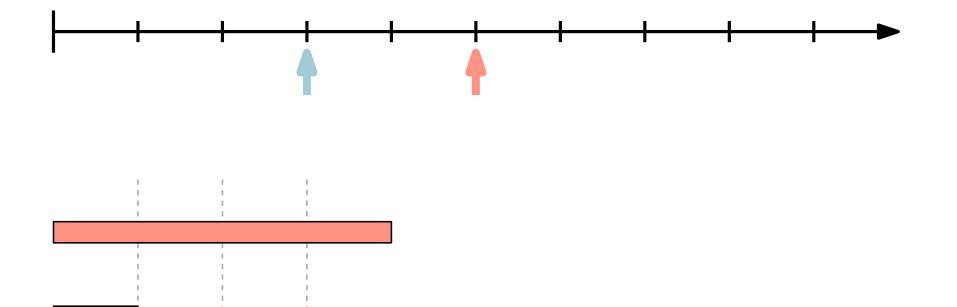
#### Shortest Job First



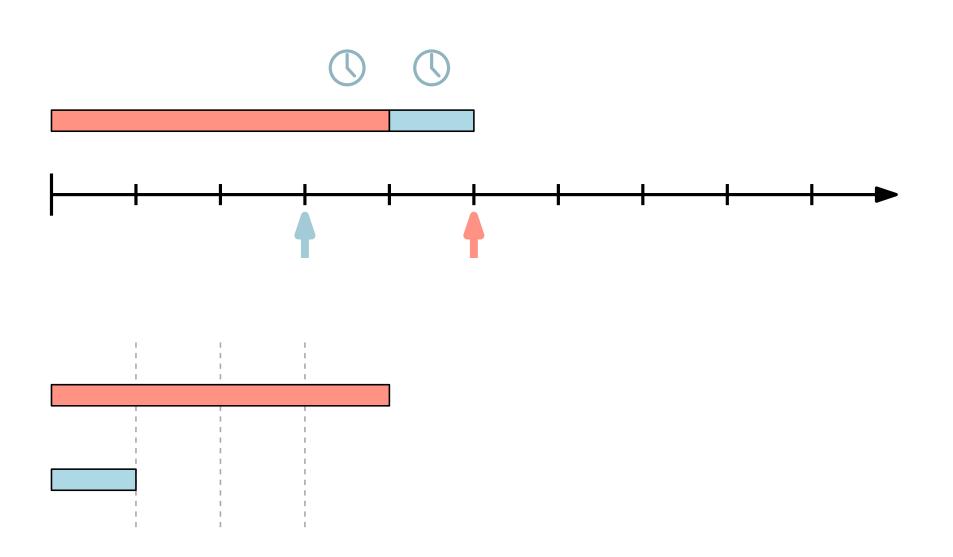
#### Shortest Job First



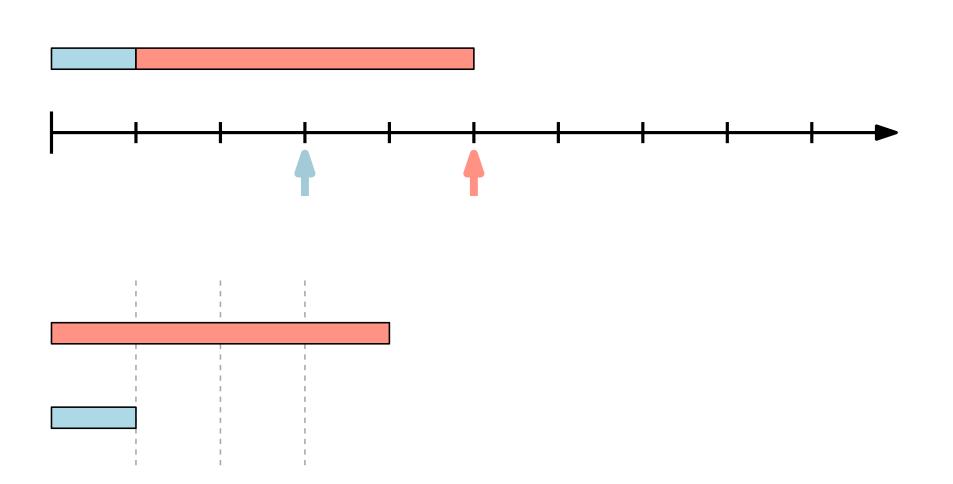
#### Shortest Slack Time First



#### Shortest Slack Time First



#### Shortest Slack Time First



### Which order for the jobs?

• Shortest Job First. Foresting order of t(i).

• Shortest Slack Time First Increasing order of d(i) - t(i).

• Earliest Deadline First: Increasing order of d(i).

## Earliest Deadline First

#### The algorithm:

- $\langle j_1, \ldots, j_n \rangle \leftarrow \text{sort jobs w.r.t. } d(\cdot)$ .
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#### **Proof of correctness:**

- Observation: The greedy schedule has no idle time.
- **Definition:** An inversion of a schedule S is a pair of jobs (i,j) such that job i is scheduled before job j but d(i) > d(j).
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- It suffices to show: There exists an optimal schedule with no idle time and no inversions.

**Claim:** For every optimal schedule  $S^*$  there is an optimal schedule S with no idle time and the same number of inversions as  $S^*$ .

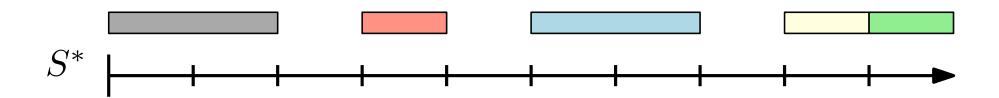
**Claim:** For every optimal schedule  $S^*$  there is an optimal schedule S with no idle time and the same number of inversions as  $S^*$ .

**Proof:** Let  $j_1, \ldots, j_n$  be the sequence of jobs of  $S^*$ . Let  $f_k^*$  and  $\ell_k^*$  be the finish time and lateness of job k according to  $S^*$ , respectively.

Consider the schedule S that excecutes  $j_1, \ldots, j_n$  (in order) with no idle time.

Notice that  $f_i = \sum_{k=1}^i t(j_k) \le f_i^*$  and hence  $\ell_i \le \ell_i^*$ .

S is feasible and has the same inversions as  $S^*$ .



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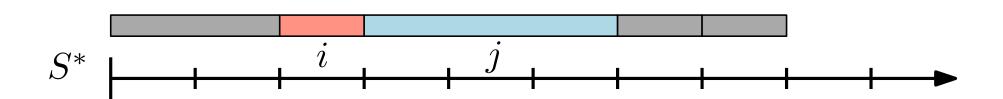
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DONE

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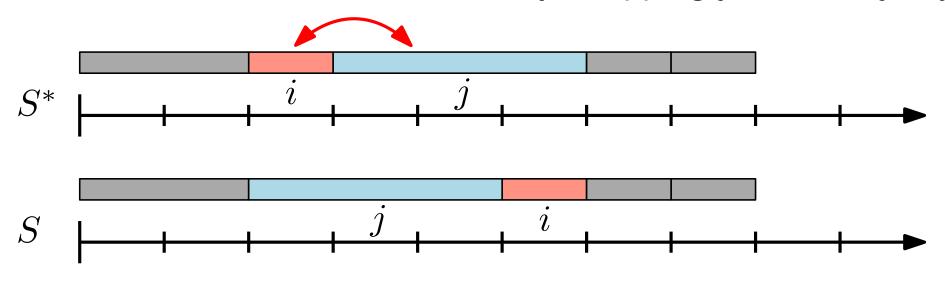
**Proof (sketch):**  $S^*$  must also contain an inversion (i, j) such that no job is scheduled between i and j.



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**Proof (sketch):**  $S^*$  must also contain an inversion (i, j) such that no job is scheduled between i and j.

Consider the schedule S obtained by swapping job i with job j.



$$f_j < f_j^* \le d(j) + \ell_j^*$$

$$f_i = f_j^* \le d(j) + \ell_j^* < d(i) + \ell_j^*$$

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- ullet Pick any optimal schedule  $S^*$
- Initially  $S^*$  can have at most  $\binom{n}{2}$  inversions.
- Iteratively apply the claim until no inversions are left.
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This is exactly the greedy schedule!

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#### Trick/Technique: Exchange Argument

Iteratively transform the optimal solution into the greedy solution without worsening its quality.

# Recap

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